

Số: 199 /2025/CV- SBSI
No.: 199.. /2025/CV-SBSI

Hà Nội, ngày 21 tháng 7 năm 2025
Ha Noi, day 21 month 7 year 2025

**CÔNG BỐ THÔNG TIN TRÊN CỔNG THÔNG TIN ĐIỆN TỬ CỦA
ỦY BAN CHỨNG KHOÁN NHÀ NƯỚC VÀ SỞ GIAO DỊCH CHỨNG KHOÁN**
INFORMATION DISCLOSURE ON THE ELECTRONIC INFORMATION PORTAL OF THE
STATE SECURITIES COMMISSION AND THE STOCK EXCHANGE

Kính gửi: Ủy ban Chứng khoán Nhà Nước;

To: The State Securities Commission;

Sở Giao dịch Chứng khoán Việt Nam;

Vietnam Exchange;

Sở Giao dịch Chứng khoán Hà Nội;

Ha Noi Stock Exchange;

Sở Giao dịch Chứng khoán thành phố Hồ Chí Minh;

Ho Chi Minh Stock Exchange;

- Tên tổ chức/Name of organization: Công ty cổ phần chứng khoán Stanley Brothers/
STANLEY BROTHERS SECURITIES INCORPORATION
- Địa chỉ trụ sở chính/ Head office address: Tầng 9, tòa nhà ROX Tower, số 54A, Nguyễn Chí
Thanh, phường Láng, thành phố Hà Nội/9th Floor, ROX Tower, No. 54A, Nguyen Chi Thanh,
Lang Ward, Hanoi City
- Điện thoại/Tel.: (+84) 24 3377 6699 - Fax: (+84) 24 3373 6699
- Người thực hiện công bố thông tin/ The person who made the disclosure: Nguyễn Quang Anh
Chức vụ: Tổng giám đốc – Đại diện pháp luật
Position: General Director - Legal Representative
- Loại thông tin công bố/Type of information disclosed:

☐ 24 giờ ☐ 72 giờ ☐ Bất thường ☐ Theo yêu cầu ☒ Định kỳ
24hours 72hours Abnormal On request Periodic

Nội dung thông tin cần công bố/ Information content to be published:

Báo cáo tình hình quản trị công ty bán niên 2025/ The Report on Corporate Governance in Semi-
annual 2025.

Thông tin này đã được công bố trên trang thông tin điện tử của Công ty vào ngày 21/ 07/ 2025 tại
đường dẫn <https://sbsi.vn/vi-vn/ve-sbsi/bai-viet/quan-he-co-dong/cong-bo-thong-tin/E21>



This information was published on the company's website on 21/07/2025, as in the link <https://sbsi.vn/vi-vn/ve-sbsi/bai-viet/quan-he-co-dong/cong-bo-thong-tin/E21>

Chúng tôi xin cam kết các thông tin công bố trên đây là đúng sự thật và hoàn toàn chịu trách nhiệm trước pháp luật về nội dung các thông tin đã công bố/ We hereby certify that the information provided is true and correct and we bear the full responsibility to the law.

Tài liệu đính kèm/Attached documents:

- Báo cáo tình hình quản trị công ty bán niên 2025/ The Report on Corporate Governance in Semi-annual 2025.

**NGƯỜI CÔNG BỐ THÔNG TIN
INFORMATION DISCLOSURE PERSON**



**Tổng Giám đốc/ General Director
Nguyễn Quang Anh/Nguyen Quang Anh**



No. ~~191~~/2025/CV-SBSI

Hanoi, ~~21~~ July 2025

REPORT ON CORPORATE GOVERNANCE

(semi-annual 2025)

**To: - The State Securities Commission;
- Vietnam Stock Exchange;
- Hanoi Stock Exchange;
- Ho Chi Minh City Stock Exchange.**

- Company name: STANLEY BROTHERS SECURITIES INCORPORATION
- Head office address: 9th Floor, ROX Tower, 54A Nguyen Chi Thanh, Lang Ward, Hanoi City.
- Phone: (+84) 24 3377 6699 Fax: (+84) 24 3373 6699 Email: info@sbsi.vn
- Charter capital : 339,000,000,000 VND
- Stock code: VUA
- Corporate governance model: General Meeting of Shareholders, Board of Directors, Board of Supervisors and General Director.
- The implementation of internal audit: Implemented

I. Activities of the General Meeting of Shareholders

Information on meetings and Resolutions/Decisions of the General Meeting of Shareholders (including Resolutions of the General Meeting of Shareholders adopted in the form of written consultation):

No.	Resolution/Decision No.	Date	Content
1	01/2025/NQ-ĐHĐCĐ	24/3/2025	<ul style="list-style-type: none">- Approving the Board of Directors's Report;- Approving the Board of Supervisors' Report;- Approving the Board of Management's Report;- Approving the business plan for 2025;

			<ul style="list-style-type: none"> - Approving the 2024 Financial Report and the 2024 Financial Safety Ratio Report that have been audited; - Approving the Audit firm for the Financial Report 2025; - Approving the profit distribution plan for 2025; - Approving the payment of remuneration of the Board of Directors, Board of Supervisors, salary of the Company's managers in 2024 and remuneration plan for the Board of Directors, Board of Supervisors in 2025; - Through the change of information on the location of the company's head office; - Approving the Company's investment plan in 2025; - Approving the amendment of the Company's Charter; - Approving the transaction policy between the Company and related persons.
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II. Board of Directors

1. Information about members of the Board of Directors:

No.	Board of Directors' members	Position	The date becoming/ceasing to be the member of the Board of Directors	
			Date of appointment	Date of dismissal
01	Luyen Quang Thang	Chairman	16/04/2024	
02	Nguyen Quang Anh	Member	16/04/2024	
03	Pham Hoang Hai	Member	07/03/2022	

2. Board of Directors meetings:

Stt	Board Member	Number of Board Meetings Attended	Meeting attendance rate	Reasons for not attending the meeting
01	Luyen Quang Thang	05/05	100%	
02	Nguyen Quang Anh	05/05	100%	
03	Pham Hoang Hai	05/05	100%	

3. Supervising the Board of Management by the Board of Directors:

- In the first 6 months of 2025, the Board of Directors has supervised the operating activities of the General Director through periodic reports, guidelines and policies on risk management, investment activities, governance and important business segments of the company.
- The Board of Directors has fully participated in meetings with the Board of Directors to grasp the situation and orientation of the company's operations. The Board of Directors and members of the Board of Directors are reported and provided with full information on the company's business activities, the situation as well as the results of the implementation of the contents approved by the Board of Directors and the General Meeting of Shareholders according to the Resolutions/Decisions of the Board of Directors, General Meeting of Shareholders. The Board of Directors has implemented SBSI's strategically oriented business activities, while enhancing risk management, management and risk control.
- In addition, the Board of Directors also participates in directing, urging and implementing the Resolutions of the General Meeting of Shareholders and the Board of Directors to ensure the proper implementation of the Charter, Regulations and relevant legal regulations.
- According to the evaluation of the Board of Directors, all members of the Board of Directors have tried to fulfill their duties well. The Board of Directors and key managers have effectively and rationally implemented the decisions of the Board of Directors.

4. Activities of subcommittees of the Board of Directors (if any): None

5. Resolutions/Decisions of the Board of Directors:

Stt	Number of Resolutions/Decisions	Day	Content	Adoption Rate
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1.	01/2025/NQ-HDQT	20/01/2025	Approval of the Company's risk management policy in 2025	100%
2.	02/2025/NQ-HDQT	20/01/2025	Convening the Annual General Meeting of Shareholders in 2025	100%
3.	03/2025/NQ-HDQT	23/01/2025	Approval of the 2025 Internal Audit Plan	100%
4.	04/2025/NQ-HDQT	18/3/2025	Approving the documents of the 2025 Annual General Meeting of Shareholders	100%
5.	05/2025/NQ-HDQT	19/06/2025	Selection of auditors for fiscal year 2025	100%

III. Board of Supervisors

1. Information about members of Board of Supervisors

No.	Members of Board of Supervisors	Position	The date becoming/ceasing to be the member of the Board of Supervisors	Qualification
01.	Mr. Do Duc Loc	Head of Control Board	Appointed on 25/04/2023	Bachelor of Finance
02.	Ms. Le Thi Giang	Member	Appointed on 07/03/2022	Bachelor of Laws
03.	Ms. Dinh Thi Lan Anh	Member	Appointed on 25/04/2023	Bachelor of Accounting

2. Supervisory Board Meeting

No.	Members of Board of Supervisors	Number of meetings attended	Attendance rate	Voting rate	Reasons for absence
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01.	Mr. Do Duc Loc	01/01	100%	100%	
02.	Ms. Le Thi Giang	01/01	100%	100%	
03.	Ms. Dinh Thi Lan Anh	01/01	100%	100%	

3. Supervising Board of Directors, Board of Management and shareholders by Board of Supervisors

- Representatives of the Supervisory Board fully participate in monthly meetings of the Board of Directors to grasp the company's operation and at the same time supervise the Board of Directors and the General Director in the management and administration of the company. The Supervisory Board and members of the Supervisory Board are fully provided with minutes, resolutions/decisions and other documents in meetings of the Board of Directors by the Board of Directors and the General Director.
- Examining the reasonableness, legality, honesty and prudence in the management and administration of business activities; systematic, consistent and appropriate of accounting, statistics and financial statements.
- Appraisal of reports on business activities, financial statements of the Company, reports on evaluation of management of the Board of Directors and submission of appraisal reports at the Annual General Meeting of Shareholders. Participate in giving opinions in promulgating the company's internal regulations, ensuring compliance with the provisions of the law and limiting risks.
- In the first 6 months of 2025, the Supervisory Board will not receive any information or reflections from shareholders.

4. Coordination of activities between the Control Board for the operation of the Board of Directors, the Board of Directors and other managers

In the first 6 months of 2025, the coordination of activities between the Supervisory Board and the Board of Directors, the Board of Directors and other managers will be effectively implemented. Departments and managers in the company have actively coordinated and provided documents as requested, creating conditions for the Supervisory Board to carry out full, reasonable and legal inspection and control.

- Coordination between the Supervisory Board and the Board of Directors: The Supervisory Board performs the function of supervising the management and administration of the Board of Directors, the Board of Directors and the Company's business activities through attending and contributing opinions at meetings of the Board of Directors and approving the Company's periodic financial statements. Evaluate the

management effectiveness, operation of the Board of Directors, General Director and business situation through facts and reports.

- Coordination between the Supervisory Board and the General Director:
 - + The Supervisory Board proactively discusses and agrees with the Board of Directors on business contents, plans and activities; inspect, supervise and coordinate with the General Director in the process of supervising and inspecting the Company's business activities.
 - + The Board of Directors has fully provided the Resolutions and decisions of the Board of Directors to the Supervisory Board.
 - + The General Director creates favorable conditions for the Supervisory Board to collect information and documents related to business activities.
 - + The Board of Directors, the Supervisory Board and the General Director and the Company's managers have had a close relationship in the administration and management of the Company.
- The opinions and recommendations of the Supervisory Board are recorded, supplemented and corrected by the Board of Directors and the Board of Directors in a timely manner, ensuring safety in business activities.

5. Other activities of the Supervisory Board (if any): No

IV. Executive Board

No.	Members of Board of Management	Date of birth	Qualifications	Date of appointment of Executive Board members
01	Nguyen Quang Anh	20/02/1978	Master of Finance	10/05/2024

V. Chief Accountant

STT	Full name	Date of birth	Qualification	Date of Appointment
01	Bui Thi Viet Ha	15/06/1976	Bachelor of Finance and Credit	08/10/2019

VI. Training on corporate governance :

Members of the Board of Directors, members of the Supervisory Board, the Chief Executive Officer, other managers and the Company Secretary participate in internal training courses in accordance with regulations on corporate governance.

VII. List of related persons of the public company and transactions of related persons of the company with the company itself

1. List of related persons of the company: Appendix 01 (*attached*).
2. Transactions between the company and its related persons; or between the company and major shareholders, insiders, and related persons of insiders: None.
3. Transactions between internal persons of the company, related persons of internal persons and subsidiaries or companies controlled by the company: None.
4. Transactions between the company and other entities
 - 4.1. Transactions between the company and the company that members of the Board of Directors, members of the Supervisory Board, Director (General Director) and other managers have been or are founding members or members of the Board of Directors, Directors (General Directors) have been operating in the past three (03) years (calculated at the time of making reports): Without
 - 4.2. Transactions between companies that are operated by related persons of members of the Board of Directors, members of the Control Board, Directors (General Directors) and other managers who are members of the Board of Directors, Directors (General Directors): None.
 - 4.3. Other transactions of the company (if any) that may bring material or immaterial benefits to members of the Board of Directors, members of the Supervisory Board, Directors (General Director) and other managers: None.

VIII. Trading of shares of insiders and related persons of insiders

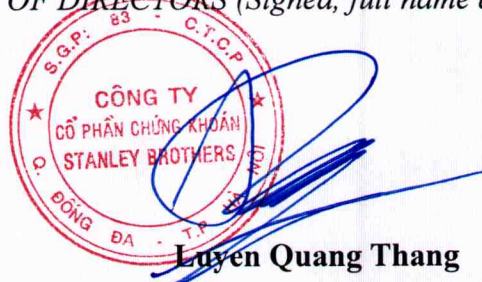
1. List of insiders and related persons of insiders : Appendix 02 (*attached*)
2. Transactions of insiders and related persons for the company's shares: None.

IX. Other issues to note: No

Recipient:

- As above;
- Save the Company;

CHAIRMAN OF THE BOARD
OF DIRECTORS (Signed, full name and sealed)



Luyen Quang Thang

14 M01

APPENDIX 01: THE LIST OF AFFILIATED PERSONS OF THE COMPANY

No.	Name of organization/ individual	Securities trading account (if any)	Position at the Company (if any)	No.*, date of issue, place of issue	Address	Time of starting to be affiliated person	Time of ending to be affiliated person	Reason	Relationship with the Company
01	Luyen Quang Thang		Chairman			16/04/2024			Internal person
02	Nguyen Quang Anh		General Director			10/05/2024			Internal person
			Member of the Board of Directors			16/04/2024			Internal person
03	Pham Hoang Hai		Member of the Board of Directors			07/03/2022			Internal person
04	Bui Thi Viet Ha		Chief Accountant			08/10/2019			Internal person
05	Do Duc Loc		Head of the Board of Supervisors control			25/04/2023			Internal person



No.	Name of organization/ individual	Securities trading account (if any)	Position at the Company (if any)	No. *, date of issue, place of issue	Address	Time of starting to be affiliated person	Time of ending to be affiliated person	Reason	Relationship with the Company
06	Dinh Thi Lan Anh		Member of the Board of Supervisors			25/04/2023			Internal person
07	Le Thi Giang		Member of the Board of Supervisors, The corporate governance officer			07/03/2022			Internal person
08	Pham Thuy Linh		Internal auditor			11/11/2024		Resolutions of the Board of Directors	Internal person

Note: NSH Certificate number: ID card number/Passport number (for individuals) or Business registration certificate number, Operating license or equivalent legal documents (for organizations)/.*

APPENDIX 02: THE LIST OF INTERNAL PERSONS AND THEIR AFFILIATED PERSONS OF THE COMPANY

No.	Name	Securities trading account (if any)	Position at the company (if any)	ID card No./Passport No., date of issue, place of issue	Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
01	Luyen Quang Thang		Chairman			300,000	0.88%	Internal person
	Pham Thi Hue					0	0	Mother
	Luyen Thuy Chau					0	0	Sister
	Tran Thi Tuyet Ngan					0	0	Wife
	Luyen Hoang Duong					0	0	Son
	Tran Van Dinh					0	0	Father-in-law

No.	Name	Securities trading account (if any)	Position at the company (if any)	ID card No./Passport No., date of issue, place of issue	Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
	Nguyen Thi Tu Hoa					0	0	Mother-in-law
02	Nguyen Quang Anh		General Director – Member of the Board of Directors			0	0	Internal person
	Nguyen Van Duoc					0	0	Father
	Nguyen Thi Minh Kiem					0	0	Mother
	Nguyen Thi Kim Minh					0	0	Sister
	Le Thi Thu Huong					0	0	Wife
	Nguyen Khoa Nguyen					0	0	Son

No.	Name	Securities trading account (if any)	Position at the company (if any)	ID card No./Passport No., date of issue, place of issue	Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
	Nguyen Tue An					0	0	Daughter
	Le Van Kien					0	0	Father-in-law
	Tran Thi Toan					0	0	Mother-in-law
03	Pham Hoang Hai		Member of the Board of Directors			0	0	Internal person
	Pham Xuan Hoa					0	0	Father
	Hoang Thi Due					0	0	Mother
	Vuong Thi Thu Huyen					0	0	Wife

No.	Name	Securities trading account (if any)	Position at the company (if any)	ID card No./Passport No., date of issue, place of issue	Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
	Pham Gia Huy					0	0	Son
	Nguyen Thi Binh					0	0	Mother-in-law
04	Bui Thi Viet Ha		Chief Accountant			0	0	Internal person
	Bui Dinh Thuc					0	0	Father
	Phan Thi Chau					0	0	Mother
	Nguyen Duc Thai Vu					0	0	Son
	Nguyen Duy Bao Ngoc					0	0	Daughter

No.	Name	Securities trading account (if any)	Position at the company (if any)	ID card No./Passport No., date of issue, place of issue	Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
	Bui Thi Thuy Ngan					0	0	Sister
	Bui Thi Thu Hue					0	0	Sister
	Bui Thanh Dat					0	0	Brother
	Nguyen The Minh					0	0	Brother-in-law
	Nguyen Viet Hung					0	0	Brother-in-law
	Ha Thi Minh Hien					0	0	sister-in-law
05	Do Duc Loc		Head of the Board of Supervisors control			0	0	Internal person

No.	Name	Securities trading account (if any)	Position at the company (if any)	ID card No./Passport No., date of issue, place of issue	Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
	Do Xuan Thuy					0	0	Father
	Pham Thi Loi					0	0	Mother
	Do Truong Xuan					0	0	Brother
06	Dinh Thi Lan Anh		Member of the Board of Supervisors			0	0	Internal person
	Dinh Gia Hoang					0	0	Father
	Bui Thi Duyen					0	0	Mother
	Duong Huy Binh					0	0	Husband
	Duong Huy Hong Minh					0	0	Son

No.	Name	Securities trading account (if any)	Position at the company (if any)	ID card No./Passport No., date of issue, place of issue	Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
	Duong Ngoc Minh Anh					0	0	Daughter
	Dinh Thi Quynh Hoa					0	0	Sister
	Duong Trung Hieu					0	0	Brother-in-law
	Ngo Thi Thuc					0	0	Mother-in-law
07	Le Thi Giang		Member of the Board of Supervisors, The corporate governance officer			0	0	Internal person
	Le Van Dung					0	0	Father

No.	Name	Securities trading account (if any)	Position at the company (if any)	ID card No./Passport No., date of issue, place of issue	Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
	Nguyen Thi Nghia					0	0	Mother
	Le Thi Tinh					0	0	Sister
	Le Van Tung					0	0	Brother
	Le Thi Dung					0	0	Sister
	Le Thi Duyen					0	0	Sister
	Le Thi Hai					0	0	Sister
08	Pham Thuy Linh		Internal auditor			0	0	Internal person

No.	Name	Securities trading account (if any)	Position at the company (if any)	ID card No./Passport No., date of issue, place of issue	Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
	Pham Gia Nghia					0	0	Father
	Tran Thi Luom					0	0	Mother
	Hoang Trung Voi					0	0	Father-in-law
	Dang Thi Hai Van					0	0	Mother-in-law
	Pham Manh Hung					0	0	Brother
	Phi Thi Minh Hue					0	0	Sister-in-law
	Hoang Anh Nhat					0	0	Husband

