

VIETNAM NATIONAL COAL AND MINERAL
INDUSTRIES HOLDING CORPORATION LIMITED
**DEO NAI-COC SAU - TKV COAL
JOINT STOCK COMPANY**

THE SOCIALIST REPUBLIC OF VIETNAM
Independence - Freedom - Happiness

No.: 5696/ TDNCS-HDQT

Cam Pha, July 28, 2025

REPORT ON CORPORATE GOVERNANCE
First Half of 2025

To: - The State Securities Commission;
 - The Ha Noi Stock Exchange

- Name of company: Deo Nai - Coc Sau - TKV Coal Joint Stock Company
- Address of headoffice: No. 42 Kim Dong, Cam Pha Ward, Quang Ninh Province
- Telephone: 0203. 3863739 Fax: 0203. 3863 942
- Charter capital: 619,352,020,000 VND (*Six hundred and nineteen billion, three hundred and fifty two million, zero hundred and twenty thousand VND*)
- Stock symbol: TD6
- Exchange: HNX
- Governance model: General Meeting of Shareholders, Board of Directors, Board of Supervisors and Director.
- The implementation of internal audit: Implemented.

I. Activities of the General Meeting of Shareholders

Deo Nai - Coc Sau - TKV Coal Joint Stock Company held the 2025 Annual General Meeting of Shareholders on April 24, 2025

No.	Resolution/Decision	Date	Content	Approval rate
1	No. 08/NQ-DHDCD	April 24, 2025	1) Approval of the 2024 Business Results Report, 2025 Business Plan and key indicators guiding the 5-year Business Plan 2026-2030 of Deo Nai - Coc Sau - TKV Coal Joint Stock Company. 2) Approve the Board of Directors' 2024 Operational Report and 2025 Directions and Tasks. 3) Approve the 2024 Performance Report of the Independent Board Member, the 2025 tasks and the independent member's assessment of the Company's Board of Directors' performance .	100%

No.	Resolution/Decision	Date	Content	Approval rate
			<p>4) Approval of the 2025 Transaction Contract Report with related parties under the authority of the General Meeting of Shareholders.</p> <p>5) Approval of the 2024 Financial Statements audited by AASC Auditing Company Limited, which audited the 2024 Financial Statements.</p> <p>6) Approval of the 2024 Profit Distribution Plan.</p> <p>7) Approve the Report on salary, remuneration, and allowances of members of the Board of Directors, Board of Supervisors, and Company Managers in 2024; propose allowances, salaries, and remuneration levels in 2025.</p> <p>8) Approve the 2024 Supervisory Board's Operation Report, 2025 Directions and Tasks, and 2024 Financial Statement Audit Report.</p> <p>9) Approval of the selection of the list of Auditing Companies for Financial Statements in 2025.</p>	

II. Board of Directors

1. Information about members of the Board of Directors (BOD).

No.	Board of Directors' members	Position	The date becoming/ceasing to be the member of the Board of Directors	
			Date of appointment	Date of dismissal
1	Mr. Nguyen Trong Tot	Chairman of the Board of Directors	Elected at the 2023 Extraordinary General Meeting of Shareholders and effective from June 26, 2024	
2	Mr. Dang Thanh Binh	Member of the Board of Directors, Director	Elected at the 2023 Extraordinary General Meeting of Shareholders and effective from June 26, 2024	
3	Mr. Nguyen Van Thuan	Executive Member of the Board of Directors	Elected at the 2023 Extraordinary General Meeting of Shareholders and effective from June 26, 2024	Temporarily suspend the status of Board of Directors member from June 27, 2025.
4	Mr. Vu Trong Hung	Member of the Board of Directors, Deputy Director	Elected at the 2023 Extraordinary General Meeting of Shareholders and effective from June 26, 2024	

5	Mr. Phung Van Tuyen	Independent Member of Board of Directors	Elected at the 2024 Extraordinary General Meeting of Shareholders and effective from November 19, 2024	
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2. Meeting of the Board of Directors.

No.	Board of Director'member	Number of meetings attended by Board of Directors	Attendance rate (%)	Reasons for absence
1	Mr. Nguyen Trong Tot	15/15	100	
2	Mr. Dang Thanh Binh	15/15	100	
3	Mr. Nguyen Van Thuan	13/15	87	On a business trip
4	Mr. Vu Trong Hung	15/15	100	
5	Mr. Phung Van Tuyen	15/15	100	

3. Supervising the Board of Management by the Board of Directors.

3.1. Activities of the Board of Directors:

In the first 6 months of 2025, the Company's Board of Directors focused on implementing/directing the Executive Board to deploy the following basic contents:

- Review, supplement/adjust regulations, rules and other management document systems...;
- Direct the review and development of staff planning for Department, Construction site/workshop levels in the new period according to TKV's directives/guidance documents and issued staff management regulations;
- Directing related work in mine closure and licensing of the Coc Sau Deo Nai mine cluster mining project to complete ahead of schedule;
- Direct related work in the official listing of shares (Stock symbol TD6) at the Hanoi Stock Exchange from June 16, 2025 to ensure compliance with regulations;
- Continue to implement the Company's restructuring after the merger through merging/consolidating units, reducing management units and gradually aligning with TKV's standard model;
- Successfully organize the 2025 Annual General Meeting of Shareholders on April 24, 2025 to approve relevant contents in accordance with legal regulations;
- Timely issue Resolutions/Decisions as required for the Company to implement and meet production and business activities.

3.2. Issue a resolution and Decision to temporarily suspend the status of a member of the Board of Directors for 01 case of law violation, and conduct information disclosure in accordance with regulations.

4. Activities of the Board of Directors' subcommittees: None

5. Resolutions of the Board of Directors.

No.	Resolution/ Decision No.	Date	Content	Approval rate
1	01/NQ- HDQT	13/01/2025	<p>1. On approving the content of the outsourcing contract for excavation and transportation of soil and rock at the production site in 2025;</p> <p>2. On the approval of the regulations on management and use of the bonus fund for the Manager of Deo Nai - Coc Sau - TKV Coal Joint Stock Company;</p> <p>3. Regarding the approval of the content of the settlement of remuneration and bonuses for TKV's Representative at the Company in 2023;</p> <p>4. Implement TKV's documents and Company management work.</p>	100%
2	01.1/NQ- HDQT	13/01/2025	Regarding (temporarily) approving the policy of approving contracts and transactions between Deo Nai - Coc Sau - TKV Coal Joint Stock Company with related enterprises and persons in 2025.	100%
3	01.2/NQ- HDQT	13/01/2025	Regarding the proposal to authorize short-term and long-term loans in 2025 to serve production and business.	100%
4	02/NQ- HDQT	11/02/2025	<p>1. On the approval of the average short-term credit limit and some financial indicators for 2025;</p> <p>2. On the approval and (temporary) approval of the plan for using the reward and welfare fund in 2025;</p> <p>3. Regarding the plan to sell waste rock after screening in 2025 to Red River Coal Joint Stock Company and Company 790 (under Dong Bac Corporation);</p> <p>4. Regarding the approval of the construction location of the electronic truck scale station ≥ 200 tons at the Company's quarry;</p> <p>5. Implement TKV's documents and Company management work.</p>	100%
5	02.1/NQ- HDQT	11/02/2025	Regarding the approval of the plan to organize the 2025 Annual General Meeting of Shareholders;	100%
6	03/NQ- HDQT	28/02/2025	<p>1. Regarding the results of implementing the cost allocation, the provisional profit distribution plan for 2024 and the contents of the report/request for TKV's opinion to vote at the 2025 Annual General Meeting of Shareholders;</p> <p>2. Regarding the plan to sell coal from mixed rock and soil sources in 2025 to Vinacomin - Cam Pha Coal Trading Joint Stock Company;</p> <p>3. Regarding the plan to sell coal from mixed rock and soil sources in 2025 to Red River Coal Joint Stock Company and Company 790 (under Dong Bac Corporation);</p>	100%

No.	Resolution/ Decision No.	Date	Content	Approval rate
			<p>4. Regarding the plan to sell waste rock after screening in 2025 to Red River Coal Joint Stock Company and Company 790 (under Dong Bac Corporation);</p> <p>5. Regarding the report of the Company Director and comments on the expected business results of February 2025 and the plan for March 2025;</p> <p>6. Implement TKV's documents and Company management work.</p>	
7	03.1/NQ-HDQT	28/02/2025	Regarding the approval of the "Plan for leasing the office property of Deo Nai - Coc Sau - TKV Coal Joint Stock Company [headquarter area of the Vinacomin - Coc Sau Coal Joint Stock Company (old)]".	100%
8	03.3/NQ-HDQT	11/3/2025	Re: Review and decide on the content of the Contract for leasing/renting assets of the office premises of Deo Nai - Coc Sau - TKV Coal Joint Stock Company [headquarter area of the Production Operation House of Vinacomin - Coc Sau Coal Joint Stock Company (old)]	100%
9	04/NQ-HDQT	20/3/2025	<p>1. Approval of the Plan to merge Network Station Workshop 1 with Network Station Workshop 2 of the Company;</p> <p>2. On Approval of the Regulations on Management of Training and Development;</p> <p>3. On Approval of the final settlement of salary fund for implementation in 2024;</p> <p>4. Regarding consideration and approval of adjustments to a number of 2025 production and business plan indicators;</p> <p>5. Regarding the approval of the main indicators guiding the Company's 5-year production and business plan for 2026-2030;</p> <p>6. Review and comment on the implementation results of a number of Board of Directors' Resolutions and the implementation of management documents of TKV and all levels sent to the Company.</p>	100%
10	04.1/NQ-HDQT	20/3/2025	Regarding the approval of documents to list all shares of the Company on the Hanoi Stock Exchange (HNX).	100%
11	05/NQ-HDQT	03/4/2025	<p>1. On the approval of the contents of the records and documents of the 2025 Annual General Meeting of Shareholders;</p> <p>2. On shareholder management at the 2025 Annual General Meeting of Shareholders.</p>	100%
12	06/NQ-HDQT	10/4/2025	1. On approving the adjustment of some planning indicators for outsourcing production stages in 2025 and approving the	100%

No.	Resolution/ Decision No.	Date	Content	Approval rate
			Plan and budget for Outsourcing clean coal processing from mixed soil and rock in 2025; 2. On the approval and promulgation of the Company's Training and Development Regulations; 3. Implement some management documents and other content.	
13	07/NQ-HDQT	21/4/2025	1. Regarding the contents and official documents at the 2025 Annual General Meeting of Shareholders; 2. Regarding the organization of the 2025 Annual General Meeting of Shareholders; 3. Return of unused land at Dong Cao Son landfill; 4. Regarding the settlement of the termination of labor contracts and delegation of staff; 5. Regarding increasing charter capital from the Company's equity; 6. Other management work.	100%
14	08/NQ-DHDCD	24/4/2025	Contents mentioned in Section I (2025 Annual General Meeting of Shareholders)	100%
15	09/NQ-HDQT	14/5/2025	1. Approval of the Report on Completion of Plans for Outsourcing of Some Production Stages in 2024; 2. On the approval of the Company's Labor Safety and Hygiene Management Regulations; 3. On approving amendments and supplements to a number of articles in the Company's Emulation and Reward Regulations; 4. Regarding the approval of the Company's plan to use the Bonus and Welfare Funds in 2025; 5. On the progress of applying for mining licenses for the Mining Cluster Project; 6. Regarding the review and approval of additional consulting and verification expenses for the Construction Drawing Design of the Coc Sau-Deo Nai Mine Cluster Exploitation Project; 7. Regarding consideration and approval of returning land plots that are not in use; 8. Implement some management documents and management work of the Company.	100%
16	10/NQ-HDQT	22/5/2025	1. On approving policies and plans for staff mobilization and appointment; 2. Regarding the approval of the policy and plan for appointing the Manager of Excavation Site No. 2.;	100%

No.	Resolution/ Decision No.	Date	Content	Approval Rate
			<p>3. Regarding listening to reports and giving opinions on draft regulations on resolving surplus labor and renewing labor structure;</p> <p>4. Implement some management tasks of the Company.</p>	
17	11/NQ-HDQT	28/5/2025	<p>1. On approving the results of the implementation process of appointing officials for the position of Head of Planning and Cost Management Department;</p> <p>2. Regarding the approval of the results of the appointment process for the position of Manager of Excavation Site No. 2..</p>	100%
18	12.1/NQ-HDQT	04/6/2025	Regarding the approval of the First Trading Date of shares (stock symbol TD6) at the Hanoi Stock Exchange (HNX).	100%
19	12/NQ-HDQT	04/6/2025	<p>1. On the adoption and approval of the Regulations on organization and legal activities of Deo Nai - Coc Sau - TKV Coal Joint Stock Company;</p> <p>2. On the approval and promulgation of the Company's Labor Safety and Hygiene Management Regulations;</p> <p>3. On approving the termination of the validity of Decision No. 32/QD TDNCS dated June 26, 2024 of the Company's Board of Directors;</p> <p>4. On approving the work plan and conditions for organizing the Groundbreaking Ceremony of the Coc Sau - Deo Nai Mining Cluster Project;</p> <p>5. Listen and give opinions on the expected report on production and business results for May 2025 and the plan for June 2025;</p> <p>6. Implement some documents of TKV and other management work.</p>	100%
20	13/NQ-HDQT	20/6/2025	Regarding the consideration and approval of the appointment of the Mine Executive Director;	100%
21	13.1/NQ-HDQT	20/6/2025	Regarding the review and approval of the Construction Design to be implemented after the basic design (Construction Drawing Design) of the Coc Sau - Deo Nai mining cluster exploitation project;	100%
22	14/NQ-HDQT	24/6/2025	<p>1. Approving the content of the Implementation Report and the Company's Organization and Labor Plan until the end of 2025 and the period 2026-2030;</p> <p>2. Approval of the Plan to dissolve Transport Workshop 10; Plan to merge Transport Workshop 1 with Transport Workshop 8 and Plan to merge Auto Repair Workshop No. 1 with Auto Repair Workshop No. 2 of the Company.</p>	100%

No.	Resolution/ Decision No.	Date	Content	Approval rate
23	14.1/NQ-HDQT	24/6/2025	1. Regarding sending officials on business trips abroad; 2. Regarding the review and approval of the adjustment of the Company's 2025 coal purchase/sale plan from the Company's 2025 coal resources; 3. On the approval and promulgation of the Regulations on organization and legal activities of Deo Nai - Coc Sau - TKV Coal Joint Stock Company; 4. Implement some documents of TKV and other management work.	100%

III. Board of Supervisors

1. Information about the members of Board of Supervisors (BOS)

No.	Members of Board of Supervisors	Position	The date becoming/ceasing to be the member of the Board of Supervisors	Qualification
1	Ms. Nguyen Thi Luong Anh	Head of the Board	Elected at the 2023 Extraordinary General Meeting of Shareholders and effective from June 26, 2024	Bachelor's degree in Economics (Specialization in Corporate Financial Accounting)
2	Mrs. Tran Thi Diep	Member	Elected at the 2023 Extraordinary General Meeting of Shareholders and effective from June 26, 2024	Economic Engineer and Business Administration.
3	Mrs. Nguyen Thi Yen	Member	Elected at the 2023 Extraordinary General Meeting of Shareholders and effective from June 26, 2024	Bachelor's degree in Business Administration; Bachelor's degree in Accounting.

2. Meetings of Board of Supervisors:

In the first 6 months of 2025, the Company's Board of Supervisors hold 3 meetings.

No.	Members of Board of Supervisors	Number of meeting attended	Attendance rate (%)	Voting rate (%)	Reasons for absence
1	Ms. Nguyen Thi Luong Anh	03/03	100	100	-
2	Mrs. Tran Thi Diep	03/03	100	100	-
3	Mrs. Nguyen Thi Yen	03/03	100	100	-

In the first 6 months of 2025, the Company's Board of Supervisors hold 3 meetings to implement the established program and plan, specifically:

No.	Date	Meeting content
Meeting 1	03/01/2025	Evaluate the results of performing tasks in 2024; deploy the first quarter plan of 2025 of the Company's Board of Supervisors
Meeting 2	10/4/2025	Through the reports and proposals of the Company's Board of Supervisors at the 2025 Annual General Meeting of Shareholders of the Board of Supervisors
Meeting 3	24/4/2025	Evaluation of the results of the first quarter's tasks; implementation of the second quarter 2025 plan of the Board of Supervisors

3. Supervising Board of Directors, Board of Management and shareholders by Board of Supervisors.

The Company's Board of Supervisors has implemented compliance monitoring activities in accordance with the Company's Charter, the provisions of the Enterprise Law and relevant laws, including the following specific basic contents:

- Supervise the Board of Directors and the Company's Director in managing and operating the Company and organizing the implementation of the Resolution of the General Meeting of Shareholders, implementing the Company's 2025 production and business plan;

- Check the rationality, legality, honesty and prudence in management and operation of production and business activities; Check the systematicity, consistency and suitability of accounting, statistics and financial reporting;

- Review contracts and transactions with related parties under the approval authority of the Board of Directors or General Meeting of Shareholders.

- Review and evaluate the effectiveness and efficiency of the Company's internal audit, risk management and early warning systems.

- Supervise the Company's restructuring and labor arrangement.

- Supervise the use and preservation of capital, and the efficiency of the Company's production and business activities. Supervise a number of management areas: Material management, outsourcing, management and use of assets, debts, salaries, etc. of the Company.

- Supervise the Board of Directors in the field of issuing resolutions and supervising the implementation of resolutions; Supervise the Company Director in implementing resolutions and decisions of the Board of Directors.

* **Comments:** Within the scope of its authority and responsibility, the Supervisory Board assesses that the Board of Directors and the Board of Management have operated in accordance with their functions and duties; in accordance with regulations and in accordance with the Enterprise Law as well as the Charter of the Company's organization and operation, promptly implementing the Decisions and Resolutions of the Shareholders' Meeting and the Board of

Management. The Supervisory Board does not see any abnormalities in the operations of the Board of Directors, the Board of Management and the Company's management staff.

4. The coordination among the Board of Supervisors, the Board of Management, Board of Directors and other managers.

The Board of Supervisors, the Board of Directors and the Board of Management of the Company have maintained a working relationship, closely cooperating and coordinating on the principle of serving the interests of the Company and its shareholders, complying with the provisions of law, the Company's Charter and internal regulations. The Board of Directors and the Company's Executive Board have created favorable conditions for the Board of Supervisors to collect and provide necessary information and documents for the Board of Supervisors' inspection and supervision work.

5. Other activities of the Board of Supervisors: (None)

IV. Board of Management

No.	Members of Board of Management	Date of birth	Qualification	Date of appointment/dismissal of members of the Board of Management
1	Mr. Dang Thanh Binh - Company Director	12/11/1977	Master's degree in Mining Engineering - Mining Engineer	Appointed from June 26, 2024 (Elected by the 2023 Extraordinary General Meeting of Shareholders at the General Meeting)
2	Mr. Thieu Dinh Giang - Deputy Director	12/8/1977	Master's degree in Mechanical Engineering - Automotive Engineer	Appointed from 26/6/2024
3	Mr. Vu Trong Hung - Deputy Director	22/12/1977	Master's degree in Mining Engineering - Mining Engineer	Appointed from 26/6/2024
4	Mr. Dinh Thai Binh - Deputy Director	11/6/1976	Master's degree in Mining Engineering - Mining Engineer	Appointed from 26/6/2024
5	Mr. Tran Nhat Quang - Deputy Director	02/9/1971	Economic Engineering in Automotive Transport.	Appointed from 26/6/2024

V. Chief Accountant

Name	Date of birth	Qualification	Date of appointment/dismissal
Mrs. Vu Thi Huong	02/05/1974	Mining Economics Engineer, Bachelor of Accounting	Appointed from 26/6/2024

VI. Training courses on corporate governance.


The Company always pays attention to training for members of the Board of Directors and Company Secretary to fully participate in corporate governance training courses through conferences/seminars /courses organized by the State Securities Commission, Hanoi Stock Exchange and TKV Group.

VII. The list of affiliated persons of the public company (Semi-annual Report 2025) and transactions of affiliated persons of the Company

1. The list of affiliated persons of the Company: *Attached Appendix No. 01.*
2. Transactions between the Company and its affiliated persons or between the Company and its major shareholders, internal persons and affiliated persons: Attached appendix.
3. Transaction between internal persons of the Company, affiliated persons of internal persons and the Company's subsidiaries in which the Company takes controlling power: No.
4. Transactions between the Company and other objects.
 - 4.1. Transactions between the Company and the company that its member of Board of Management, the Board of Supervisors, Directors have been founding members or members of Board of Director, CEOs in three (03) latest years (calculated at the time of reporting): *Attached is Appendix No. 02.*
 - 4.2. Transactions between the Company and the company that its affiliated persons with members of Board of Directors, Board of Supervisors, Director and other managers as a member of Board of Directors, CEO: None.
 - 4.3. Other transactions of the Company (If any) may bring material or non-material benefits for members of Board of Directors, members of the Board of Supervisors, Director: None.

VIII. Share transactions of internal persons and their affiliated persons (Semi-annual report 2025): There were no transactions in the first six months of 2025.

IX. Other significant issues: None.

Sincerely Report/ 

Recipient:

- The State Securities Commission, HNX (Report);
- Shareholders (via Website);
- Board of Directors, Board of Supervisors, Board of Directors, Chief Accountant (e-copy);
- Departments (e-copy);
- Store Admin, BOD, Website.

O/B. THE BOARD OF DIRECTORS



Nguyen Trong Tot

Appendix No. 01

THE LIST OF INTERNAL PERSONS AND THEIR AFFILIATED PERSONS IN THE FIRST 6 MONTHS OF 2025

(Attached to Report No. 5696 /TDNCS-HDQT, 28/7/2025)

No.	Stock symb of	Name	Securities Trading Account (if any)	Position at the Company (if any)	ID card No./ citizen identification card/ passport number;	Date, month, year of issue	Place of issue	Head office address/Contact address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period (%)	Note
1	TD6	Nguyen Trong Tot	-	Chairman of the Board of Directors	034065002627	10/7/2021	Department of Administrative Police on Social Order	Group 57, Zone 4, Hong Gai Ward, Quang Ninh	0	0	Elected at the 2023 Extraordinary General Meeting of Shareholders, effective from June 26, 2024
1.1	TD6	Bui Thi Hoa	-	-	034171003584	27/12/2016	Department of Administrative Police on Social Order	Group 57, Zone 4, Hong Gai Ward, Quang Ninh	0	0	Wife
1.2	TD6	Nguyen Mai Quynh	-	-	034197010731	14/8/2021	Department of Administrative Police on Social Order	Hong Ha Ward, Quang Ninh	0	0	Child
1.3	TD6	Nguyen Dinh Tin	-	-	022096011240	28/6/2021	Department of Administrative Police on Social Order	-As above-	0	0	son in law
1.4	TD6	Nguyen Quynh Trang	-	-	022302000187	27/12/2016	Department of Administrative Police on Social Order	Cau Giay Ward, Hanoi City	0	0	Child

No.	Stock symbol of	Name	Securities Trading Account (if any)	Position at the Company (if any)	ID card No./ citizen identification card/ passport number;	Date, month, year of issue	Place of issue	Head office address/Contact address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period (%)	Note
1.5	TD6	Nguyen Thi Chien	-	-	034154003361	05/01/2017	Department of Police for Residence Registration and National Population Statistics	Tay Thai Ninh Commune, Hung Yen Province	0	0	Sister
1.6	TD6	Nguyen Cong Thuan	-	-	034054003361	05/01/2017	Department of Police for Residence Registration and National Population Statistics	-As above-	0	0	brother in law
1.7	TD6	Nguyen Thi Huyen	-	-	151992838	16/09/2008	Thai Binh Provincial Police	-As above-	0	0	Sister
1.8	TD6	Dinh Van Mien	-	-	152227471	04/07/2013	Thai Binh Provincial Police	-As above-	0	0	brother in law
1.9	TD6	Dinh Thi Nguyen	-	-	03413700828	04/5/2023	Department of Administrative Procedures on Social Order	-As above-	0	0	Mother in law
1.10		Vinacomin - Mong Duong Coal Joint Stock Company		Business Registration	5700101203		Department of Planning and Investment of Quang Ninh Province	Mong Duong Ward, Quang Ninh			Organizations related to Mr. Nguyen Trong Tot - Chairman

No.	Stock symbol	Name	Securities Trading Account (if any)	Position at the Company (if any)	ID card No./ citizen identification card/ passport number;	Date, month, year of issue	Place of issue	Head office address/Contact address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period (%)	Note
1.11		Vinacomin Machinery Joint Stock Company		Business Registration	5700495999	31/3/2008	Department of Planning and Investment of Quang Ninh Province	Quang Hanh Ward, Quang Ninh			of the Board of Directors.
1.12		Uong Bi Auto Mechanical Joint Stock Company		Business Registration	5700623552	28/12/2006	Department of Planning and Investment of Quang Ninh Province	Tan Lap 2 Area, Yen Tu Ward, Quang Ninh			
2	TD6	Dang Thanh Binh	-	Member of the Board of Directors, Director	034077017438	13/08/2021	Department of Administrative Procedures on Social Order	Group 2, Hon Mot area, Cam Pha - Quang Ninh	522	0.0008%	Elected at the 2023 Extraordinary General Meeting of Shareholders, effective from June 26, 2024
2.1	TD6	Hoang Thi Quynh Phuong	-	-	022178011946	18/06/2022	Department of National Registration and Management	-As above-	0	0	Wife
2.2	TD6	Dang Phuong Linh	-	-	022303000913	15/09/2022	Department of National Registration and Management	-As above-	0	0	Child
2.3	TD6	Dang Phuong Chi	-	-	022309012547	19/02/2024	Department of Administrative	-As above-	0	0	Child

No.	Stock symbol of	Name	Securities Trading Account (if any)	Position at the Company (if any)	ID card No./ citizen identification card/ passport number;	Date, month, year of issue	Place of issue	Head office address/Contact address	Number of shares owned at the end of the period	Percenta ge of share ownershi p at the end of the period (%)	Note
							Procedures on Social Order				
2.4	TD6	Nguyen Thi Mo	-	-	034143001192	19/08/2021	Department of National Registration and Management	Tien Hung Commune, Hung Yen Province	0	0	Mother
2.5	TD6	Dang Minh Thang	-	-	034075020616	10/7/2021	Department of Administrative Procedures on Social Order	No. 23, Lane 82, Chua Lang, Lang Ward, Hanoi	0	0	brother
2.6	TD6	Dang Minh Hue	-	-	151334228	02/09/2013	Thai Binh	Tien Hung Commune, Hung Yen Province	0	0	younger brother
2.7	TD6	Nguyen Hoai Anh	-	-	001177011136	25/04/2021	Department of Administrative Procedures on Social Order	No. 23, Lane 82, Chua Lang, Lang Ward, Hanoi	0	0	Sister in law
2.8	TD6	Hoang Van Cong	-	-	036054015752	31/12/2021	Department of National Registration and Management	Cam Pha Ward, Quang Ninh	0	0	father in law
2.9	TD6	Nguyen Thi Phan	-	-	036158021090	13/01/2022	Department of National Registration and Management	Cam Pha Ward, Quang Ninh	0	0	Mother in law
3	TD6	Nguyen Van Thuan	061C661897	Executive Member of the	033070002437	01/05/2021	Quang Ninh	Group 32 Cua Ong, Quang Ninh	1,392	0.002	Elected at the 2023 Extraordinary

No.	Stock symbol	Name	Securities Trading Account (if any)	Position at the Company (if any)	ID card No./ citizen identification card/ passport number;	Date, month, year of issue	Place of issue	Head office address/Contact address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period (%)	Note
				Board of Directors							General Meeting of Shareholders, effective from June 26, 2024
3.1	TD6	Dang Thi Khanh		-	0145762691	07/07/2012	Hung Yen	Trieu Viet Vuong Commune, Hung Yen Province			Mother
3.2	TD6	Pham Thi Hoan	061C660167	-	022174002155	01/05/2021	Quang Ninh	Group 32 Cua Ong, Quang Ninh	1,160	0.0019	Wife
3.3	TD6	Nguyen Duc Toan	-	-	101248485	16/07/2012	Quang Ninh	Group 32, Cua Ong, Quang Ninh			Child
3.4	TD6	Nguyen Duc Duy	-	-	022206003997	11/04/2021	Quang Ninh	Group 32, Cua Ong, Quang Ninh			Child
3.5	TD6	Nguyen Thi Van	-	-	011650568	20/11/2007	Hanoi	Cau Giay Ward, Hanoi City			Sister
3.6	TD6	Nguyen Van Thuan	-	-	033064003595	10/12/2019	Hung Yen	Trieu Viet Vuong Commune, Hung Yen Province			brother
3.7	TD6	Nguyen Thi Ly	-	-	141356514	21/03/1985	Hung Yen	Lane 558/8 Bo De Ward, Hanoi City			Sister
3.8	TD6	Nguyen Thi Ha	-	-	1455499262	12/06/2009	Hung Yen	Trieu Viet Vuong Commune, Hung Yen Province			younger brother
3.8	TD6	Nguyen Thi Hue	-	-	145264875	21/02/2011	Hung Yen	Trieu Viet Vuong Commune, Hung Yen Province			younger brother
3.10	TD6	Pham Manh Ha	-	-	033048001019	04/09/2018	Quang Ninh	Zone 1A Cua Ong, Quang Ninh			father in law

No.	Stock symbol	Name	Securities Trading Account (if any)	Position at the Company (if any)	ID card No./ citizen identification card/ passport number;	Date, month, year of issue	Place of issue	Head office address/Contact address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period (%)	Note
3.11	TD6	Do Thi Tan	-	-	030151001442	22/08/2018	Quang Ninh	Area 1A, Cua Ong, Quang Ninh			Mother in law
3.12	TD6	Vu Ngoc Lan	-	-	012837132	28/11/2005	Hanoi	Cau Giay Ward, Hanoi City			brother in law
3.13	TD6	Nguyen Thi Dat	-	-	145839211	09/05/2013	Hung Yen	Trieu Viet Vuong Commune, Hung Yen Province			Sister in law
3.14	TD6	Nguyen Nghiem	-	-	233276342	18/03/2019	Hung Yen	Lane 558/8 Bo De Ward, Hanoi City			brother in law
3.15	TD6	Nguyen Toan Thang	-	-	145549188	12/06/2009	Hung Yen	Trieu Viet Vuong Commune, Hung Yen Province			brother in law
3.16	TD6	Nguyen Van Loat	-	-	145264876	27/11/2003	Hung Yen	Hoan Long Commune, Hung Yen Province			brother in law
4	TD6	Vu Trong Hung	061C668947	Member of the Board of Directors, Deputy Director	022077003638	28/05/2021	Department of Administrative Police on Social Order	No. 10, Group 18, Cam Pha Ward, Quang Ninh Province.	787	0.0013	Elected at the Extraordinary General Meeting of Shareholders, effective from June 26, 2024
4.1	TD6	Vu Trong Yem	-	-	37043000583	25/04/2021	Department of Administrative Police on Social Order	-As above-			Father
4.2	TD6	Nguyen Thi Thuy	-	-	22180008445	13/08/2021	Department of Administrative	-As above-			Wife

No.	Stock symbol	Name	Securities Trading Account (if any)	Position at the Company (if any)	ID card No./ citizen identification card/ passport number;	Date, month, year of issue	Place of issue	Head office address/Contact address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period (%)	Note
							Police on Social Order				
4.3	TD6	Vu Thu Ngan	-	-	022305001324	01/05/2021	Department of Administrative Police on Social Order	-As above-			Child
4.4	TD6	Vu Bao Ngoc	-	-	022308008462	01/03/2023	Department of Administrative Police on Social Order	-As above-			Child
4.5	TD6	Vu Trong Hien	-	-	37069003491	25/04/2021	Department of Administrative Police on Social Order	Cam Pha Ward - Quang Ninh	2,097	0.0034	brother
4.6	TD6	Pham Thi Ban	-	-	034174002320	11/03/2006	Department of Administrative Police on Social Order	-As above-			Sister in law
4.7	TD6	Vu Trong Hien	-	-	37075004438	25/04/2021	Department of Administrative Police on Social Order	Cam Pha Ward - Quang Ninh	1,048	0.0017	brother
4.8	TD6	Pham Thi Duyen	-	-	19183001853	25/04/2021	Department of Administrative Police on Social Order	-As above-			Sister in law
4.9	TD6	Tran Thi Van	-	-	22153005089	10/05/2021	Department of Administrative	Labor Area, Cam Pha Ward - Quang Ninh			Mother in law

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							Police on Social Order				
5	TD6	Phung Van Tuyen	006C076511 021C034498	Independent Member of Board of Directors	034060007471	27/6/2021	Department of Administrative Management and Social Order	Group 6, Zone 2, Ha Tu Ward, Quang Ninh	0	0	Elected at the 2024 Extraordinary General Meeting of Shareholders, effective from November 19, 2024
5.1	TD6	Le Thi Thuy	022C034954	-	022169007177	25/06/2021	Department of Administrative Management and Social Order	-As above-			Wife
5.2	TD6	Phung Le Quang	021C034941	-	022089010331	13/02/2022	Department of Administrative Management and Social Order	House No. 5, Lane 7, Dinh Dam Street, Thanh Dong Ward, Hai Phong City			Child
5.3	TD6	Do Thi Hong Nhung		-	022195001514	13/02/2022	Department of Administrative Management and Social Order	-As above-			daughter in law
5.4	TD6	Phung Le Minh		-	022203003560	31/5/2021	Department of Administrative Management	Group 6, Zone 2, Ha Tu Ward, Quang Ninh			Child

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							and Social Order				
5.5	TD6	Phung Van Tien		-	034043001581	03/04/2021	Department of Administrative Management and Social Order	No. 34/10 Binh Phuoc B, An Phu Ward, Ho Chi Minh City			Father
5.6	TD6	Dao Thi Gai		-	034142003906	09/01/2022	Department of Administrative Management and Social Order	Lay Street, Phu Duc Commune, Hung Yen Province			Mother
5.7	TD6	Phung Van Quynh		-	034058017383	19/12/2021	Department of Administrative Management and Social Order	-As above-			younger brother
5.8	TD6	Chu Thi My		-	034163013813	14/09/2021	Department of Administrative Management and Social Order	-As above-			sister in law
5.9	TD6	Phung Thi Thuy Ha		-	034172014622	04/7/2022	Department of Administrative Management and Social Order	Duc Linh village, Phu Duc commune , Hung Yen province			younger brother
5.10	TD6	Nguyen The Tuyen		-	034066013615	14/3/2022	Department of Administrative Management and Social Order	Duc Linh village, Phu Duc commune , Hung Yen province			brother in law

No.	Stock symbol of	Name	Securities Trading Account (if any)	Position at the Company (if any)	ID card No./ citizen identification card/ passport number;	Date, month, year of issue	Place of issue	Head office address/Contact address	Number of shares owned at the end of the period	Percenta ge of share ownershi p at the end of the period (%)	Note
							Management and Social Order				
5.11	TD6	Le Van Hue		-	022042000435	28/5/2021	Department of Administrative Management and Social Order	Group 1, Area 3, Dong Trieu Ward, Quang Ninh Province		.	father in law
5.12	TD6	Tran Thi Hai		-	036136008531	22/12/2022	Department of Administrative Management and Social Order	Group 1, Area 3, Dong Trieu Ward , Quang Ninh Province			Mother in law
6	TD6	Dinh Thai Binh	061C661572	Deputy Director	022076000154	22/12/2021	Quang Ninh	Group 3, Cao Son 2 Area, Cam Pha, Quang Ninh	580	0.00094	Appointed Deputy Director from June 26, 2024
6.1	TD6	Dinh Van An	-	-	031048000350	03/09/2015	Quang Ninh	-As above-			Father
6.2	TD6	Nguyen Thi Quang	-	-	100366990	21/04/2014	Quang Ninh	-As above-			Mother
6.3	TD6	Tran Thi Huong	-	-	022179002773	14/06/1018	Quang Ninh	-As above-			Wife
6.4	TD6	Dinh Quang Tuan	-	-	Young			-As above-			Child
6.5	TD6	Dinh Phu Lam	-	-	Young			-As above-			Child
6.6	TD6	Dinh Viet Minh	-	-	100658019	31/05/2012	Quang Ninh	Ha Long, Quang Ninh			younger brother
6.7	TD6	Dinh Thai Duong	-	-	022077002591	24/08/2018	Quang Ninh	-As above-			younger brother
6.8	TD6	Dinh Xuan Thang	-	-	100864392	12/08/2005	Quang Ninh	-As above-			younger brother

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6.9	TD6	Dinh Xuan Loi	-	-	13458439	25/10/2011	Quang Ninh	Hanoi			younger brother
6.10	TD6	Tran Bien	-	-	05305000928	27/03/2018	Quang Ninh	Cam Pha, Quang Ninh			father in law
6.11	TD6	Do Thi Can	-	-	027150000143	27/03/2018	Quang Ninh	Cam Pha, Quang Ninh			Mother in law
6.12	TD6	Nguyen Thi Kim Thu	-	-	025177000820	31/01/2020	Quang Ninh	Ha Long, Quang Ninh			sister in law
6.13	TD6	Nguyen Thi My Cam	-	-	022177002696	24/08/2018	Quang Ninh	Ha Long, Quang Ninh			sister in law
6.14	TD6	Vu Thi Hang	-	-	100922411	08/03/2014	Quang Ninh	Ha Long, Quang Ninh			sister in law
6.15	TD6	Tran Thu Minh	-	-	1184003559	01/10/2014	Hanoi	Hanoi			sister in law
7	TD6	Tran Nhat Quang	061C661591	Deputy Director	022071010445	13/01/2022	Quang Ninh	Group 1, Binh Minh Area, Cam Pha, Quang Ninh	2,087	0.0034	Appointed Deputy Director from June 26, 2024
7.1	TD6	Tran Van Quynh	-	-	022035002527	09/08/2021	Quang Ninh	Group 2, Binh Minh Area, Cam Pha, Quang Ninh			Father
7.2	TD6	Nguyen Thi Nguyet	-	-	022142003235	25/06/2021	Quang Ninh	Group 2, Binh Minh Area, Cam Pha, Quang Ninh			Mother
7.3	TD6	Nguyen Thi Tuyen	-	-	022171003185	09/10/2019	Quang Ninh	Group 1, Binh Minh Area, Cam Pha, Quang Ninh			Wife
7.4	TD6	Tran Minh Trang	-	-	B8984609	27/3/2014	Vietnam Immigration Department	Wim-Duisenberg-Platz,60314Frankfurt			Child
7.5	TD6	Tran Quang Minh	-	-	Young			Group 1, Binh Minh Area, Cam Pha, Quang Ninh			Child

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7.6	TD6	Tran Thi Quynh Nga	-	-	022166002682	23/08/2022	Quang Ninh	Group 1, Binh Minh Area, Cam Pha, Quang Ninh			Sister
7.7	TD6	Tran Thi Minh Ngoc	-	-	L3RH455FN	22/5/2012	City of Forst (Lausitz)	Dunernweg 36.03 149 Forst (Lausitz)			Sister
7.8	TD6	Tran Van Quang	-	-	022073008247	28/06/2021	Quang Ninh	House No. 3/24 Phu Gia, Vinhme urban area, Hong Gai ward, Quang Ninh province			younger brother
7.9	TD6	Thomas Trybus	-	-	L2RFZC4RP	16/05/2022	Bremen Citizens Administration Office	Wim-Duisenberg-Platz, 60314 Frankfurt			son in law
7.10	TD6	Vu Ngoc Dung	-	-	034061017792	26/09/2022	Quang Ninh	Group 1, Area 5B, Cam Pha, Quang Ninh			brother in law
7.11	TD6	Phan Thi Quynh	-	-	026179006483	29/11/2022	Quang Ninh	House No. 3/24 Phu Gia, Vinhme urban area, Hong Gai ward, Quang Ninh province			sister in law
8	TD6	Thieu Dinh Giang	-	Member of Board of Directors, Deputy Director	034077006273	01/05/2021	Department of Administrative Police on Social Order	Group 4, Zone 5, Cam Pha Ward, Quang Ninh	2,576	0.0042%	Appointed Deputy Director from June 26, 2024
8.1	TD6	Nguyen Thi Hong Gam	-	-	022179004446	22/12/2021	Department of Administrative Police on Social Order	As above			Wife

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8.2	TD6	Thieu Khanh Linh	-	-	022305001178	25/4/2021	Department of Administrative Police on Social Order	As above			Child
8.3	TD6	Thieu Khanh Huy	-	-	022209005043	02/6/2023	Department of Administrative Police on Social Order	As above			Child
8.4	TD6	Tran Thi Ngo	-	-	034155007157	25/04/2021	Department of Administrative Police on Social Order	As above			Mother
8.5	TD6	Thieu Thi Bich Dung	-	-	034183021281	17/06/2022	Department of Administrative Police on Social Order	Hoang Que Ward, Quang Ninh Province			younger brother
8.6	TD6	Ngo Hoang Nhu	-	-	022082012333	17/06/2022	Department of Administrative Police on Social Order	As above			brother in law
9	TD6	Nguyen Thi Luong Anh	-	Head of the Board of Supervisors	001171013381	20/4/2021	Department of Administrative Procedures on Social Order.	Group 21 - Yen Hoa Ward - Hanoi	0	0	Elected at the 2023 Extraordinary General Meeting of Shareholders, effective from June 26, 2024

No.	Stock symbol of	Name	Securities Trading Account (if any)	Position at the Company (if any)	ID card No./ citizen identification card/ passport number;	Date, month, year of issue	Place of issue	Head office address/Contact address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period (%)	Note
9.1	TD6	Luong Thi Ngoc Bich	-	-	090194616	02/10/2008	Thai Nguyen	Cao Son Hamlet, Quan Trieu Ward, Thai Nguyen Province			Mother
9.2	TD6	Trinh Dac Hoa	-	-	022071001896	10/7/2021	Department of Administrative Police on Social Order	Group 21 - Yen Hoa Ward - Hanoi City			Husband
9.3	TD6	Trinh Nhu Phuong	-	-	001198030567	26/04/2022	Department of Administrative Police on Social Order	Group 21 - Yen Hoa Ward - Hanoi City			Child
9.4	TD6	Trinh Minh Duc	-	-	001205010925	29/04/2021	Department of Administrative Police on Social Order	Group 21 - Yen Hoa Ward - Hanoi City			Child
9.5	TD6	Duong Tuan Anh	-	-	001095010039	19/08/2021	Department of Administrative Police on Social Order	Xuan Phuong Ward, Hanoi City			son in law
9.6	TD6	Nguyen Thi Luong Nga	-	-	019173000653	29/03/2021	Department of Administrative Police on Social Order	Cao Son Hamlet, Quan Trieu Ward, Thai Nguyen Province			younger brother
9.7	TD6	Nguyen Thac Cuong	-	-	019068000360	06/04/2021	Department of Administrative Police on Social Order	Cao Son Hamlet, Quan Trieu Ward, Thai Nguyen Province			brother in law

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9.8	TD6	Nguyen Luong Vinh	-	-	019077012441	07/12/2021	Department of Administrative Police on Social Order	Group 20, Thu Lam Commune, Hanoi City			younger brother
9.9	TD6	Vu Thi Thu Giang	-	-	022184005053	13/07/2018	Department of National Population Registration and Management	Group 20, Thu Lam Commune, Hanoi City			sister in law
9.10	TD6	Trinh Dac Dau	-	-	036035002699	25/12/2022	Department of Administrative Police on Social Order	Yen Hung Street, Dong Mai Ward, Quang Ninh Province			father in law
10	TD6	Tran Thi Diep	061C660817	Members of Board of Supervisors	034176017605	10/05/2021	Department of Administrative Police on Social Order	Group 5, Area 1A, Cam Pha Ward, Quang Ninh	650	0.00001	Elected at the 2023 Extraordinary General Meeting of Shareholders, effective from June 26, 2024
10.1	TD6	Tran Cong Cay		-	034047003001	13/02/2022	Department of Administrative Police on Social Order	Group 6, Area 5A, Cam Pha Ward, Quang Ninh			Father
10.2	TD6	Nguyen Huu Quang	061C660815	-	022071001349	01/5/2021	Department of Administrative Police on Social Order	Group 5, Area 1A, Cam Pha Ward, Quang Ninh	975	0.0016	Husband

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10.3	TD6	Nguyen Tran Thanh	-	-	022098003861	12/8/2021	Department of Administrative Police on Social Order	Group 5, Area 1A, Cam Pha Ward, Quang Ninh			Child
10.4	TD6	Nguyen Huu Phu	-	-	022208008663	26/06/2021	Department of Administrative Police on Social Order	Group 5, Area 1A, Cam Pha Ward, Quang Ninh			Child
10.5	TD6	Tran Anh Dao	-	-	034171010544	01/5/2021	Department of Administrative Police on Social Order	Group 5, Area 4B, Cam Pha Ward, Quang Ninh			Sister
10.6	TD6	Tran Cat Ninh	-	-	022179009771	10/5/2021	Department of Administrative Police on Social Order	Group 29, Tuong Mai Ward, Hanoi			younger brother
10.7	TD6	Tran An Thai	-	-	022081006209	01/5/2021	Department of Administrative Police on Social Order	Group 6, Area 5A, Cam Pha Ward, Quang Ninh			younger brother
10.8	TD6	Tran Nguyen Van	-	-	022086000626	25/8/2021	Department of Administrative Police on Social Order	Group 6, Area 5A, Cam Pha Ward, Quang Ninh			younger brother
10.9	TD6	Nguyen Van Tu	-	-	030045001660	13/4/2021	Department of Administrative Police on Social Order	Group 5, Area 1A, Cam Pha Ward, Quang Ninh			father in law

No.	Stock symbol	Name	Securities Trading Account (if any)	Position at the Company (if any)	ID card No./ citizen identification card/ passport number;	Date, month, year of issue	Place of issue	Head office address/Contact address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period (%)	Note
10.10	TD6	Tran Thi Sang	-	-	031150008872	13/01/2022	Department of Administrative Police on Social Order	Group 5, Area 1A, Cam Pha Ward, Quang Ninh			Mother in law
10.11	TD6	Doan Van Hien	-	-	030069003452	20/8/2021	Department of Administrative Police on Social Order	Group 5, Area 4B, Cam Pha Ward, Quang Ninh			brother in law
10.12	TD6	Pham Duy Quang			022079011985	10/5/2021	Department of Administrative Police on Social Order	Group 29, Tuong Mai Ward, Hanoi			brother in law
10.13	TD6	Dang Thi Nui			034184005174	28/6/2021	Department of Administrative Police on Social Order	Group 6, Area 5A, Cam Pha Ward, Quang Ninh			sister in law
10.14	TD6	Le Thi Tam			36190011517	13/4/2021	Department of Administrative Police on Social Order	Group 3, Area 3, Ha Long Ward, Quang Ninh			sister in law
10.15	TD6	Duong Ngoc Thao			19197006624	26/06/2021	Department of Administrative Police on Social Order	Group 5, Area 1A, Cam Pha Ward, Quang Ninh			daughter in law
11	TD6	Nguyen Thi Yen	-	Members of Board of Supervisors	022177008544	27/6/2021	Department of Administrative Police on Social Order	Group 6, Zone 7 - Cam Pha Ward - Quang Ninh	7	0.0000002	Elected at the 2023 Extraordinary General Meeting of Shareholders,

No.	Stock symbol	Name	Securities Trading Account (if any)	Position at the Company (if any)	ID card No./ citizen identification card/ passport number;	Date, month, year of issue	Place of issue	Head office address/Contact address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period (%)	Note
											effective from June 26, 2024
11.1	TD6	Nguyen Dinh Thang	-	-	022070011991	12/4/2022	Department of Administrative Police on Social Order	As above			Husband
11.2	TD6	Nguyen Dinh Nam	-	-	022203003199	25/4/2021	Department of Administrative Police on Social Order	As above			Child
11.3	TD6	Nguyen Yen My	-	-	022305005773	01/5/2021	Department of Administrative Police on Social Order	As above			Child
11.4	TD6	Nguyen Thi Hoa	-	-	022155000690	18/5/2022	Department of Administrative Police on Social Order	Group 2, Hong Ha Quarter, Ha Long Ward, Quang Ninh			Sister
11.5	TD6	Nguyen Thi Lien	-	-	022158001180	10/8/2021	Department of Administrative Police on Social Order	No. 139, To Hieu Street, Cam Pha Ward - Quang Ninh			Sister
11.6	TD6	Nguyen Thi Minh	-	-	022161001168	25/4/2021	Department of Administrative Police on Social Order	Group 35, Dong Tien 1, Cam Pha Ward - Quang Ninh			Sister
11.7	TD6	Nguyen Thi Oanh	-	-	022168006564	12/8/2021	Department of Administrative	Group 18, Zone 3, Cua Ong Ward, Quang Ninh			Sister

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							Police on Social Order				
11.8	TD6	Nguyen Van Tien	-	-	631218-7914	07/12/2021	Police SE-10675-Stockholm, Sweden	Goterbo- Sweden			brother
11.9	TD6	Nguyen Van Dung	-	-	022072003437	22/12/2021	Department of Administrative Police on Social Order	Group 19, Dong Hai 2, Cam Pha Ward, Quang Ninh			brother
11.10	TD6	Tran Xuan Anh	-	-	033053000524	18/5/2022	Department of Administrative Police on Social Order	Group 2, Hong Ha Quarter, Ha Long Ward, Quang Ninh			brother in law
11.11	TD6	Nguyen Van Cang	-	-	031059007297	25/4/2021	Department of Administrative Police on Social Order	Group 35, Dong Tien 1, Cam Pha Ward - Quang Ninh			brother in law
11.12	TD6	Tran Van Trong	-	-	022063001226	22/12/2021	Department of Administrative Police on Social Order	Group 18, Zone 3, Cua Ong Ward, Quang Ninh			brother in law
12	TD6	Vu Thi Huong	109001033608	Chief Accountant	022174002154	30/01/2018	Department of Administrative Police on Social Order	Group 2, Cao Son 2 Area, Cua Ong Ward, Quang Ninh.	27,784	0.045%	Appoint June 26, 2024
12.1	TD6	Tran Viet Thanh	-	-	022073002022	24/4/2021	Department of Administrative	As above			Husband

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							Police on Social Order				
12.2	TD6	Tran Quang Binh	-	-	022200001239	21/6/2016	Department of Administrative Police on Social Order	As above			Child
12.3	TD6	Vu Van Thuy	-	-	022041000458	25/4/2021	Department of Administrative Police on Social Order	Dong Ngac Ward, Hanoi			Father
12.4	TD6	Vu Duc Quang	-	-	022076004862	25/4/2021	Department of Administrative Police on Social Order	-As above-			younger brother
12.5	TD6	Nguyen Thi Hai Anh	-	-	036177010693	25/4/2021	Hanoi	-As above-			sister in law
12.6	TD6	Vu Thi Chau	-	-	022178011241	03/7/2021	Department of Administrative Police on Social Order	Quang Hanh Ward, Quang Ninh			younger brother
12.7	TD6	Le Quang Dai	-	-	031073006876	27/6/2021	Quang Ninh	-As above-			brother in law
12.8	TD6	Pham Thi Ngan	-	-	034146002093	07/01/2020	Department of Administrative Police on Social Order	Cua Ong Ward, Quang Ninh			Mother in law
13	TD6	Hoang Duc Giang	-	International Relations Officer,	034077012808	01/05/2021	Department of Administrative	Group 2, Tan Lap 5 area, Quang Hanh,	0	0	Appointed from 26/6/2024

No.	Stock symbol	Name	Securities Trading Account (if any)	Position at the Company (if any)	ID card No./ citizen identification card/ passport number;	Date, month, year of issue	Place of issue	Head office address/Contact address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period (%)	Note
				Company Secretary			Police on Social Order	Quang Ninh province			
13.1	TD6	Pham Thuy Hang	-	-	022181004049	01/05/2021	Department of Administrative Police on Social Order	-As above-			Wife
13.2	TD6	Hoang Nhat Anh	-	-	022207009650	16/05/2022	Department of Administrative Police on Social Order	As above			Child
13.3	TD6	Hoang Nhat Minh	-	-	022216005242	30/8/2024	Ministry of Public Security	As above			Child
13.4	TD6	Pham Thi Nhan	-	-	034146003016	01/05/2021	Department of Administrative Police on Social Order	As above			Mother
13.5	TD6	Tran Thi Chinh	-	-	022150001193	14/4/2021	Department of Administrative Police on Social Order	Group 42, Hai Son 2 Area, Cam Pha Ward, Quang Ninh Province			Mother in law
13.6	TD6	Hoang Thi Huong	-	-	034173007749	01/5/2021	Department of Administrative Police on Social Order	Group 3, Tan Lap 5 area, Quang Hanh ward, Quang Ninh province			Sister
13.7	TD6	Tran Van Toan	-	-	022065002170	01/5/2021	Department of Administrative Police on Social Order	As above			brother in law

No.	Stock symbol	Name	Securities Trading Account (if any)	Position at the Company (if any)	ID card No./ citizen identification card/ passport number;	Date, month, year of issue	Place of issue	Head office address/Contact address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period (%)	Note
13.8	TD6	Hoang Thi Thanh Nhan	-	-	034181007297	10/4/2021	Department of Administrative Police on Social Order	B 2810; Ledeco Ha Long apartment building (building B); Hong Gai ward, Quang Ninh province			younger brother
14		Vietnam National Coal And Mineral Industries Holding Corporation Limited (TKV)	-	Business Registration	5700100256	Business Registration	Hanoi Department of Planning and Investment	No. 3, Duong Dinh Nghe Street, Yen Hoa Ward, Hanoi	40,257,883	65	Major shareholder



Appendix No. 02

TRANSACTIONS BETWEEN THE COMPANY AND ITS AFFILIATED PERSONS IN THE FIRST 6 MONTHS OF 2025

(Attached to Report No.: 5696 /TDNCS-HDQT, 28 July 2025)

No.	Name of organization/individual	Relationship with the company	NSH No.; Date of issue; Place of issue	Head office address/Contact address	Time of transactions with the company	Resolution No. or Decision No. approved by General Meeting of Shareholders/ Board of Directors	Content, transaction results	Note
1	Vietnam National Coal And Mineral Industries Holding Corporation Limited (TKV). Including Branches under the Group/ Single-member Limited Liability Company as follows: (1) Cua Ong Coal Company; (2) Vinacommin - Cam Pha Port and Logistics Company; (3) Vinacommin - Mining Chemical Industry Holding Corporation Limited; (4) Vinacommin - Environment Company Limited	Major shareholder	Business registration number: 5700100256; Department of Planning and Investment Hanoi	No. 03, Duong Dinh Nghe Street, Yen Hoa Ward, Hanoi City	Annually	TKV Charter	<ul style="list-style-type: none"> - Business Cooperation Principle contract; - Contract for transferring the right to use the VINACOMIN/TKV trademark (0.3% of revenue/year of the previous year). - Coal mining trading contracts; Principle contracts; Blasting service contracts; Environmental treatment contracts; <p>Transaction value:</p> <ul style="list-style-type: none"> - Cua Ong: 2,684.8 billion VND - Warehouse: 835.4 billion VND - Mining chemicals: 144.1 billion VND 	<ul style="list-style-type: none"> - TKV holds 65% of the Company's charter capital - Contracts of principle do not have a specific value. For contracts /transactions with a specific value, the contract value must be less than 35% of the total value of the Company's assets in the most recent Financial Statements.

No.	Name of organization/individual	Relationship with the company	NSH No.; Date of issue; Place of issue	Head office address/Contact address	Time of transactions with the company	Resolution No. or Decision No. approved by General Meeting of Shareholders/ Board of Directors	Content, transaction results	Note
							- Environmental company: 12.3 billion VND	
2	Vang Danh Coal Joint Stock Company - Vinacomin;	Mr. Nguyen Trong Tot is Chairman of the Board of Directors of the Company and also Chairman of the Board of Directors of Vang Danh Coal Joint Stock Company - Vinacomin.	Tax identification number: 5700101877	Uong Bi Ward, Quang Ninh Province.	Annual	Resolution No. 08/NQ-DHDCD dated April 24, 2025 of the 2025 Annual General Meeting of Shareholders on approving contracts and transactions with related parties.	No transactions have occurred as of reporting date;	
3	Vinacomin - Ha Lam Coal Joint Stock Company;	Mr. Nguyen Trong Tot is currently the Chairman of the Board of Directors of the Company and also the Chairman of the Board of Directors of Ha Lam Coal Company.	Tax identification number: 5700101637	Ha Lam Ward, Ha Long City, Quang Ninh Province.	Annual	As above	No transactions have occurred as of reporting date;	

No.	Name of organization/individual	Relationship with the company	NSH No.; Date of issue; Place of issue	Head office address/Contact address	Time of transactions with the company	Resolution No. or Decision No. approved by General Meeting of Shareholders/ Board of Directors	Content, transaction results	Note
4	Vinacomin - Mong Duong Coal Joint Stock Company;	Mr. Nguyen Trong Tot is Chairman of the Board of Directors of the Company and also Chairman of the Board of Directors of Vinacomin - Mong Duong Coal Joint Stock Company	Tax identification number: 5700101203	Mong Duong Ward, Quang Ninh Province.	Annual	Resolution No. 08/NQ-DHDCD dated April 24, 2025 of the 2025 Annual General Meeting of Shareholders on approving contracts and transactions with related parties.	No transactions have occurred as of reporting date;	
5	Vinacomin Tourism and Trading Joint Stock Company (including Van Long branch);	Ms. Nguyen Thi Luong Anh is currently a member of the Board of Supervisors of Vinacomin Tourism & Trading Joint Stock Company.	Tax identification number: 100101298	8th Floor, Viet A Building - Duy Tan Street, Cau Giay Ward, Hanoi City	Annual	As above	(1) Transaction content: - Industrial Meal Service Contract; - Buying and selling materials and all kinds of car tires; (2) Transaction value: 44.53 billion VND;	

No.	Name of organization/individual	Relationship with the company	NSH No.; Date of issue; Place of issue	Head office address/Contact address	Time of transactions with the company	Resolution No. or Decision No. approved by General Meeting of Shareholders/ Board of Directors	Content, transaction results	Note
6	Institute of Mining Science and Technology	Ms. Nguyen Thi Luong Anh is currently a member of the Board of Supervisors of the Institute of Mining Science and Technology.	Tax identification number: 0100101594	No. 3 Phan Dinh Giot Street, Phuong Liet Ward, Hanoi City	Annual	As above	(1) Contract : Purchase and sale of materials (Contract for assessing mine bank stability; Contract for purchase and sale of fine Magnetite powder through Mining Technology and Equipment Development Joint Stock Company) (2) Transaction value: 3.6 billion VND;	
7	Vinacomin - Ha Tu Coal Joint Stock Company	Ms. Nguyen Thi Luong Anh is currently the Head of the Board of Supervisors of Vinacomin - Ha Tu Coal Joint Stock Company.	Tax identification number: 5700101323	Group 6, Zone 3, Ha Tu Ward, Quang Ninh Province.	Annual	As above	No transactions have occurred as of reporting date;	
8	Vinacomin Machinery Joint Stock Company	Mr. Nguyen Trong Tot is Chairman of the Board of Directors of Vinacomin	Tax identification number: 5700495999	No. 486, Tran Phu Street, Quang Hanh Ward, Quang Ninh Province.	Annual	As above	(1) Service: Repair of Mining Equipment; (2) Transaction value: 1.3 billion VND;	

No.	Name of organization/individual	Relationship with the company	NSH No.; Date of issue; Place of issue	Head office address/Contact address	Time of transactions with the company	Resolution No. or Decision No. approved by General Meeting of Shareholders/ Board of Directors	Content, transaction results	Note
		Machinery Joint Stock Company.						
9	Uong Bi Auto Mechanical Joint Stock Company	Mr. Nguyen Trong Tot is Chairman of the Board of Directors of Uong Bi Auto Mechanical Joint Stock Company.	Tax identification number: 5700623552	Tan Lap 2 Area, Yen Tu Ward, Quang Ninh Province.	Annual	As above	No transactions have occurred as of reporting date;	

