

**JOINT STOCK COMPANY  
HA TAY TRADE**

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Number: 01/BCQT-HTT

**SOCIALIST REPUBLIC OF VIETNAM  
Independence - Freedom - Happiness**

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Hanoi , July 24 , 2025

**REPORT ON GOVERNANCE STATUS OF LISTED COMPANIES**

(Report for the first 6 months of 2025 )

Dear: - State Securities Commission  
- Hanoi Stock Exchange

- Name of listing company: Ha Tay Trading Joint Stock Company
- Address of head office : 2nd Floor, Building 89 Phung Hung, Ha Dong, Hanoi
- Phone : 04 3354 7252 Fax: 04 3311 3052 Email: congtycptmhatay@gmail.com
- Charter capital: 200,000,000,000 VND
- Securities code : HTTP

**I. Activities of the General Meeting of Shareholders**

Information on meetings and Resolutions/Decisions of the General Meeting of Shareholders (including Resolutions of the General Meeting of Shareholders adopted in the form of written opinions) :

| Stat<br>us<br>No. | Resolution/Decision<br>Number | Date | Content |
|-------------------|-------------------------------|------|---------|
|                   |                               |      |         |
|                   |                               |      |         |

**II. Board of Management ( Semi-annual/annual reports ) :**

1. Information about the members of the Board of Management:

| Sta<br>tus<br>No. | Board of<br>Directors<br>member/ B O<br>M's member | Position | Day<br>becoming<br>member of<br>the Board of<br>Managemen<br>t | Number<br>of Board<br>of<br>Directors<br>meetings<br>attended/<br>Number<br>of | Meeting<br>Attendance<br>Rate/<br>Percentage | Reasons for<br>absence |
|-------------------|--|----------|--|--|--|------------------------|
|                   |  |          |  |  |  |                        |

|   |                        |                       |               | <i>attendance</i> |      |  |
|---|------------------------|-----------------------|---------------|-------------------|------|--|
| 1 | Mr. Dao Van Chien      | Chairman of the Board | June 23, 2018 | 1                 | 100% |  |
| 2 | Mr. Nguyen Duc Dinh    | Board Member          | June 23, 2018 | 1                 | 100% |  |
| 3 | Mrs. Tran Thu Phuong   | Board Member          | June 23, 2018 | 1                 | 100% |  |
| 4 | Mr. Tran Van Cong      | Board Member          | June 23, 2018 | 1                 | 100% |  |
| 5 | Ms. Dinh Thi Thuy Hang | Board Member          | June 23, 2018 | 1                 | 100% |  |

2. Supervision by the *BOD over the Director (General Director)*:

The Board of Directors regularly closely monitors and promptly supports the operations of the Board of Directors and the apparatus assisting the General Director.

3. Activities of the *Board of Directors' committees*: Do not have

4. Resolutions/Decisions of the Board of Directors ( Report for the first 6 months of 2025)/  
*Resolutions/Decisions of the Board of Directors (Semi-annual/annual reports)*:

| Statu<br>s<br>No. | /Decision No.          | Date       | Content   |
|-------------------|------------------------|------------|---|
| 1                 | No. 01.225/NQ-HDQT/HTT | 22/01/2025 | Agreed to approve the production and business operation plan for the first quarter of 2025 and the maintenance plan for building 197 Tran Phu and building 89 Phung Hung. |
| 2                 | No. 02.225/NQ-HDQT/HTT | 23/06/2025 | Unanimously approved the production and business plan for the second quarter of 2025  |
|                   |                        |            |   |
|                   |                        |            |   |

**III. Supervisory Board** ( Report for the first 6 months of 2025) (*Semi-annual/annual reports*):

1. Information about the members of the Board of Supervisors (BOS) :

| Statu<br>s | Board Member | Position | Date of starting as a member of the Board of Directors | Number of meetings attended by the Board of Supervisors | Meeting attendance rate | Reason for not attending the meeting |
|------------|--------------|----------|--|---|-------------------------|--------------------------------------|
|------------|--------------|----------|--|---|-------------------------|--------------------------------------|

|   |                      |                       |               |   |      |  |
|---|----------------------|-----------------------|---------------|---|------|--|
|   |                      |                       |               |   |      |  |
| 1 | Le Thi Mai           | Head of Control Board | June 23, 2018 | 1 | 100% |  |
| 2 | Nguyen Thi Hong Hanh | Board Member          | June 23, 2018 | 1 | 100% |  |
| 3 | Vu Thi Thanh Thuy    | Board Member          | June 23, 2018 | 1 | 100% |  |

2. Supervisory activities of the Board of Supervisors towards the Board of Directors, Executive Board and shareholders :

The Board of Supervisors regularly and closely monitors the activities of the Board of Directors and the Board of General Directors.

3. Coordination of activities between the Supervisory Board and the Board of Directors, Executive Board and other management staff :

The Board of Supervisors has been coordinated by the Board of Directors, the General Director and the Company's functional departments, creating favorable conditions for updating the Company's operations, collecting information and documents for inspection and supervision.

#### **IV. Training on corporate governance:**

Leadership training for Board of Directors members.

#### **V. List of related persons of the listed company as prescribed in Clause 34, Article 6 of the Securities Law (Report for the first 6 months of 2025) and transactions of related persons of the company with the Company itself :**

1. List of related persons of the company :

| ST<br>T | Name of<br>organization/individual | Securities trading<br>account<br>(if any) | Position in the<br>company (if<br>any)                              | NSH Certificate Number*,<br>date of issue, place of <i>issue</i> | Head office address/Contact<br>address | The<br>starting<br>point is<br>the<br>person<br>concern<br>ed. | Time is<br>no<br>longer<br>relevant | Reason |
|---------|------------------------------------|---|---|--|--|--|-------------------------------------|--------|
| 1       | Dao Van Chien                      |   | Chairman of<br>the Board of<br>Directors and<br>General<br>Director |  |  |  |                                     |        |
|         | Father                             |   |   |  |  |  |                                     |        |
|         | Mother:<br>Dong Thi Tan            |   |   |  |  |  |                                     |        |
|         | Wife: Vu Thi Xuan                  |   |   |  |  |  |                                     |        |
|         | Boy:<br>Dao Vu Thang               |   |   |  |  |  |                                     |        |
|         | Daughter:<br>Dao Hieu Hanh         |   |   |  |  |  |                                     |        |
|         | Sister: Dao Thi Cam                |   |   |  |  |  |                                     |        |
| 2       | Tran Thu Phuong                    |   | Board Member  |  |  |  |                                     |        |
|         | Father: Tran Van<br>Giap           |   |   |  |  |  |                                     |        |
|         | Mother: Nguyen Thi                 |   |   |  |  |  |                                     |        |

|   |                            |  |              |  |  |  |  |  |
|---|----------------------------|--|--------------|--|--|--|--|--|
|   | Khuong                     |  |              |  |  |  |  |  |
|   | Husband: Vu Xuan Tung      |  |              |  |  |  |  |  |
|   | Sister: Tran Hai Thanh     |  |              |  |  |  |  |  |
|   | Brother: Tran Truong Giang |  |              |  |  |  |  |  |
|   | Daughter: Vu Hong Nhung    |  |              |  |  |  |  |  |
|   | Son: Vu Dong Bach          |  |              |  |  |  |  |  |
| 3 | Tran Van Cong              |  | Board Member |  |  |  |  |  |
|   | Wife: Nguyen Thi Kim Lan   |  |              |  |  |  |  |  |
|   | Son: Tran Duc Thang        |  |              |  |  |  |  |  |
|   | Daughter: Tran Minh Ngoc   |  |              |  |  |  |  |  |
|   | Brother: Tran Quang Tho    |  |              |  |  |  |  |  |
|   | Sister: Tran Thi Thanh     |  |              |  |  |  |  |  |
|   | Sister: Tran Thi Thuc      |  |              |  |  |  |  |  |
|   | Sister: Tran Thi Huong     |  |              |  |  |  |  |  |

|   |                              |  |   |  |  |  |  |  |
|---|------------------------------|--|---|--|--|--|--|--|
|   | Sister: Tran Thi Hoa         |  |   |  |  |  |  |  |
|   | Sister: Tran Thi Hue         |  |   |  |  |  |  |  |
| 4 | Nguyen Duc Dinh              |  | Member of<br>Board of<br>Directors and<br>Deputy<br>General<br>Director |  |  |  |  |  |
|   | Wife: Nguyen Thi<br>Kim Loan |  |   |  |  |  |  |  |
|   | Son: Nguyen Duc<br>Viet      |  |   |  |  |  |  |  |
|   | Son: Nguyen Duc<br>Hung      |  |   |  |  |  |  |  |
|   | Brother: Nguyen<br>Duc Dinh  |  |   |  |  |  |  |  |
|   | Brother: Nguyen<br>Duc Doan  |  |   |  |  |  |  |  |
| 5 | Dinh Thi Thuy<br>Hang        |  | Board Member  |  |  |  |  |  |
|   | Father: Dinh Van<br>Viet     |  |   |  |  |  |  |  |
|   | Mother: Dinh Thi<br>Cuc      |  |   |  |  |  |  |  |
|   | Brother: Dinh Van<br>Hoan    |  |   |  |  |  |  |  |

|   |                                |  |                           |  |  |  |  |  |
|---|--------------------------------|--|---------------------------|--|--|--|--|--|
|   | Sister: Dinh Thi Huong         |  |                           |  |  |  |  |  |
|   | Husband: Nguyen Hoang Long     |  |                           |  |  |  |  |  |
|   | Son: Nguyen Hoang Anh Duc      |  |                           |  |  |  |  |  |
|   | Daughter: Nguyen Hoang Thai An |  |                           |  |  |  |  |  |
| 6 | Le Thi Mai                     |  | Head of Supervisory Board |  |  |  |  |  |
|   | Mother: Le Thi Thuy            |  |                           |  |  |  |  |  |
|   | Brother: Le Tien Truong        |  |                           |  |  |  |  |  |
| 7 | Nguyen Thi Hong Hanh           |  | Board of Supervisors      |  |  |  |  |  |
|   | Father: Nguyen Hong Lung       |  |                           |  |  |  |  |  |
|   | Mother: Nguyen Thi Thuan       |  |                           |  |  |  |  |  |
|   | Sister: Nguyen Thi Hong Thuy   |  |                           |  |  |  |  |  |
|   | Husband: Le Thanh Luan         |  |                           |  |  |  |  |  |

|   |                               |  |                      |  |  |  |  |  |
|---|-------------------------------|--|----------------------|--|--|--|--|--|
|   | Biological child: Le Hai Linh |  |                      |  |  |  |  |  |
|   | Biological child: Le Tien Loc |  |                      |  |  |  |  |  |
| 8 | Van Thi Thanh Thuy            |  | Board of Supervisors |  |  |  |  |  |
|   | Father: Van Ngoc Phu          |  |                      |  |  |  |  |  |
|   | Mother: Van Thi Loan          |  |                      |  |  |  |  |  |
| 9 | Dinh Thi Thuy Hang            |  | Chief Accountant     |  |  |  |  |  |
|   | Father: Dinh Van Viet         |  |                      |  |  |  |  |  |
|   | Mother: Dinh Thi Cuc          |  |                      |  |  |  |  |  |
|   | Brother: Dinh Van Hoan        |  |                      |  |  |  |  |  |
|   | Sister: Dinh Thi Huong        |  |                      |  |  |  |  |  |
|   | Husband: Nguyen Hoang Long    |  |                      |  |  |  |  |  |
|   | Son: Nguyen Hoang Anh Duc     |  |                      |  |  |  |  |  |
|   | Daughter: Nguyen              |  |                      |  |  |  |  |  |



|  |               |  |  |  |  |  |  |  |
|--|---------------|--|--|--|--|--|--|--|
|  | Hoang Thai An |  |  |  |  |  |  |  |
|--|---------------|--|--|--|--|--|--|--|

2. Transactions between the company and related persons of the company; or between the company and major shareholders, insiders, related persons of insiders : None
3. Transactions between insiders of listed companies , related persons of insiders and subsidiaries, companies controlled by listed companies : None
4. Transactions between the company and other entities : None

**VI. Stock transactions of insiders and related parties of insiders ( Report for the first 6 months of 2025) :**
**1. List of insiders and related persons of insiders :**

| Sta<br>tus<br>No. | Name                       | Securities<br>trading<br>account (if<br>any) | Position in<br>the<br>company<br>(if any)                                 | ID card/Passport number, date of<br>issue, place of issue | Contact address | Number of<br>shares owned<br>at the end of<br>the period<br>(CP) | End of period<br>share<br>ownership<br>ratio | Note |
|-------------------|----------------------------|--|---|---|-----------------|--|--|------|
| 1                 | Dao Van Chien              |  | Chairman<br>of the<br>Board of<br>Directors<br>and<br>General<br>Director |   |                 | 4,364,130  | 21.82%                                       |      |
|                   | Father                     |  |   |   |                 |  |  |      |
|                   | Mother:<br>Dong Thi Tan    |  |   |   |                 | 0  | 0  |      |
|                   | Wife: Vu Thi<br>Xuan       |  |   |   |                 | 0  | 0  |      |
|                   | Boy:<br>Dao Vu Thang       |  |   |   |                 | 0  | 0  |      |
|                   | Daughter:<br>Dao Hieu Hanh |  |   |   |                 | 0  | 0  |      |
|                   | Sister: Dao Thi<br>Cam     |  |   |   |                 | 0  | 0  |      |

|   |                            |  |              |  |  |         |         |  |
|---|----------------------------|--|--------------|--|--|---------|---------|--|
| 2 | Tran Thu Phuong            |  | Board Member |  |  | 0       | 0       |  |
|   | Father: Tran Van Giap      |  |              |  |  | 0       | 0       |  |
|   | Mother: Nguyen Thi Khuong  |  |              |  |  | 0       | 0       |  |
|   | Husband: Vu Xuan Tung      |  |              |  |  | 100     | 0.0005% |  |
|   | Sister: Tran Hai Thanh     |  |              |  |  | 100     | 0.0005% |  |
|   | Brother: Tran Truong Giang |  |              |  |  | 100     | 0.0005% |  |
|   | Daughter: Vu Hong Nhung    |  |              |  |  | 0       | 0       |  |
|   | Son: Vu Dong Bach          |  |              |  |  | 0       | 0       |  |
| 3 | Tran Van Cong              |  | Board Member |  |  | 160,000 | 0.8%    |  |
|   | Wife: Nguyen Thi Kim Lan   |  |              |  |  | 0       | 0       |  |
|   | Son: Tran Duc Thang        |  |              |  |  | 0       | 0       |  |
|   | Daughter: Tran Minh Ngoc   |  |              |  |  | 0       | 0       |  |
|   | Brother: Tran              |  |              |  |  | 0       | 0       |  |

|   |                           |  |              |  |  |       |         |  |
|---|---------------------------|--|--------------|--|--|-------|---------|--|
|   | Quang Tho                 |  |              |  |  |       |         |  |
|   | Sister: Tran Thi Thanh    |  |              |  |  | 0     | 0       |  |
|   | Sister: Tran Thi Thuc     |  |              |  |  | 0     | 0       |  |
|   | Sister: Tran Thi Huong    |  |              |  |  | 0     | 0       |  |
|   | Sister: Tran Thi Hoa      |  |              |  |  | 0     | 0       |  |
|   | Sister: Tran Thi Hue      |  |              |  |  | 0     | 0       |  |
| 4 | Nguyen Duc Dinh           |  | Board Member |  |  | 1,000 | 0.005 % |  |
|   | Wife: Nguyen Thi Kim Loan |  |              |  |  | 0     | 0       |  |
|   | Son: Nguyen Duc Viet      |  |              |  |  | 0     | 0       |  |
|   | Son: Nguyen Duc Hung      |  |              |  |  | 0     | 0       |  |
|   | Brother: Nguyen Duc Dinh  |  |              |  |  | 0     | 0       |  |
|   | Brother: Nguyen Duc Doan  |  |              |  |  | 0     | 0       |  |
| 5 | Dinh Thi Thuy Hang        |  | Board Member |  |  | 0     | 0       |  |

|   |                                |  |                            |  |  |   |   |  |
|---|--------------------------------|--|----------------------------|--|--|---|---|--|
|   | Father: Dinh Van Viet          |  |                            |  |  | 0 | 0 |  |
|   | Mother: Dinh Thi Cuc           |  |                            |  |  | 0 | 0 |  |
|   | Brother: Dinh Van Hoan         |  |                            |  |  | 0 | 0 |  |
|   | Sister: Dinh Thi Huong         |  |                            |  |  | 0 | 0 |  |
|   | Husband: Nguyen Hoang Long     |  |                            |  |  | 0 | 0 |  |
|   | Son: Nguyen Hoang Anh Duc      |  |                            |  |  | 0 | 0 |  |
|   | Daughter: Nguyen Hoang Thai An |  |                            |  |  | 0 | 0 |  |
| 6 | Le Thi Mai                     |  | Head of Supervisor y Board |  |  | 0 | 0 |  |
|   | Mother: Le Thi Thuy            |  |                            |  |  | 0 | 0 |  |
|   | Brother: Le Tien Truong        |  |                            |  |  | 0 | 0 |  |
| 7 | Nguyen Thi Hong Hanh           |  | Board of Supervisor s      |  |  | 0 | 0 |  |

|   |                               |  |                          |  |  |     |         |  |
|---|-------------------------------|--|--------------------------|--|--|-----|---------|--|
|   | Father: Nguyen Hong Lung      |  |                          |  |  | 100 | 0.0005% |  |
|   | Mother: Nguyen Thi Thuan      |  |                          |  |  | 100 | 0.0005% |  |
|   | Sister: Nguyen Thi Hong Thuy  |  |                          |  |  | 100 | 0.0005% |  |
|   | Husband: Le Thanh Luan        |  |                          |  |  | 0   | 0       |  |
|   | Biological child: Le Hai Linh |  |                          |  |  | 0   | 0       |  |
|   | Biological child: Le Tien Loc |  |                          |  |  | 0   | 0       |  |
| 8 | Van Thi Thanh Thuy            |  | Board of Supervisor<br>s |  |  | 0   | 0%      |  |
|   | Father: Van Ngoc Phu          |  |                          |  |  | 0   | 0       |  |
|   | Mother: Van Thi Loan          |  |                          |  |  | 0   | 0       |  |
| 9 | Dinh Thi Thuy Hang            |  | Chief Accountan<br>t     |  |  | 0   | 0       |  |
|   | Father: Dinh Van Viet         |  |                          |  |  | 0   | 0       |  |
|   | Mother: Dinh Thi Cuc          |  |                          |  |  | 0   | 0       |  |

|  |                                |  |  |  |  |   |   |  |
|--|--------------------------------|--|--|--|--|---|---|--|
|  | Brother: Dinh Van Hoan         |  |  |  |  | 0 | 0 |  |
|  | Sister: Dinh Thi Huong         |  |  |  |  | 0 | 0 |  |
|  | Husband: Nguyen Hoang Long     |  |  |  |  | 0 | 0 |  |
|  | Son: Nguyen Hoang Anh Duc      |  |  |  |  | 0 | 0 |  |
|  | Daughter: Nguyen Hoang Thai An |  |  |  |  | 0 | 0 |  |



2. Transactions of insiders and related persons on shares of listed companies : None

**VII. Other notable issues : None**



**ĐÀO VĂN CHIẾN**