

No: 01/BC-LCM

Lao Cai, day 24 month 07, year 2025

REPORT ON CORPORATE GOVERNANCE
(First Half of 2025)

To:

- The State Securities Commission;
- The Stock Exchange.

- Name of company: Lao Cai Mineral Exploitation & Processing JSC
- Headquarter: House No. 28B, Phan Dinh Giot Street, Lao Cai Ward, Lao Cai City, Lao
Cai Province

- Telephone: 0214.383.0036 Fax: 0214.383.0036 Email:
- Charter capital: 246,330,000,000 VND
- Stock symbol: LCM
- Governance model:
- + General Meeting of Shareholders, Board of Management, Board of Supervisors and
General Director.
- The implementation of internal audit:

I. Activities of the General Meeting of Shareholders

Information on meetings, resolutions and decisions of the General Meeting of Shareholders
(including the resolutions of the General Meeting of Shareholders approved in the form of
written comments): *The 2025 Annual General Meeting of Shareholders has not yet been
successfully held.*

No.	Resolution/Decision No.	Date	Content

II. Board of Management (First half of 2025):

1. Information about the members of the Board of Management:

No.	Board of Management' members	Position (Independent members of the Board of Management, Non-executive members of the Board of Management)	The date becoming/ceasing to be the member of the Board of Management	
			Date of appointment	Date of dismissal
1	Mr. Vu Dinh Vinh	Chairman	25/06/2021	
2	Mr. Nguyen Duc Thang	Board Member	26/05/2016	
3	Mr. Ngo Truong An	Board Member	14/06/2014	
4	Mr. Hoang Quoc Tung	Independent/Non-Executive Board Member	24/06/2020	
5	Mr. Bui Thanh Binh	Independent/Non-Executive Board Member	24/06/2020	

2. Meetings of the Board of Mangement:

No.	Board of Director' member	Number of meetings attended by Board of Management	Attendan ce rate	Reasons for absence
1	Mr. Vu Dinh Vinh	3/3	100%	
2	Mr. Nguyen Duc Thang	3/3	100%	
3	Mr. Ngo Truong An	3/3	100%	
4	Mr. Hoang Quoc Tung	3/3	100%	
5	Mr. Bui Thanh Binh	3/3	100%	

3. Supervising the Board of Management by the Board of Directors:

Closely monitor the implementation of solutions to promote production and business activities to complete the goals according to the Resolution of the Annual General Meeting of Shareholders.

Regularly direct and supervise the Company's Board of General Directors in implementing production and business plans to achieve set goals.

Check compliance with the Enterprise Law, Company Charter and current Law.

4. Activities of the Board of Directors' subcommittees (If any): None

5. Resolutions/Decisions of the Board of Directors (First half of 2025):

No.	Resolution/Decision No.	Date	Content	Approval rate
1	01/2025/NQ-HĐQT	24/03/2025	Extension of the deadline for holding the 2025 Annual General Meeting of Shareholders	100%
2	02/2025/NQ-HĐQT	24/03/2025	Holding the 2025 Annual General Meeting of Shareholders	100%
3	03/2025/NQ-HĐQT	02/05/2025	Approval of the General Meeting of Shareholders' documents	100%

III. Board of Supervisors (first half of 2025):

1. Information about members of Board of Supervisors:

No.	Members of Board of Supervisors	Position	The date becoming/ceasing to be the member of the Board of Supervisors	Qualification
1	Mr. Nhu Ngoc Quang	Head of Board of Supervisors	26/06/2019	Bachelor of Accounting
2	Mr. Ngo Gia Huy	Board member	20/05/2016	Bachelor of Economics
3	Mr. Nguyen Van Tuan	Board member	12/07/2022	Master of Economics

2. Meetings of Board of Supervisors or Audit Committee

No.	Members of Board of Supervisors	Number of meetings attended	Attendance rate	Voting rate	Reasons for absence
1	Mr. Nhu Ngoc Quang	1/1	100%	100%	
2	Mr. Ngo Gia Huy	1/1	100%	100%	
3	Mr. Nguyen Van Tuan	1/1	100%	100%	

3. Supervising Board of Managements, Board of General Directors and shareholders by Board of Supervisors:

- Review Quarterly/Annual Financial Reports and make timely recommendations on relevant issues;

- Monitor the process of organizing the General Meeting of Shareholders, monitor the implementation of Resolutions and minutes of the General Meeting of Shareholders.

- Monitor the implementation of shareholders' rights and obligations, receive information and resolve shareholders' requests in accordance with the provisions of the Law and the Company's Charter.

4. The coordination among the Board of Supervisors, the Board of Management, Board of General Directors and other managers:

- The members of the Board of General Directors and the Board of Management always create favorable conditions for the Board of Supervisors to fulfill its obligations

- The Board of Supervisors is notified of invitations to regular and extraordinary meetings of the Board of Management and the Board of General Directors.

- When there is a request for information, documents, meeting invitations or convenings related to the Company's operations, the Board of Management and Board of General Directors always coordinate and provide full information support.

5. Other activities of the Board of Supervisors and Audit Committee (if any):

IV. Board of General Directors

No.	Members of Board of General Directors	Date of birth	Qualification	Date of appointment/dismissal of members of the Board of General Directors
1	Mr Nguyen Duc Thang	30/03/1979	Telecommunications Engineer	22/06/2016
2	Mr Ngo Truong An	07/02/1985	Bachelor	05/05/2020

V. Chief Accountant

Name	Date of birth	Qualification	Date of appointment/dismissal
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Nguyen Thi Hoa	30/09/1960	Bachelor	16/09/2011
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VI. Training courses on corporate governance:

Training courses on corporate governance were involved by members of Board of Management, the Board of Supervisors, Director (General Director), other managers and secretaries in accordance with regulations on corporate governance: *None*

VII. The list of affiliated persons of the public company (First half of 2025) and transactions of affiliated persons of the Company

1. The list of affiliated persons of the Company

No.	Name of organization/ individual	Sec uriti es trad ing acc ount (if any)	Position at the Company (if any)	NSH No. *, date of issue, place of issue	Address	Time of startin g to be affiliat ed person	Time of ending to be affiliat ed person	Rea sons	Rel ati ons hip wit h the Co mp any
1	Mr. Vu Dinh Vinh		Chairman of the Board			25/06/2021			
2	Mr. Nguyen Duc Thang		Board Member and General Director			26/05/2016			
3	Mr. Ngo Truong An		Board Member and Deputy General Director, Information Security Officer			14/06/2014			
4	Mr. Bui Thanh Binh		Board of member			24/06/2020			
5	Mr. Hoang		Board of			24/06/			

	Quoc Tung		member			2020			
6	Mr. Nhu Ngoc Quang		Head of Board of Supervisors			26/06/2019			
7	Mr. Ngo Gia Huy		Member of Board of Supervisors			20/05/2016			
8	Mr. Nguyen Van Tuan		Member of Board of Supervisors			12/07/2022			
9	Ms. Nguyen Thi Hoa		Chief Accountant			16/09/2011			
10	Mr. Le Tuan Viet		Head of Internal Audit			29/03/2021			
11	Mrs. Nghiem Thi Nhanh		Corporate Governance Officer			09/03/2022			

NSH* No.: ID card No./Passport No. (As for individuals) or Business Registration Certificate No., License on Operations or equivalent legal documents (As for organisations).

2. Transactions between the Company and its affiliated persons or between the Company and its major shareholders, internal persons and affiliated persons.

No.	Name of organization/individual	Relationship with the Company	NSH No.*, date of issue, place of issue	Addresses	Time of transactions with the Company	Resolution No. or Decision No. approved by General Meeting of Shareholders/ Board of Directors (if any,	Content, quantity, total value of transaction	Note
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						specifying date of issue)		

NSH* No.: ID card No./Passport No. (As for individuals) or Business Registration Certificate No., License on operations or equivalent legal documents (As for organisations).

3. Transaction between internal persons of the Company, affiliated persons of internal persons and the Company's subsidiaries in which the Company takes controlling power.

No.	Transacti on executor	Relationshi p with internal persons	Posittio n at the listed Compan y	ID card No./ Passport No., date of issue, place of issue	Addres s	Name of subsidiari es or companies which the Company control	Time of transact ion	Content, quantity, total value of transactio n	Note

4. Transactions between the Company and other objects

4.1. Transactions between the Company and the company that its members of Board of Management, the Board of Supervisors, Director (General Director) have been founding members or members of Board of Management, or CEOs in three (03) latest years (calculated at the time of reporting): *None*

4.2. Transactions between the Company and the company that its affiliated persons with members of Board of Management, Board of Supervisors, Director (General Director) and other managers as a member of Board of Management, Director (General Director or CEO: *None*.

4.3. Other transactions of the Company (if any) may bring material or non- material benefits for members of Board of Directors, members of the Board of Supervisors, Director (General Director) and other managers: *None*

VIII. Share transactions of internal persons and their affiliated persons (First half of 2025 report)

1. The list of internal persons and their affiliated persons

No.	Name	Securities trading account (if any)	Position at the company (if any)	ID card No./Passport No., date of issue, place of issue	Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
1	Mr. Vu Dinh Vinh		Chairman of the Board			2.000	0,01%	
1.1	Vu Huy Thong					0	0%	Father
1.2	Nong Thi Thu					0	0%	Mother
1.3	Nguyen Thu Giang					0	0%	Wife
1.4	Nguyen Van Son					0	0%	Father-in-law
1.5	Hoang Thi Viet Ha					0	0%	Father-in-law
1.6	Vu Ha Trang					0	0%	Sister
1.7	Nguyen Ngoc Lam					0	0%	Brother-in-law
1.8	Vu Lam Tung					0	0%	Son
1.9	Vu Ha Chau					0	0%	Son
2	Mr. Nguyen Duc Thang		Board Member and General Director			0	0%	

2.1	Nguyen Dac Bo					0	0%	Father
2.2	Duong Thi Hong					0	0%	Mother
2.3	Dinh Thi Phuong Lien					0	0%	Wife
2.4	Nguyen Thi Thu Ha					0	0%	Sister
2.5	Nguyen Thi Bac					0	0%	Sister
2.6	Nguyen Bao Hien					0	0%	Daughte r
2.7	Nguyen Tung Luong					0	0%	Son
3	Mr. Ngo Truong An		Board Member and			5.750	0,02%	

			Deputy General Director , Informa tion Security Officer					
3.1	Ngo Van Thu					0	0%	Father
3.2	Cung Thi Thanh Binh					0	0%	Mother
3.3	Ngo Quang Thao					0	0%	Brother
3.4	Mai Thi Hien					0	0%	Wife
4	Mr. Bui Thanh Binh		Board of member			0	0%	
4.1	Bui Thanh Thinh					0	0%	Father
4.2	Dinh Thi Mo					0	0%	Mother
4.3	Bui Xuan Tinh					0	0%	Brother
4.4	Bui Thi Xuan Yen					0	0%	Sistr
5	Mr. Hoang Quoc Tung		Board of member			0	0%	
5.1	Viet Thi Luu					0	0%	Mother
5.2	Nguyen Quynh					0	0%	Wife
6	Mr. Nhu Ngoc Quang		Head of Board of Supervis			0	0%	

			ors					
6.1	Nhu Cong Hien					0	0%	Father
6.2	Quach Thi Thang					0	0%	Mother
6.3	Nguyen Thi Thuong					0	0%	Wife
6.4	Nhu Cao Vinh					0	0%	Brother
7	Mr. Ngo Gia Huy		Member of Board of Supervisors			0	0%	
7.1	Ngo Gia Loi					0	0%	Father
7.2	Ha Thi Mai Luong					0	0%	Mother
7.3	Ngo Gia Minh					0	0%	Brother
8	Mr. Nguyen Van Tuan		Member of Board of Supervisors			0	0%	
8.1	Nguyen Huu Trong					0	0%	Father
8.2	Tran Thi Binh					0	0%	Mother
8.3	Le Thi Huyen Thuong					0	0%	Wife
8.4	Nguyen Thien Khoi					0	0%	Son
8.5	Nguyen Yen Trang					0	0%	Daughte r

8.6	Nguyen Thi Phuong Thao					0	0%	Sister
8.7	Dang Thanh Truyen					0	0%	Brother in law
8.8	Le Van Phu					0	0%	Father in law
8.9	Bang Thi Lien					0	0%	Mother in law
9	Ms. Nguyen Thi Hoa		Chief Account ant			28.750	0,12%	
9.1	Nguyen Thi Hue					0	0%	Mother
9.2	Tran Van Hoa					0	0%	Husban d
9.3	Nguyen Trong Hong					0	0%	Brother
9.4	Nguyen Thi Nhan					0	0%	Sister
9.5	Nguyen Minh Chuyen					0	0%	Brother
9.6	Nguyen Trong Gia					0	0%	Brother
9.7	Nguyen Trong Oai					0	0%	Brother
9.8	Nguyen Thi Thanh Toan					0	0%	Sister
9.9	Tran Thi Thanh Huyen					0	0%	Daughte r
9.10	Tran Xuan Huy					0	0%	Son
10	Mr. Le		Head of Internal			80	0,003%	

	Tuan Viet		Audit					
10.1	Le Trong Triet					0	0%	Father
10.2	Duong Thi Hong Hai					0	0%	Mother
10.3	Le Hoai Nam					0	0%	Brother
11	Mrs. Nghiem Thi Nhanh		Corporate Governance Officer			0	0%	
11.1	Nghiem Xuan Thuat					0	0%	Father
11.2	Tran Thi Trinh					0	0%	Mother
11.3	Nghiem Thi Huyen					0	0%	Sister
11.4	Nghiem Thi Thao Nguyen					0	0%	Sister
11.5	Nghiem Phuc Hung					0	0%	Brother
11.6	Tran Trung Linh					0	0%	Husband
11.7	Tran Khai Phong					0	0%	Son
11.8	Tran Dang Duong					0	0%	Son
11.9	Tran Trung Bau					0	0%	Father in law
11.10	Le Thi Hai					0	0%	Mother in law

2. Transactions of internal persons and affiliated persons with shares of the Company

No.	Transaction	Relationship	Number of shares	Number of shares	Reasons for
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	<i>executor</i>	<i>with internal persons</i>	<i>owned at the beginning of the period</i>		<i>owned at the end of the period</i>		<i>increasing, decreasing (buying, selling, converting, rewarding, etc.)</i>
			<i>Number of shares</i>	<i>Percentage</i>	<i>Number of shares</i>	<i>Percentage</i>	

IX. Other significant issues

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Recipients:

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- Archived:....

CHAIRMAN OF THE BOARD OF DIRECTORS

(Sign, full name and seal)



VU DINH VINH