

**CÔNG TY CỔ PHẦN VẬN TẢI  
DẦU KHÍ ĐÔNG DƯƠNG  
INDOCHINA PETROLEUM  
TRANSPORTATION JOINT STOCK  
COMPANY**

Số: 106./VTDKĐD-TCHC  
No: 106./VTDKĐD-TCHC

**CỘNG HÒA XÃ HỘI CHỦ NGHĨA VIỆT NAM  
Độc lập – Tự do – Hạnh phúc  
THE SOCIALIST REPUBLIC OF VIETNAM  
Independence – Freedom – Happiness**

Hà Nội, ngày 20 tháng 7 năm 2025  
Hanoi, July 20, 2025

**CÔNG BỐ THÔNG TIN  
INFORMATION DISCLOSURE**

**Kính gửi: Ủy ban Chứng khoán Nhà nước  
Sở Giao dịch Chứng khoán Hà Nội  
To: The State Securities Commission  
The Hanoi Stock Exchange**

1. Tên tổ chức/Name of Organization: Công ty CP Vận tải Dầu khí Đông Dương (PVTrans-PTT) /Indochina Petroleum Transportation Joint Stock Company.
2. Mã chứng khoán/Stock code: PTT
3. Địa chỉ trụ sở chính/Address: Tầng 6 toà nhà Việt Á, số 9 phố Duy Tân , phường Cầu Giấy, Hà Nội/6th Floor, Viet A Building, No. 9 Duy Tan Street, Cau Giay Ward, Hanoi.
4. Người thực hiện công bố thông tin/Submitted by: Lê Thanh Sơn – Giám đốc Công ty – Người đại diện theo pháp luật/Le Thanh Son - Director and Legal representative.
5. Nội dung công bố thông tin/Content of disclosure: Báo cáo tình hình quản trị công ty (6 tháng/năm 2025) của PVTrans-PTT/The Report on Corporate Governance (6 months/Year 2025) of PVTrans-PTT
6. Địa chỉ trang thông tin điện tử của Công ty đăng tải Báo cáo tình hình quản trị công ty (6 tháng/năm 2025) của PVTrans-PTT/The Report on Corporate Governance (6 months/Year 2025) of PVTrans-PTT were posted on the company's website: <http://pvtrans-ptt.com>

Chúng tôi xin cam kết các thông tin công bố trên đây là đúng sự thật và hoàn toàn chịu trách nhiệm trước pháp luật về nội dung công bố thông tin/We hereby certify that the information disclosed above is truthful, and we take full responsibility before the law for the content of the disclosed information content.

Trân trọng/Sincerely.

**Nơi nhận/Recipients::**

- Như trên/As above;
- HĐQT, BKS (để b/c)/BOD, BKS (for reporting);
- BGĐ (để th/h)/BOM;
- Lưu/ File: VT, TCHC, ĐLP(01b).

**Tài liệu đính kèm/Attached document:**

Báo cáo tình hình quản trị Công ty (6 tháng/năm 2025)/The Report on Corporate Governance (6months/Year 2025)

**NGƯỜI ĐẠI DIỆN THEO PHÁP LUẬT  
LEGAL REPRESENTATIVE**

**Giám đốc/Director**  
  
**Lê Thanh Sơn**

No: 03/BC-VTDKDD

Hanoi, 20<sup>th</sup> July, 2025

**REPORT ON CORPORATE GOVERNANCE**  
(6months/Year 2025)

To: - The State Securities Commission  
- The Hanoi Stock Exchange

- Public company name: Indochina Petroleum Transportation Joint Stock Company
- Head office address: 6th floor, Viet A building, No. 9 Duy Tan street, Cau Giay ward, Hanoi
- Phone: 024.35563031/35563032 Fax: 024.35563033
- Email: info@pvtrans-ptt.com Website: http://pvtrans-ptt.com
- Charter capital: 164.931.720.000 VND
- Stock code: PTT
- Corporate governance model: General Meeting of Shareholders, Board of Directors, Board of Supervisors and Director.

**I. Activities of the General Meeting of Shareholders:**

On March 20, 2025, Indochina Petroleum Transportation Joint Stock Company held the 2025 Extraordinary General Meeting of Shareholders. The organization procedures and the authority to issue resolutions comply with the provisions of the Law and the Company's Charter.

As a result, the 2025 Extraordinary General Meeting of Shareholders passed Resolution No. 01/NQ-VTDKDD-ĐHĐCĐ dated March 20, 2025 with the following contents:

1. 2025 production and business plan, assigned to the Board of Directors to approve the adjustment of the production and business plan and investment plan (if necessary) to suit the actual situation of the Company.
2. Project to purchase Handysize bulk carrier with a capacity of up to 40,000DWT (code PTT-HR-02/24) of Indochina Petroleum Transport Joint Stock Company.
3. Change in the plan to use capital/amount of money raised from the issuance of additional shares to existing shareholders to increase the charter capital of Indochina Petroleum Transportation Joint Stock Company approved by the General Meeting of Shareholders in Resolution No. 02/NQ-VTDKDD-ĐHĐCĐ dated August 21, 2023.

On June 27, 2025, Indochina Petroleum Transportation Joint Stock Company held the 2025 Annual General Meeting of Shareholders. The organization procedures and the authority to issue resolutions comply with the provisions of the Law and the Company's Charter.

As a result, the 2025 Annual General Meeting of Shareholders passed Resolution No. 02/NQ-VTDKDD-ĐHĐCĐ dated June 27, 2025 with the following contents:

1. Report of the Board of Directors on its performance in 2024 and operation plan for 2025.
2. Report of the Board of Supervisors on its activities in 2024 and operation plan for 2025.
3. Business performance results and audited financial statements for fiscal year 2024.
4. Remuneration of the Board of Directors and the Board of Supervisors in 2024, and remuneration plan for 2025.
5. The 2024 profit distribution plan and Fund allocation.
6. The list of auditing firms and authorization for the Board of Directors to select an independent auditor for the 2025 financial statements audit.
7. The AGM approves the dismissal of Mr. Do Duc Hung and Mrs. Hoang Phuong Nga as member of the Board of Directors due to personal reasons.



8. The AGM elects two (02) members of the Board of Directors for the 2025 – 2030 term:

Mr. Huynh Chi Thanh and Mrs. Hoang Thi Tuyet Chinh

9. The AGM approves the dismissal of Mrs. Le Hai Yen as member of the Board of Supervisors due to personal reasons.

10. The AGM elects one (01) member of the Board of Supervisors for the 2025 – 2030 term: Mrs. Dang Thi Dung

## II. Activities of the Board of Directors:

1. Information about Board of Directors members:

| TT | Board Member               | Position                     | Date of starting/cease being a member of the Board of Directors | Number of meetings attended | Meeting attendance rate | Reason for not attending                        |
|----|----------------------------|------------------------------|---|-----------------------------|-------------------------|---|
| 1  | Mr. Le Manh Tuan           | Chairman of the Board        | December 28, 2020   | 14                          | 100%                    |   |
| 2  | Mr. Do Duc Hung            | Member of Board of Directors | June 29, 2020   | 14                          | 100%                    | Dismissed on June 27 2025                       |
| 3  | Mr. Le Thanh Son           | Member of Board of Directors | January 13, 2021  | 14                          | 100%                    |   |
| 4  | Ms. Hoang Phuong Nga       | Member of Board of Directors | 5/29/2024   | 13                          | 85%                     | Due to business trip/ Dismissed on June 27 2025 |
| 5  | Mr. Luu Manh Thang         | Member of Board of Directors | September 22, 2021  | 14                          | 100%                    |   |
| 6  | Mr. Pham Anh Hung          | Independent Board Member     | April 21, 2023  | 14                          | 100%                    |   |
| 7  | Mr. Huynh Chi Thanh        | Member of Board of Directors | June 27, 2025   |                             |                         | Appointed on June 27, 2025                      |
| 8  | Mrs. Hoang Thi Tuyet Chinh | Member of Board of Directors | June 27, 2025   |                             |                         | Appointed on June 27, 2025                      |

2. Supervising the Board of Management (BOM) by the BOD:

- Monthly: Participate in important meetings of the BOM on preparing reports and proposals to submit to the BOD; Implement the Resolutions and decisions of the BOD.
- Quarterly: There is an extended meeting of the BOD with the BOM to evaluate the results of the quarter and measures to implement the plan for the next quarter.
- In addition, the BOD holds extraordinary meetings or asks for written opinions on arising work.

- Monitor and grasp the production and business operations process through reports and documents from the BOM sent to the BOD, and control reports from the Company's Supervisory Board.
- 3. Activities of subcommittees under the BOD: None.

### III. Resolutions and Decisions of the Board of Directors:

| No | Resolution No.    | Date of issue | Content   |
|----|-------------------|---------------|---|
| 1  | 01/NQ-VTDKĐD-HĐQT | 10/01/2025    | Finalizing the list of shareholders to organize the 2025 extraordinary general meeting of shareholders  |
| 2  | 02/NQ-VTDKĐD-HĐQT | 07/02/2025    | Meeting on 07/02/2025   |
| 3  | 03/NQ-VTDKĐD-HĐQT | 19/02/2025    | Finalizing the list of shareholders to organize the 2025 annual general meeting of shareholders   |
| 4  | 04/NQ-VTDKĐD-HĐQT | 27/02/2025    | Plan for the extraordinary General Meeting of Shareholders in 2025 and documents submitted to the Congress  |
| 5  | 05/NQ-VTDKĐD-HĐQT | 11/3/2025     | Liquidation sale of 02 cars used car.   |
| 6  | 06/NQ-VTDKĐD-HĐQT | 18/02/2025    | Approval of the content to be submitted to the extraordinary General Meeting of Shareholders in 2025  |
| 7  | 07/NQ-VTDKĐD-HĐQT | 03/4/2025     | Approval of the Plan to purchase Handysize bulk carriers with a capacity of up to 40,000DWT   |
| 8  | 08/NQ-VTDKĐD-HĐQT | 31/3/2025     | Regular meeting of the first quarter of 2025  |
| 9  | 09/NQ-VTDKĐD-HĐQT | 09/4/2025     | Extension of the time to hold the annual General Meeting of Shareholders in 2025  |
| 10 | 10/NQ-VTDKĐD-HĐQT | 05/5/2025     | Approval of the selection of a sponsoring bank and a loan plan for the Project to purchase Handysize bulk carriers with a capacity of up to 40,000DWT |
| 11 | 11/NQ-VTDKĐD-HĐQT | 15/5/2025     | Approval of the results of the selection of ships for the Project of purchasing Handysize bulk carriers with a capacity of up to 40,000DWT            |
| 12 | 12/NQ-VTDKĐD-HĐQT | 05/6/2025     | Plan for the 2025 Annual General Meeting of Shareholders  |
| 13 | 01/QĐ-VTDKĐD-HĐQT | 19/6/2025     | Sending staff on overseas business trips  |
| 14 | 02/QĐ-VTDKĐD-HĐQT | 20/6/2025     | Personnel work  |

### IV. The Board of Supervisors

#### 1. Information about the members of the Supervisory Board (BOS):



| TT | Board Member       | Position                    | Date of starting/no longer being a TV BKS | Number of meetings attended | Meeting attendance rate | Reason for not attending   |
|----|--------------------|-----------------------------|---|-----------------------------|-------------------------|----------------------------|
| 1  | Mr. Le Thien Nhat  | Head of Supervisory Board   | April 21, 2023                            | 1                           | 100%                    |                            |
| 2  | Mr. Do Nhu Tien    | Member of Supervisory Board | April 21, 2023                            | 1                           | 100%                    |                            |
| 3  | Mrs. Le Hai Yen    | Member of Supervisory Board | April 21, 2023                            | 1                           | 100%                    | Dismissed on June 27, 2025 |
| 4  | Mrs. Dang Thi Dung | Member of Supervisory Board | June 27, 2025                             |                             |                         | Appointed on June 27, 2025 |

**2. Supervising the BOD and the BOM by BOS:**

a. For the BOD:

- In 2025, the Board of Directors has performed its functions and duties in accordance with the Enterprise Law and the Company's Charter.
- The decisions of the Board of Directors were issued in accordance with current regulations for public companies registered to trade on the UPCoM.
- During the period, the Board of Directors organized the annual and extraordinary General Meeting of Shareholders in accordance with the procedures prescribed by law and the Company's Charter.

b. For the BOM:

- The activities of the Board of Directors have complied with the provisions of current law, the Company's Charter, and performed its functions and duties as prescribed.
- The Director has been very close in directing and operating the company, promptly handling production and business activities effectively.

**3. Cooperation between the BOD, the BOM and the BOS.**

- The Board of Directors and the Board of Directors always create favorable conditions for the Board of Supervisors to operate.
- The BOS, the BOD and the BOM closely coordinate in the inspection and supervision work through the Regulations on the working relationship between the BOD and the BOM and agencies and organizations in the Company..

**4. Other activities of the Board of Supervisors (if any):**

- Monitor the implementation and issuance of Resolutions and Decisions of the Company's Board of Directors.
- Appraise the quarterly financial reports.
- Report to the General Meeting of Shareholders according to regulations.

**V. BOARD OF MANAGEMENT (BOM)**

| No | BOM's Member     | Date of birth | Level Expertise                          | Date of appointment/removal of members of the Executive Board |
|----|------------------|---------------|--|---|
| 1  | Mr. Le Thanh Son | May 12, 1971  | Petrochemical Engineer, Bachelor of Laws | 13/01/2021  |

|   |                 |                    |   |            |
|---|-----------------|--------------------|---|------------|
| 2 | Mr. Bui Huu Co  | September 12, 1970 | Marine engineer,<br>Bachelor of Economics,<br>Master of Network Marketing | 20/6/2025  |
| 3 | Mr. Vu Hoai Nam | December 21, 1977  | Bachelor of Management business   | 23/01/2024 |

#### **VI. CHIEF ACCOUNTANT**

| Full name             | Date year of birth | Professional qualifications   | Date of appointment/<br>dismissal |
|-----------------------|--------------------|-------------------------------|-----------------------------------|
| Mr. Nguyen Dinh Chinh | 10/12/1980         | Master of Banking and Finance | 22/5/2024                         |

#### **VII. TRAINING ON CORPORATE GOVERNANCE:**

The Company has not organized a course on corporate governance regulations for members of the Board of Directors, the Supervisory Board and the Board of Managements in the first 6 months of 2025. However, the Chairman of the Board of Directors, members of the Board of Directors, the Director and a number of employees of the Company have participated in the training course for members of the Board of Directors and Corporate Governance Secretaries organized by VIOD in the previous period .



**VIII. LIST OF RELATED PERSONS OF THE COMPANY AND TRANSACTIONS OF RELATED PERSONS OF THE COMPANY WITH THE COMPANY ITSELF.**

1. List of insiders and related persons of insiders as of June 30, 2025 :

| Stat<br>us<br>No.  | Name               | Securi<br>ties<br>tradin<br>g<br>accou<br>nt (if<br>any) | Positi<br>on at<br>the<br>comp<br>any<br>(if<br>any) | Relatio<br>nship<br>with<br>internal<br>person | Type of<br>NSH<br>Certificate<br>(*) (ID<br>card/Passp<br>ort/Busines<br>s<br>registration<br>certificate) | NSH<br>Certific<br>ate<br>Numbe<br>r (*)<br>NSH<br>No. | Date of<br>issue | Place of issue | Head office address/<br>Contact address<br>Address | shares<br>owned<br>at the<br>end of<br>the<br>period | share<br>owners<br>hip at<br>the end<br>of the<br>period | of<br>starting<br>to be<br>affiliate<br>d<br>person | Time<br>of<br>ending<br>to be<br>affiliat<br>ed<br>person | Reasons<br>(when<br>changes<br>arise<br>relating<br>to items<br>13 and<br>14)<br>Reasons                                    | Note<br>(regarding<br>the<br>absence of<br>NSH<br>Certificate<br>number<br>and other<br>notes. Note<br>(**) |
|--------------------|--------------------|--|--|--|--|--|------------------|----------------|--|--|--|---|---|---|---|
| 1                  | 2                  | 3  | 4  | 5  | 6  | 7  | 8                | 9              | 10   | 11   | 12   | 13  | 14  | 15  | 16  |
| BOARD OF DIRECTORS |                    |  |  |  |  |  |                  |                |  |  |  |   |   |   |   |
| 1                  | Le<br>Manh<br>Tuan |  |  | Chair<br>man<br>of the<br>Board                | ID Card  |  |                  |                |  | 2,245,<br>800  | 22,458<br>%  | Decemb<br>er 28,<br>2020                            |   | Represe<br>ntative<br>of PVT's<br>capital:<br>Represe<br>nting<br>22,458%<br>of PV<br>Trans-<br>PTT's<br>charter<br>capital |   |
| 1.1                | Le Tien<br>Truc    |  |  | Father   | ID card  |  |                  |                |  | 0  | 0%   |   |   |   | Senior<br>Citizen   |
| 1.2                | Ta Thi<br>Xuan     |  |  | Mom  | ID card  |  |                  |                |  | 0  | 0%   | Decemb<br>er 28,<br>2020                            |   |   | Died<br>05/01/2016  |

| Stat<br>us<br>No. | Name                         | Securi<br>ties<br>tradin<br>g<br>accou<br>nt (if<br>any) | Positi<br>on at<br>the<br>comp<br>any<br>(if<br>any) | Relatio<br>nship<br>with<br>internal<br>person | Type of<br>NSH<br>Certificate<br>(*) (ID<br>card/Passp<br>ort/Busines<br>s<br>registration<br>certificate) | NSH<br>Certific<br>ate<br>Numbe<br>r (*)<br>NSH<br>No. | Date of<br>issue | Place of issue | Head office address/<br>Contact address<br>Address | shares<br>owned<br>at the<br>end of<br>the<br>period | share<br>owners<br>hip at<br>the end<br>of the<br>period | of<br>starting<br>to be<br>affiliate<br>d<br>person | Time<br>of<br>ending<br>to be<br>affiliat<br>ed<br>person | Reasons<br>(when<br>changes<br>arise<br>relating<br>to items<br>13 and<br>14)<br>Reasons | Note<br>(regarding<br>the<br>absence of<br>NSH<br>Certificate<br>number<br>and other<br>notes. Note<br>(**)) |
|-------------------|------------------------------|--|--|--|--|--|------------------|----------------|--|--|--|---|---|--|--|
| 1.3               | Phan<br>Thi<br>Thanh<br>Hiep |  |  | Wife   | ID Card  |  |                  |                |  | 0  | 0%   | 28/12/<br>2020                                      |   |  |  |
| 1.4               | Le Tien<br>Dat               |  |  | Son  | ID Card  |  |                  |                |  | 0  | 0%   | 28/12/<br>2020                                      |   |  |  |
| 1.5               | Le<br>Phan<br>Thuy<br>Anh    |  |  | Son  | ID Card  |  |                  |                |  | 0  | 0%   | 28/12/<br>2020                                      |   |  |  |
| 1.6               | Le Tien<br>Son               |  |  | brother  | ID card  |  |                  |                |  | 0  | 0%   | 28/12/<br>2020                                      |   |  |  |
| 1.7               | Dao<br>Thi Lan<br>Anh        |  |  | Brother's<br>wife                              | ID card  |  |                  |                |  | 0  | 0%   | 28/12/<br>2020                                      |   |  |  |
| 1.8               | Le Thi<br>Tuyet              |  |  | Sister   | ID card  |  |                  |                |  | 0  | 0%   | 28/12/<br>2020                                      |   |  |  |



| Stat<br>us<br>No. | Name                     | Securi<br>ties<br>tradin<br>g<br>accou<br>nt (if<br>any) | Positi<br>on at<br>the<br>comp<br>any<br>(if<br>any) | Relatio<br>nship<br>with<br>internal<br>person | Type of<br>NSH<br>Certificate<br>(*) (ID<br>card/Passp<br>ort/Business<br>registration<br>certificate) | NSH<br>Certificate<br>Number<br>(*)<br>NSH<br>No. | Date of<br>issue | Place of issue | Head office address/<br>Contact address<br>Address | shares<br>owned<br>at the<br>end of<br>the<br>period | share<br>owners<br>hip at<br>the end<br>of the<br>period | of<br>starting<br>to be<br>affiliate<br>d<br>person | Time<br>of<br>ending<br>to be<br>affiliat<br>ed<br>person | Reasons<br>(when<br>changes<br>arise<br>relating<br>to items<br>13 and<br>14)<br>Reasons | Note<br>(regarding<br>the<br>absence of<br>NSH<br>Certificate<br>number<br>and other<br>notes. Note<br>(**))                               |
|-------------------|--------------------------|--|--|--|--|---|------------------|----------------|--|--|--|---|---|--|--|
| 1.9               | Tran<br>Vu<br>Hoan       |  |  | Sister's<br>husband                            | ID card  |   |                  |                |  | 0  | 0%   | 28/12/<br>2020                                      |   |  |  |
| 1.10              | Le Thi<br>Nhung          |  |  | younger<br>brother                             | ID card  |   |                  |                |  | 0  | 0%   | 28/12/<br>2020                                      |   |  |  |
| 1.11              | Tran<br>Nang<br>Hung     |  |  | sister's<br>husband                            | ID card  |   |                  |                |  | 0  | 0%   | 28/12/<br>2020                                      |   |  |  |
| 1.12              | Le Thi<br>Hong<br>Phuong |  |  | younger<br>brother                             | ID card  |   |                  |                |  | 0  | 0%   | 28/12/<br>2020                                      |   |  |  |
| 1.13              | Nguyen<br>Thanh<br>Ha    |  |  | sister's<br>husband                            | ID Card  |   |                  |                |  | 0  | 0%   | 28/12/<br>2020                                      |   |  |  |
| 1.14              | Le<br>Trung<br>Tu        |  |  | younger<br>brother                             | ID card  |   |                  |                |  | 0  | 0%   | 28/12/<br>2020                                      |   |  |  |
| 2                 | Do Duc<br>Hung           |  | Mem<br>ber of<br>Board<br>of<br>Direct<br>ors        |  | ID Card  |   |                  |                |  | 0  | 0%   | 29/6/20<br>20                                       | 27/6/2<br>025   |  | Dismissed<br>from the<br>Board of<br>Directors at<br>personal<br>request, no<br>longer the<br>Representat<br>ive of<br>PVT's<br>capital at |

| Stat<br>us<br>No. | Name                  | Securi<br>ties<br>tradin<br>g<br>accou<br>nt (if<br>any) | Positi<br>on at<br>the<br>comp<br>any<br>(if<br>any) | Relatio<br>nship<br>with<br>internal<br>person | Type of<br>NSH<br>Certificate<br>(*) (ID<br>card/Passp<br>ort/Business<br>registration<br>certificate) | NSH<br>Certificate<br>Number<br>(*)<br>NSH<br>No. | Date of<br>issue | Place of issue | Head office address/<br>Contact address<br>Address | shares<br>owned<br>at the<br>end of<br>the<br>period | share<br>owners<br>hip at<br>the end<br>of the<br>period | of<br>starting<br>to be<br>affiliate<br>d<br>person | Time<br>of<br>ending<br>to be<br>affiliat<br>ed<br>person | Reasons<br>(when<br>changes<br>arise<br>relating<br>to items<br>13 and<br>14)<br>Reasons | Note<br>(regarding<br>the<br>absence of<br>NSH<br>Certificate<br>number<br>and other<br>notes. Note<br>(**)) |
|-------------------|-----------------------|--|--|--|--|---|------------------|----------------|--|--|--|---|---|--|--|
|                   |                       |  |  |  |  |   |                  |                |  |  |  |   |   |  | PTT  |
| 2.1               | Do Hoi                |  |  | Father   |  |   |                  |                |  |  |  |   |   |  | Died   |
| 2.2               | Le Thi<br>Sau         |  |  | Mom  |  |   |                  |                |  | 0  | 0%   |   |   |  | Died   |
| 2.3               | Nguyen<br>Thi<br>Hong |  |  | Wife   | ID Card  |   |                  |                |  | 0  | 0%   | 29/6/20<br>20                                       | 27/6/2<br>025   |  |  |
| 2.4               | Do<br>Lam<br>Phuong   |  |  | Daughte<br>r                                   | Passport   |   |                  |                |  | 0  | 0%   | 29/6/20<br>20                                       | 27/6/2<br>025   |  |  |
| 2.5               | Do Gia<br>Bach        |  |  | Son  | Passport   |   |                  |                |  | 0  | 0%   | 29/6/20<br>20                                       | 27/6/2<br>025   |  |  |
| 2.6               | Do Duc<br>Huy         |  |  | Son  | Passport   |   |                  |                |  | 0  | 0%   | 29/6/20<br>20                                       | 27/6/2<br>025   |  |  |



| Stat<br>us<br>No. | Name                     | Securi<br>ties<br>tradin<br>g<br>accou<br>nt (if<br>any) | Positi<br>on at<br>the<br>comp<br>any<br>(if<br>any) | Relatio<br>nship<br>with<br>internal<br>person | Type of<br>NSH<br>Certificate<br>(*) (ID<br>card/Pass<br>port/Busines<br>s<br>registration<br>certificate) | NSH<br>Certific<br>ate<br>Numbe<br>r (*)<br>NSH<br>No. | Date of<br>issue | Place of issue | Head office address/<br>Contact address<br>Address | shares<br>owned<br>at the<br>end of<br>the<br>period | share<br>owners<br>hip at<br>the end<br>of the<br>period | of<br>starting<br>to be<br>affiliate<br>d<br>person | Time<br>of<br>ending<br>to be<br>affiliat<br>ed<br>person | Reasons<br>(when<br>changes<br>arise<br>relating<br>to items<br>13 and<br>14)<br>Reasons | Note<br>(regarding<br>the<br>absence of<br>NSH<br>Certificate<br>number<br>and other<br>notes. Note<br>(**)) |
|-------------------|--------------------------|--|--|--|--|--|------------------|----------------|--|--|--|---|---|--|--|
| 2.7               | Do Duc<br>Hoang          |  |  | Son  | Passport   |  |                  |                |  | 0  | 0%   | 29/6/20<br>20                                       | 27/6/2<br>025   |  |  |
| 2.8               | Do Thi<br>Hanh           |  |  | Sister   | ID Card  |  |                  |                |  | 0  | 0%   | 29/6/20<br>20                                       | 27/6/2<br>025   |  |  |
| 2.9               | Do<br>Hong<br>Phuc       |  |  | brother  | ID card  |  |                  |                |  | 0  | 0%   | 29/6/20<br>20                                       | 27/6/2<br>025   |  | Died   |
| 2.10              | Do Duc<br>Dung           |  |  | younger<br>brother                             | ID card  |  |                  |                |  | 0  | 0%   | 29/6/20<br>20                                       | 27/6/2<br>025   |  |  |
| 2.11              | Nguyen<br>Van<br>Luu     |  |  | father in<br>law                               | ID card  |  |                  |                |  | 0  | 0%   | 29/6/20<br>20                                       | 27/6/2<br>025   |  |  |
| 2.12              | Do Thi<br>Hang           |  |  | Mother<br>in law                               | ID card  |  |                  |                |  | 0  | 0%   | 29/6/20<br>20                                       | 27/6/2<br>025   |  |  |
| 2.13              | Nguyen<br>Trung<br>Thanh |  |  | brother<br>in law                              | ID Card  |  |                  |                |  | 0  | 0%   | 29/6/20<br>20                                       | 27/6/2<br>025   |  |  |

| Stat<br>us<br>No. | Name                  | Securi<br>ties<br>tradin<br>g<br>accou<br>nt (if<br>any) | Positi<br>on at<br>the<br>comp<br>any<br>(if<br>any) | Relatio<br>nship<br>with<br>internal<br>person | Type of<br>NSH<br>Certificate<br>(*) (ID<br>card/Pass<br>port/Busines<br>s<br>registration<br>certificate) | NSH<br>Certific<br>ate<br>Numbe<br>r (*)<br>NSH<br>No. | Date of<br>issue | Place of issue | Head office address/<br>Contact address<br>Address | shares<br>owned<br>at the<br>end of<br>the<br>period | share<br>owners<br>hip at<br>the end<br>of the<br>period | of<br>starting<br>to be<br>affiliat<br>ed person | Time<br>of<br>ending<br>to be<br>affiliat<br>ed person | Reasons<br>(when<br>changes<br>arise<br>relating<br>to items<br>13 and<br>14)<br>Reasons | Note<br>(regarding<br>the<br>absence of<br>NSH<br>Certificate<br>number<br>and other<br>notes. Note<br>(**)) |
|-------------------|-----------------------|--|--|--|--|--|------------------|----------------|--|--|--|--|--|--|--|
| 2.14              | Nguyen<br>Thi<br>Tham |  |  | Sister in<br>law                               | ID Card  |  |                  |                |  | 0  | 0%   | 29/6/20<br>20                                    | 27/6/2<br>025  |  |  |
| 2.15              | Nguyen<br>Thi Lua     |  |  | sister in<br>law                               | ID Card  |  |                  |                |  | 0  | 0%   | 29/6/20<br>20                                    | 27/6/2<br>025  |  |  |
| 3                 | Le<br>Thanh<br>Son    |  | Mem<br>ber of<br>Board<br>of<br>Direct<br>ors        |  | ID Card  |  |                  |                |  | 2.473.<br>976  | 15%  | 13/1/<br>2021                                    |  | Representative of PVT's capital; Representative of 15% of PV Trans-PTT's charter capital |  |
| 3.1               | Le Van<br>Tuoc        |  |  | Father   | ID card  |  |                  |                |  | 0  | 0%   | 13/1/<br>2021                                    |  |  |  |
| 3.2               | To Thi<br>Mao         |  |  | Mother   |  |  |                  |                |  |  |  | 13/1/<br>2021                                    |  |  | Died   |



| Stat<br>us<br>No. | Name                 | Securi<br>ties<br>tradin<br>g<br>accou<br>nt (if<br>any) | Positi<br>on at<br>the<br>comp<br>any<br>(if<br>any) | Relatio<br>nship<br>with<br>internal<br>person | Type of<br>NSH<br>Certificate<br>(*) (ID<br>card/Passp<br>ort/Business<br>registrations<br>certificate) | NSH<br>Certificate<br>Number<br>(*)<br>NSH<br>No. | Date of<br>issue | Place of issue | Head office address/<br>Contact address<br>Address | shares<br>owned<br>at the<br>end of<br>the<br>period | share<br>owners<br>hip at<br>the end<br>of the<br>period | of<br>starting<br>to be<br>affiliate<br>d<br>person | Time<br>of<br>ending<br>to be<br>affiliat<br>ed<br>person | Reasons<br>(when<br>changes<br>arise<br>relating<br>to items<br>13 and<br>14)<br>Reasons | Note<br>(regarding<br>the<br>absence of<br>NSH<br>Certificate<br>number<br>and other<br>notes. Note<br>(**)) |
|-------------------|----------------------|--|--|--|---|---|------------------|----------------|--|--|--|---|---|--|--|
| 3.3               | Pham<br>Thi<br>Tuoi  |  |  | Wife   | ID Card   |   |                  |                |  | 0  | 0%   | 13/1/<br>2021                                       |   |  |  |
| 3.4               | Pham<br>Van<br>Vien  |  |  | father in<br>law                               |   |   |                  |                |  | 0  | 0%   | 13/1/<br>2021                                       |   |  | Died   |
| 3.5               | Nguyen<br>Thi<br>Muc |  |  | Mother<br>in law                               | ID card   |   |                  |                |  | 0  | 0%   | 13/1/<br>2021                                       |   |  |  |
| 3.6               | Le Khai<br>Hoan      |  |  | offspring                                      | ID card   |   |                  |                |  | 0  | 0%   | 13/1/<br>2021                                       |   |  |  |
| 3.7               | Le<br>Hoang<br>Giang |  |  | offspring                                      | ID Card   |   |                  |                |  | 0  | 0%   | 13/1/<br>2021                                       |   |  |  |
| 3.8               | Le<br>Thanh<br>Hai   |  |  | brother  | ID Card   |   |                  |                |  | 0  | 0%   | 13/1/<br>2021                                       |   |  |  |
| 3.9               | Le<br>Thanh<br>Ha    |  |  | brother  |   |   |                  |                |  |  |  |   |   |  | Died in<br>2020  |

| Stat<br>us<br>No. | Name                       | Securi<br>ties<br>tradin<br>g<br>accou<br>nt (if<br>any) | Positi<br>on at<br>the<br>comp<br>any<br>(if<br>any) | Relatio<br>nship<br>with<br>internal<br>person | Type of<br>NSH<br>Certificate<br>(*) (ID<br>card/Passp<br>ort/Business<br>registrations<br>certificate) | NSH<br>Certificate<br>Number<br>(*)<br>NSH<br>No. | Date of<br>issue | Place of issue | Head office address/<br>Contact address<br>Address | shares<br>owned<br>at the<br>end of<br>the<br>period | share<br>owners<br>hip at<br>the end<br>of the<br>period | of<br>starting<br>to be<br>affiliat<br>ed<br>person | Time<br>of<br>ending<br>to be<br>affiliat<br>ed<br>person | Reasons<br>(when<br>changes<br>arise<br>relating<br>to items<br>13 and<br>14)<br>Reasons | Note<br>(regarding<br>the<br>absence of<br>NSH<br>Certificate<br>number<br>and other<br>notes. Note<br>(**)) |
|-------------------|----------------------------|--|--|--|---|---|------------------|----------------|--|--|--|---|---|--|--|
| 3.10              | Le<br>Xuan<br>Truong       |  |  | brother  | ID Card   |   |                  |                |  | 0  | 0%   | 13/1/<br>2021                                       |   |  |  |
| 3.11              | Pham<br>Thi<br>Kim<br>Oanh |  |  | Sister in<br>law                               | ID Card   |   |                  |                |  | 0  | 0%   | 13/1/<br>2021                                       |   |  |  |
| 3.12              | Tran<br>Thi Hai            |  |  | Sister in<br>law                               | ID Card   |   |                  |                |  | 0  | 0%   | 13/1/<br>2021                                       |   |  |  |
| 3.13              | Dang<br>Thi<br>Khanh       |  |  | Sister in<br>law                               | ID Card   |   |                  |                |  | 0  | 0%   | 13/1/<br>2021                                       |   |  |  |
| 4                 | Huynh<br>Chi<br>Thanh      |  | Mem<br>ber of<br>Board<br>of<br>Direct<br>ors        |  | ID Card   |   |                  |                |  | 2.473.9<br>76  | 15%  | 27/06/2<br>025                                      |   | Elected<br>as<br>member<br>of Board<br>of<br>Directors<br>and<br>Representative of       |  |

| Stat<br>us<br>No. | Name                    | Securi<br>ties<br>tradin<br>g<br>accou<br>nt (if<br>any) | Positi<br>on at<br>the<br>comp<br>any<br>(if<br>any) | Relatio<br>nship<br>with<br>internal<br>person | Type of<br>NSH<br>Certificate<br>(*) (ID<br>card/Passp<br>ort/Busines<br>s<br>registration<br>certificate) | NSH<br>Certific<br>ate<br>Numbe<br>r (*)<br>NSH<br>No. | Date of<br>issue | Place of issue | Head office address/<br>Contact address<br>Address | shares<br>owned<br>at the<br>end of<br>the<br>period | share<br>owners<br>hip at<br>the end<br>of the<br>period | of<br>starting<br>to be<br>affiliate<br>d<br>person | Time<br>of<br>ending<br>to be<br>affiliat<br>ed<br>person | Reasons<br>(when<br>changes<br>arise<br>relating<br>to items<br>13 and<br>14)<br>Reasons            | Note<br>(regarding<br>the<br>absence of<br>NSH<br>Certificate<br>number<br>and other<br>notes. Note<br>(**)) |
|-------------------|-------------------------|--|--|--|--|--|------------------|----------------|--|--|--|---|---|---|--|
|                   |                         |  |  |  |  |  |                  |                |  |  |  |   |   | PVT's<br>capital:<br>Represent<br>ative of<br>15% of<br>PV<br>Trans-<br>PTT's<br>charter<br>capital |  |
| 4.1               | Phạm<br>Thị Thu<br>Thảo |  |  | Wife   | ID Card  |  |                  |                |  | 0  | 0%   | 27/06/2<br>025                                      |   |   |  |
| 4.2               | Huỳnh<br>Thủy           |  |  | Father   | ID Card  |  |                  |                |  | 0  | 0%   | 27/06/2<br>025                                      |   |   |  |
| 4.3               | Đoàn<br>Thị<br>Vân      |  |  | Mother   | ID Card  |  |                  |                |  | 0  | 0%   | 27/06/2<br>025                                      |   |   |  |
| 4.4               | Phạm<br>Vân<br>Ray      |  |  | Father<br>in law                               | ID Card  |  |                  |                |  | 0  | 0%   | 27/06/2<br>025                                      |   |   |  |
| 4.5               | Hồ Thị<br>Phượng        |  |  | Mother<br>in law                               | ID Card  |  |                  |                |  | 0  | 0%   | 27/06/2<br>025                                      |   |   |  |
| 4.6               | Huỳnh<br>Vân Ba         |  |  | Brother  | ID Card  |  |                  |                |  | 0  | 0%   | 27/06/2<br>025                                      |   |   |  |
| 4.7               | Huỳnh<br>Vân<br>Minh    |  |  | Brother  | ID Card  |  |                  |                |  | 0  | 0%   | 27/06/2<br>025                                      |   |   |  |



| Stat<br>us<br>No. | Name                        | Securi<br>ties<br>tradin<br>g<br>accou<br>nt (if<br>any) | Positi<br>on at<br>the<br>comp<br>any<br>(if<br>any) | Relatio<br>nship<br>with<br>internal<br>person | Type of<br>NSH<br>Certificate<br>(*) (ID<br>card/Pass<br>port/Busines<br>s<br>registration<br>certificate) | NSH<br>Certificate<br>Numbe<br>r (*)<br>NSH<br>No. | Date of<br>issue | Place of issue | Head office address/<br>Contact address<br>Address | shares<br>owned<br>at the<br>end of<br>the<br>period | share<br>owners<br>hip at<br>the end<br>of the<br>period | of<br>starting<br>to be<br>affiliate<br>d<br>person | Time<br>of<br>ending<br>to be<br>affiliat<br>ed<br>person | Reasons<br>(when<br>changes<br>arise<br>relating<br>to items<br>13 and<br>14)<br>Reasons | Note<br>(regarding<br>the<br>absence of<br>NSH<br>Certificate<br>number<br>and other<br>notes. Note<br>(**)) |
|-------------------|-----------------------------|--|--|--|--|--|------------------|----------------|--|--|--|---|---|--|--|
| 4.8               | Huỳnh<br>Thị<br>Kim<br>Hằng |  |  | Younger<br>sister                              | ID Card  |  |                  |                |  | 0  | 0%   | 27/06/2<br>025                                      |   |  |  |
| 4.9               | Huỳnh<br>Văn<br>Tiến        |  |  | Younger<br>Brother                             | ID Card  |  |                  |                |  | 0  | 0%   | 27/06/2<br>025                                      |   |  |  |
| 4.10              | Phạm<br>Minh<br>Tiến        |  |  | Brother<br>in law                              | ID Card  |  |                  |                |  | 0  | 0%   | 27/06/2<br>025                                      |   |  |  |
| 4.11              | Phạm<br>Thị<br>Ngọc<br>Thắm |  |  | Younger<br>sister in<br>law                    | ID Card  |  |                  |                |  | 0  | 0%   | 27/06/2<br>025                                      |   |  |  |
| 4.12              | Huỳnh<br>Minh<br>Khang      |  |  | Son  |  |  |                  |                |  | 0  | 0%   | 27/06/2<br>025                                      |   |  |  |
| 4.13              | Huỳnh<br>Minh<br>Khánh      |  |  | Daughte<br>r                                   |  |  |                  |                |  | 0  | 0%   | 27/06/2<br>025                                      |   |  |  |
| 5                 | Hoang<br>Phuong<br>Nga      |  | Mem<br>ber of<br>Board<br>of<br>Direct<br>ors        |  | ID card  |  |                  |                |  | 0  | 0%   | 5/29/20<br>24                                       | 27/6/2<br>025   | Dismis<br>ed on<br>June 27<br>2025   |  |

| Stat<br>us<br>No. | Name                        | Securi<br>ties<br>tradin<br>g<br>accou<br>nt (if<br>any) | Positi<br>on at<br>the<br>comp<br>any<br>(if<br>(if<br>any) | Relatio<br>nship<br>with<br>internal<br>person | Type of<br>NSH<br>Certificate<br>(*) (ID<br>card/Passp<br>ort/Busines<br>s<br>registration<br>certificate) | NSH<br>Certific<br>ate<br>Numbe<br>r (*)<br>NSH<br>No. | Date of<br>issue | Place of issue | Head office address/<br>Contact address<br>Address | shares<br>owned<br>at the<br>end of<br>the<br>period | share<br>owners<br>hip at<br>the end<br>of the<br>period | of<br>starting<br>to be<br>affiliate<br>d<br>person | Time<br>of<br>ending<br>to be<br>affiliat<br>ed<br>person | Reasons<br>(when<br>changes<br>arise<br>relating<br>to items<br>13 and<br>14)<br>Reasons | Note<br>(regarding<br>the<br>absence of<br>NSH<br>Certificate<br>number<br>and other<br>notes. Note<br>(**)) |
|-------------------|-----------------------------|--|---|--|--|--|------------------|----------------|--|--|--|---|---|--|--|
| 5.1               | Pham<br>Thanh<br>Trung      |  |   | Husband  | ID Card  |  |                  |                |  | 0  | 0%   | 05/29/2<br>024                                      | 27/6/2<br>025   |  |  |
| 5.2               | Hoang<br>Quoc<br>Thanh      |  |   | Father   | ID Card  |  |                  |                |  | 0  | 0%   | 05/29/2<br>024                                      | 27/6/2<br>025   |  |  |
| 5.3               | Do<br>Lien<br>Hoa           |  |   | Mother   | ID Card  |  |                  |                |  | 0  | 0%   | 05/29/2<br>024                                      | 27/6/2<br>025   |  |  |
| 5.4               | Hoang<br>Thanh<br>Thuy      |  |   | Younger<br>sister                              | ID Card  |  |                  |                |  | 0  | 0%   | 05/29/2<br>024                                      | 27/6/2<br>025   |  |  |
| 5.5               | Hoang<br>Thanh<br>Hien      |  |   | Younger<br>sister                              | ID Card  |  |                  |                |  | 0  | 0%   | 05/29/2<br>024                                      | 27/6/2<br>025   |  |  |
| 5.6               | Pham<br>Van<br>Thinh        |  |   | father in<br>law                               | ID Card  |  |                  |                |  | 0  | 0%   | 05/29/2<br>024                                      | 27/6/2<br>025   |  |  |
| 5.7               | Pham<br>Van<br>Thai         |  |   | Brother<br>in law                              | ID Card  |  |                  |                |  | 0  | 0%   | 05/29/2<br>024                                      | 27/6/2<br>025   |  |  |
| 5.8               | Pham<br>Thi<br>Kim<br>Thanh |  |   | Sister in<br>law                               | ID Card  |  |                  |                |  | 0  | 0%   | 05/29/2<br>024                                      | 27/6/2<br>025   |  |  |
| 5.9               | Pham<br>Van<br>Toan         |  |   | Brother<br>in law                              | ID Card  |  |                  |                |  | 0  | 0%   | 05/29/2<br>024                                      | 27/6/2<br>025   |  |  |

| Stat<br>us<br>No. | Name                        | Securi<br>ties<br>tradin<br>g<br>accou<br>nt (if<br>any) | Positi<br>on at<br>the<br>comp<br>any<br>(if<br>any) | Relatio<br>nship<br>with<br>internal<br>person | Type of<br>NSH<br>Certificate<br>(* ) (ID<br>card/Passp<br>ort/Busines<br>s<br>registration<br>certificate) | NSH<br>Certific<br>ate<br>Numbe<br>r (* )<br>NSH<br>No. | Date of<br>issue | Place of issue | Head office address/<br>Contact address<br>Address | shares<br>owned<br>at the<br>end of<br>the<br>period | share<br>owners<br>ship at<br>the end<br>of the<br>period | of<br>starting<br>to be<br>affiliate<br>d<br>person | Time<br>of<br>ending<br>to be<br>affiliat<br>ed<br>person | Reasons<br>(when<br>changes<br>arise<br>relating<br>to items<br>13 and<br>14)<br>Reasons | Note<br>(regarding<br>the<br>absence of<br>NSH<br>Certificate<br>number<br>and other<br>notes. Note<br>(**)) |
|-------------------|-----------------------------|--|--|--|---|---|------------------|----------------|--|--|---|---|---|--|--|
| 5.10              | Pham<br>Van<br>Thien        |  |  | Brother<br>in law                              | ID Card   |   |                  |                |  | 0  | 0%  | 05/29/2<br>024                                      | 27/6/2<br>025   |  |  |
| 6                 | Hoang<br>Thi Tuyet<br>Chinh |  | Mem<br>ber of<br>Board<br>of<br>Direct<br>ors        |  | ID Card   |   |                  |                |  | 0  | 0%  | 27/6/20<br>25                                       |   | Elected<br>as<br>member<br>of Board<br>of<br>Directors                                   |  |
| 6.1               | Lê Mạnh<br>Hùng             |  |  | Husband  | ID Card   |   |                  |                |  | 0  | 0%  | 27/6/2025   |   |  |  |
| 6.2               | Lê<br>Hoàng<br>Gia<br>Minh  |  |  | Son  | ID Card   |   |                  |                |  | 0  | 0%  | 27/6/2025   |   |  |  |
| 6.3               | Lê<br>Hoàng<br>Tuệ Vân      |  |  | Daughter                                       | ID Card   |   |                  |                |  | 0  | 0%  | 27/6/2025   |   |  |  |
| 6.4               | Hoàng<br>Văn<br>Luyến       |  |  | Father   | ID Card   |   |                  |                |  | 0  | 0%  | 27/6/2025   |   |  |  |
| 6.5               | Hoàng<br>Thị<br>Nương       |  |  | Mother   | ID Card   |   |                  |                |  | 0  | 0%  | 27/6/2025   |   |  |  |
| 6.6               | Hoàng<br>Thị Liên           |  |  | sister   | ID Card   |   |                  |                |  | 0  | 0%  | 27/6/2025   |   |  |  |



| Stat<br>us<br>No. | Name                   | Securi<br>ties<br>tradin<br>g<br>accou<br>nt (if<br>any) | Positi<br>on at<br>the<br>comp<br>any<br>(if<br>any) | Relatio<br>nship<br>with<br>internal<br>person | Type of<br>NSH<br>Certificate<br>(*) (ID<br>card/Passp<br>ort/Business<br>registration<br>certificate) | NSH<br>Certific<br>ate<br>Numbe<br>r (*)<br>NSH<br>No. | Date of<br>issue | Place of issue | Head office address/<br>Contact address<br>Address | shares<br>owned<br>at the<br>end of<br>the<br>period | share<br>owners<br>ship at<br>the end<br>of the<br>period | of<br>starting<br>to be<br>affiliate<br>d<br>person | Time<br>of<br>ending<br>to be<br>affiliat<br>ed<br>person | Reasons<br>(when<br>changes<br>arise<br>relating<br>to items<br>13 and<br>14)<br>Reasons | Note<br>(regarding<br>the<br>absence of<br>NSH<br>Certificate<br>number<br>and other<br>notes. Note<br>(**)) |
|-------------------|------------------------|--|--|--|--|--|------------------|----------------|--|--|---|---|---|--|--|
| 6.7               | Nguyễn<br>Quốc<br>Hoàn |  |  | Brother<br>in law                              | ID Card  |  |                  |                |  | 0  | 0%  | 27/6/2025   |   |  |  |
| 6.8               | Hoàng<br>Thị Sâm       |  |  | Younger<br>sister                              | ID Card  |  |                  |                |  | 0  | 0%  | 27/6/2025   |   |  |  |
| 6.9               | Hoàng<br>Anh           |  |  | Younger<br>brother                             | ID Card  |  |                  |                |  | 0  | 0%  | 27/6/2025   |   |  |  |
| 6.10              | Lê Văn<br>Hoàn         |  |  | Father in<br>law                               | ID Card  |  |                  |                |  | 0  | 0%  | 27/6/2025   |   |  |  |
| 6.11              | Trần Thị<br>Thành      |  |  | Mother<br>in law                               | ID Card  |  |                  |                |  | 0  | 0%  | 27/6/2025   |   |  |  |
| 7                 | Luu<br>Manh<br>Thang   |  | Mem<br>ber of<br>Board<br>of<br>Direct<br>ors        |  | ID Card  |  |                  |                |  | 0  | 0%  | 22/9/20<br>21                                       |   |  |  |
| 7.1               | Luu<br>Van<br>Dai      |  |  | Father   |  |  |                  |                |  | 0  | 0%  | 22/9/20<br>21                                       |   |  |  |
| 7.2               | Dao<br>Thi<br>Hoa      |  |  | Mother   |  |  |                  |                |  | 0  | 0%  | 22/9/20<br>21                                       |   |  |  |
| 7.3               | Luu<br>Minh<br>Duc     |  |  | Younger<br>brother                             |  |  |                  |                |  | 0  | 0%  | 22/9/20<br>21                                       |   |  |  |

| Stat<br>us<br>No. | Name                         | Securi<br>ties<br>tradin<br>g<br>accou<br>nt (if<br>any) | Positi<br>on at<br>the<br>comp<br>any<br>(if<br>any) | Relatio<br>nship<br>with<br>internal<br>person | Type of<br>NSH<br>Certificate<br>(*) (ID<br>card/Passp<br>ort/Business<br>registrations<br>certificate) | NSH<br>Certificate<br>Number<br>(*)<br>NSH<br>No. | Date of<br>issue | Place of issue | Head office address/<br>Contact address<br>Address | shares<br>owned<br>at the<br>end of<br>the<br>period | share<br>owners<br>hip at<br>the end<br>of the<br>period | of<br>starting<br>to be<br>affiliat<br>ed<br>person | Time<br>of<br>ending<br>to be<br>affiliat<br>ed<br>person | Reasons<br>(when<br>changes<br>arise<br>relating<br>to items<br>13 and<br>14)<br>Reasons | Note<br>(regarding<br>the<br>absence of<br>NSH<br>Certificate<br>number<br>and other<br>notes. Note<br>(**)) |
|-------------------|------------------------------|--|--|--|---|---|------------------|----------------|--|--|--|---|---|--|--|
| 7.4               | Ta Thi<br>Hoa                |  |  | Wife   |   |   |                  |                |  | 0  | 0%   | 22/9/20<br>21                                       |   |  |  |
| 7.5               | Luu Ha<br>Uyen               |  |  | Daughte<br>r                                   |   |   |                  |                |  | 0  | 0%   | 22/9/20<br>21                                       |   |  |  |
| 7.6               | Luu<br>Duc<br>Anh            |  |  | Son  |   |   |                  |                |  | 0  | 0%   | 22/9/20<br>21                                       |   |  |  |
| 8                 | Pham<br>Anh<br>Hung          |  | Indep<br>enden<br>t<br>Board<br>of<br>Direct<br>ors  |  | ID Card   |   |                  |                |  | 0  | 0%   | 21/4/<br>2023                                       |   | Elected<br>as<br>indep<br>ent<br>member<br>of the<br>Board of<br>Director<br>s           |  |
| 8.1               | Pham<br>Anh Ha               |  |  | Father   | ID card   |   |                  |                |  | 0  | 0%   | 21/4/<br>2023                                       |   |  |  |
| 8.2               | Nguyen<br>Thi<br>Huong       |  |  | Mother   | ID card   |   |                  |                |  | 0  | 0%   | 21/4/<br>2023                                       |   |  |  |
| 8.3               | Pham<br>Thi<br>Phuong<br>Lan |  |  | Older<br>sister                                | ID Card   |   |                  |                |  | 0  | 0%   | 21/4/<br>2023                                       |   |  |  |

| Stat<br>us<br>No. | Name                         | Securi<br>ties<br>tradin<br>g<br>acco<br>unt (if<br>any) | Positi<br>on at<br>the<br>comp<br>any<br>(if<br>any) | Relatio<br>nship<br>with<br>internal<br>person | Type of<br>NSH<br>Certificate<br>(*) (ID<br>card/Pass<br>port/Busines<br>s<br>registration<br>certificate) | NSH<br>Certific<br>ate<br>Numbe<br>r (*)<br>NSH<br>No. | Date of<br>issue | Place of issue | Head office address/<br>Contact address<br>Address | shares<br>owned<br>at the<br>end of<br>the<br>period | share<br>owners<br>hip at<br>the end<br>of the<br>period | of<br>starting<br>to be<br>affiliate<br>d<br>person | Time<br>of<br>ending<br>to be<br>affiliat<br>ed<br>person | Reasons<br>(when<br>changes<br>arise<br>relating<br>to items<br>13 and<br>14)<br>Reasons | Note<br>(regarding<br>the<br>absence of<br>NSH<br>Certificate<br>number<br>and other<br>notes. Note<br>(**)) |
|-------------------|------------------------------|--|--|--|--|--|------------------|----------------|--|--|--|---|---|--|--|
| 8.4               | Le Van<br>Cong               |  |  | brother<br>in law                              | ID Card  |  |                  |                |  | 0  | 0%   | 21/4/<br>2023                                       |   |  |  |
| 8.5               | Pham<br>Thi<br>Minh<br>Vuong |  |  | Wife   | ID Card  |  |                  |                |  | 0  | 0%   | 21/4/<br>2023                                       |   |  |  |
| 8.6               | Pham<br>Bach<br>Truat        |  |  | father in<br>law                               | ID card  |  |                  |                |  | 0  | 0%   | 21/4/<br>2023                                       |   |  |  |
| 8.7               | Dinh<br>Thi<br>Suong         |  |  | Mother<br>in law                               | ID card  |  |                  |                |  | 0  | 0%   | 21/4/<br>2023                                       |   |  |  |
| 8.8               | Pham<br>Khanh<br>Trang       |  |  | Daughte<br>r                                   |  |  |                  |                |  | 0  | 0%   | 21/4/<br>2023                                       |   |  |  |



| Stat<br>us<br>No.    | Name                        | Securi<br>ties<br>tradin<br>g<br>accou<br>nt (if<br>any) | Positi<br>on at<br>the<br>comp<br>any<br>(if<br>(if<br>any) | Relatio<br>nship<br>with<br>internal<br>person | Type of<br>NSH<br>Certificate<br>(*) (ID<br>card/Pass<br>port/Busines<br>s<br>registration<br>certificate) | NSH<br>Certific<br>ate<br>Numbe<br>r (*)<br>NSH<br>No. | Date of<br>issue | Place of issue | Head office address/<br>Contact address<br>Address | shares<br>owned<br>at the<br>end of<br>the<br>period | share<br>owners<br>hip at<br>the end<br>of the<br>period | of<br>starting<br>to be<br>affiliate<br>d<br>person | Time<br>of<br>ending<br>to be<br>affiliat<br>ed<br>person | Reasons<br>(when<br>changes<br>arise<br>relating<br>to items<br>13 and<br>14)<br>Reasons                   | Note<br>(regarding<br>the<br>absence of<br>NSH<br>Certificate<br>number<br>and other<br>notes. Note<br>(**)) |
|----------------------|-----------------------------|--|---|--|--|--|------------------|----------------|--|--|--|---|---|--|--|
| 8.9                  | Pham<br>Minh<br>Quang       |  |   | Son  |  |  |                  |                |  | 0  | 0%   | 21/4/<br>2023                                       |   |  |  |
| BOARD OF SUPERVISION |                             |  |   |  |  |  |                  |                |  |  |  |   |   |  |  |
| 1.                   | Le<br>Thien<br>Nhat         |  |   |  | ID Card  |  |                  |                |  | 0  | 0%   | 21/4/<br>2023                                       |   | Elected<br>as<br>Member<br>of Board<br>of<br>Supervis<br>ors and<br>Head of<br>Board of<br>Supervis<br>ors |  |
| 1.1                  | Pham<br>Thị<br>Thương       |  |   | Mother   | ID Card  |  |                  |                |  | 0  | 0%   | 21/4/<br>2023                                       |   |  |  |
| 1.2                  | Nguyễn<br>Thị<br>Ngọc<br>My |  |   | Wife   | ID Card  |  |                  |                |  | 0  | 0%   | 21/4/<br>2023                                       |   |  |  |

| Stat<br>us<br>No. | Name                    | Securi<br>ties<br>tradin<br>g<br>accou<br>nt (if<br>any) | Positi<br>on at<br>the<br>comp<br>any<br>(if<br>any) | Relatio<br>nship<br>with<br>internal<br>person | Type of<br>NSH<br>Certificate<br>(*) (ID<br>card/Passp<br>ort/Busines<br>s<br>registration<br>certificate) | NSH<br>Certificate<br>Number<br>(*)<br>NSH<br>No. | Date of<br>issue | Place of issue | Head office address/<br>Contact address<br>Address | shares<br>owned<br>at the<br>end of<br>the<br>period | share<br>owners<br>hip at<br>the end<br>of the<br>period | of<br>starting<br>to be<br>affiliate<br>d<br>person | Time<br>of<br>ending<br>to be<br>affiliat<br>ed<br>person | Reasons<br>(when<br>changes<br>arise<br>relating<br>to items<br>13 and<br>14)<br>Reasons | Note<br>(regarding<br>the<br>absence of<br>NSH<br>Certificate<br>number<br>and other<br>notes. Note<br>(**)) |
|-------------------|-------------------------|--|--|--|--|---|------------------|----------------|--|--|--|---|---|--|--|
| 1.3               | Lê<br>Khánh<br>Duy      |  |  | Son  |  |   |                  |                |  | 0  | 0%   | 21/4/<br>2023                                       |   |  | Young  |
| 1.4               | Lê Nhã<br>Uyên          |  |  | Daughte<br>r                                   |  |   |                  |                |  | 0  | 0%   | 21/4/<br>2023                                       |   |  | Young  |
| 1.5               | Lê<br>Thiện<br>Tiến     |  |  | Younger<br>brother                             | ID Card  |   |                  |                |  | 0  | 0%   | 21/4/<br>2023                                       |   |  |  |
| 1.6               | Lê<br>Thiện<br>Huy      |  |  | Younger<br>sister                              | ID Card  |   |                  |                |  | 0  | 0%   | 21/4/<br>2023                                       |   |  |  |
| 1.7               | Lê Thị<br>Thiện<br>Thảo |  |  | father in<br>law                               | ID Card  |   |                  |                |  | 0  | 0%   | 21/4/<br>2023                                       |   |  |  |
| 1.8               | Nguyễn<br>Văn Ba        |  |  | Mother<br>in law                               | ID Card  |   |                  |                |  | 0  | 0%   | 21/4/<br>2023                                       |   |  |  |

| Stat<br>us<br>No. | Name                    | Securi<br>ties<br>tradin<br>g<br>accou<br>nt (if<br>any) | Positi<br>on at<br>the<br>comp<br>any<br>(if<br>any) | Relatio<br>nship<br>with<br>internal<br>person | Type of<br>NSH<br>Certificate<br>(*) (ID<br>card/Passp<br>ort/Business<br>registration<br>certificate) | NSH<br>Certificate<br>Number<br>(*)<br>NSH<br>No. | Date of<br>issue | Place of issue | Head office address/<br>Contact address<br>Address | shares<br>owned<br>at the<br>end of<br>the<br>period | share<br>owners<br>hip at<br>the end<br>of the<br>period | of<br>starting<br>to be<br>affiliated<br>person | Time<br>of<br>ending<br>to be<br>affiliated<br>person | Reasons<br>(when<br>changes<br>arise<br>relating<br>to items<br>13 and<br>14)<br>Reasons | Note<br>(regarding<br>the<br>absence of<br>NSH<br>Certificate<br>number<br>and other<br>notes. Note<br>(**)) |
|-------------------|-------------------------|--|--|--|--|---|------------------|----------------|--|--|--|---|---|--|--|
| 1.9               | Huỳnh<br>Thị<br>Hồng    |  |  |  |  |   |                  |                |  | 0  | 0%   | 21/4/<br>2023                                   |   |  |  |
| 2.                | Do<br>Nhu<br>Tiên       |  | Mem<br>ber of<br>Super<br>visory<br>Board            |  | ID Card  |   |                  |                |  | 0  | 0%   | 12/22/2<br>022                                  |   |  |  |
| 2.1               | Do<br>Thanh<br>Dat      |  |  | Father   | ID Card  |   |                  |                |  | 0  | 0%   | 12/22/2<br>022                                  |   |  |  |
| 2.2               | Huỳnh<br>Thị<br>Sang    |  |  | Mother   | ID Card  |   |                  |                |  | 0  | 0%   | 12/22/2<br>022                                  |   |  |  |
| 2.3               | Do Nhu<br>Duong         |  |  | Older<br>brother                               | ID card  |   |                  |                |  | 0  | 0%   | 12/22/2<br>022                                  |   |  |  |
| 2.4               | Do Thi<br>Bich<br>Loan  |  |  | Older<br>sister                                | ID Card  |   |                  |                |  | 0  | 0%   | 12/22/2<br>022                                  |   |  |  |
| 2.5               | Do Thi<br>Thanh<br>Liem |  |  | Older<br>sister                                | ID Card  |   |                  |                |  | 0  | 0%   | 12/22/2<br>022                                  |   |  |  |



| Stat<br>us<br>No. | Name                         | Securi<br>ties<br>tradin<br>g<br>accou<br>nt (if<br>any) | Positi<br>on at<br>the<br>comp<br>any<br>(if<br>any) | Relatio<br>nship<br>with<br>internal<br>person | Type of<br>NSH<br>Certificate<br>(*) (ID<br>card/Pass<br>port/Busines<br>s<br>registration<br>certificate) | NSH<br>Certificate<br>Numbe<br>r (*)<br>NSH<br>No. | Date of<br>issue | Place of issue | Head office address/<br>Contact address<br>Address | shares<br>owned<br>at the<br>end of<br>the<br>period | share<br>owners<br>hip at<br>the end<br>of the<br>period | of<br>starting<br>to be<br>affiliate<br>d<br>person | Time<br>of<br>ending<br>to be<br>affiliat<br>ed<br>person | Reasons<br>(when<br>changes<br>arise<br>relating<br>to items<br>13 and<br>14)<br>Reasons | Note<br>(regarding<br>the<br>absence of<br>NSH<br>Certificate<br>number<br>and other<br>notes. Note<br>(**)) |
|-------------------|------------------------------|--|--|--|--|--|------------------|----------------|--|--|--|---|---|--|--|
| 2.6               | Do Thi<br>Kim<br>Chi         |  |  | Older<br>sister                                | ID Card  |  |                  |                |  | 0  | 0%   | 12/22/2<br>022                                      |   |  |  |
| 2.7               | Do<br>Khanh<br>Ha            |  |  | Sister in<br>law                               | ID Card  |  |                  |                |  | 0  | 0%   | 12/22/2<br>022                                      |   |  |  |
| 2.8               | Le An<br>Pha                 |  |  | brother<br>in law                              | ID Card  |  |                  |                |  | 0  | 0%   | 12/22/2<br>022                                      |   |  |  |
| 2.9               | Vu<br>Minh<br>Hung           |  |  | brother<br>in law                              | ID Card  |  |                  |                |  | 0  | 0%   | 12/22/2<br>022                                      |   |  |  |
| 2.10              | Phan<br>Thi<br>Ngoc<br>Trang |  |  | Wife   | ID Card  |  |                  |                |  | 0  | 0%   | 12/22/2<br>022                                      |   |  |  |
| 2.11              | Phan<br>Ngoc<br>Trung        |  |  | father in<br>law                               | ID Card  |  |                  |                |  | 0  | 0%   | 12/22/2<br>022                                      |   |  |  |
| 2.12              | Ngo<br>Thi<br>Dieu<br>Thuy   |  |  | Mother<br>in law                               | ID Card  |  |                  |                |  | 0  | 0%   | 12/22/2<br>022                                      |   |  |  |
| 3.                | Đặng<br>Thị<br>Dung          |  | KSV  |  | ID Card  |  |                  |                |  | 0  | 0%   | 27/6/20<br>25                                       |   | Elected<br>as<br>member<br>of BOS  |  |

| Stat<br>us<br>No. | Name                    | Securi<br>ties<br>tradin<br>g<br>accou<br>nt (if<br>any) | Positi<br>on at<br>the<br>comp<br>any<br>(if<br>any) | Relatio<br>nship<br>with<br>internal<br>person | Type of<br>NSH<br>Certificate<br>(*) (ID<br>card/Pass<br>port/Busines<br>s<br>registration<br>certificate) | NSH<br>Certificate<br>Numbe<br>r (*)<br>NSH<br>No. | Date of<br>issue | Place of issue | Head office address/<br>Contact address<br>Address | shares<br>owned<br>at the<br>end of<br>the<br>period | share<br>owners<br>hip at<br>the end<br>of the<br>period | of<br>starting<br>to be<br>affiliat<br>ed<br>person | Time<br>of<br>ending<br>to be<br>affiliat<br>ed<br>person | Reasons<br>(when<br>changes<br>arise<br>relating<br>to items<br>13 and<br>14)<br>Reasons | Note<br>(regarding<br>the<br>absence of<br>NSH<br>Certificate<br>number<br>and other<br>notes. Note<br>(**) |
|-------------------|-------------------------|--|--|--|--|--|------------------|----------------|--|--|--|---|---|--|---|
| 3.1               | Nguyễn<br>Kiều<br>Hưng  |  |  | Husband  | ID Card  |  |                  |                |  | 0  | 0%   | 27/6/20<br>25                                       |   |  |   |
| 3.2               | Nguyễn<br>Minh<br>Hoàng |  |  | Son  | ID Card  |  |                  |                |  | 0  | 0%   | 27/6/20<br>25                                       |   |  |   |
| 3.3               | Nguyễn<br>Thảo<br>Nhi   |  |  | Daughter                                       | ID Card  |  |                  |                |  | 0  | 0%   | 27/6/20<br>25                                       |   |  |   |
| 3.4               | Đặng<br>Thanh<br>Quang  |  |  | Father   | ID Card  |  |                  |                |  | 0  | 0%   | 27/6/20<br>25                                       |   |  |   |
| 3.5               | Phạm<br>Thị Tơ          |  |  | Mother   | ID Card  |  |                  |                |  | 0  | 0%   | 27/6/20<br>25                                       |   |  |   |
| 3.6               | Đặng<br>Thanh<br>Vinh   |  |  | Brother  | ID Card  |  |                  |                |  | 0  | 0%   | 27/6/20<br>25                                       |   |  |   |
| 3.7               | Nguyễn<br>Thị Liễu      |  |  | Sister in<br>law                               | ID Card  |  |                  |                |  | 0  | 0%   | 27/6/20<br>25                                       |   |  |   |
| 3.8               | Đặng<br>Thị Liên        |  |  | Sister   | ID Card  |  |                  |                |  | 0  | 0%   | 27/6/20<br>25                                       |   |  |   |
| 3.9               | Lãi Hữu<br>Diễn         |  |  | Brother<br>in law                              | ID Card  |  |                  |                |  | 0  | 0%   | 27/6/20<br>25                                       |   |  |   |
| 3.10              | Đặng<br>Thanh<br>Dũng   |  |  | Brother  | ID Card  |  |                  |                |  | 0  | 0%   | 27/6/20<br>25                                       |   |  |   |

| Stat<br>us<br>No. | Name                          | Securi<br>ties<br>tradin<br>g<br>accou<br>nt (if<br>any) | Positi<br>on at<br>the<br>comp<br>any<br>(if<br>any) | Relatio<br>nship<br>with<br>internal<br>person | Type of<br>NSH<br>Certificate<br>(*) (ID<br>card/Passp<br>ort/Busines<br>s<br>registration<br>certificate) | NSH<br>Certific<br>ate<br>Numbe<br>r (*)<br>NSH<br>No. | Date of<br>issue | Place of issue | Head office address/<br>Contact address<br>Address | shares<br>owned<br>at the<br>end of<br>the<br>period | share<br>owners<br>hip at<br>the end<br>of the<br>period | of<br>starting<br>to be<br>affiliat<br>ed<br>person | Time<br>of<br>ending<br>to be<br>affiliat<br>ed<br>person | Reasons<br>(when<br>changes<br>arise<br>relating<br>to items<br>13 and<br>14)<br>Reasons | Note<br>(regarding<br>the<br>absence of<br>NSH<br>Certificate<br>number<br>and other<br>notes. Note<br>(**)) |
|-------------------|-------------------------------|--|--|--|--|--|------------------|----------------|--|--|--|---|---|--|--|
| 3.11              | Nguyễn<br>Thị<br>Trang<br>Thu |  |  | Sister in<br>law                               | ID Card  |  |                  |                |  |  |  | 27/6/20<br>25                                       |   |  |  |
| 3.12              | Nguyễn<br>Sơn Hà              |  |  | Father in<br>law                               | ID Card  |  |                  |                |  |  |  |   |   |  |  |
| 3.13              | Ngô Thị<br>Tâm                |  |  | Mother<br>in law                               | ID Card  |  |                  |                |  |  |  |   |   |  |  |
| 4.                | Le Hai<br>Yen                 |  | Mem<br>ber of<br>Super<br>visory<br>Board            |  | ID card  |  |                  |                |  | 0  | 0%   | 29/5/20<br>24                                       |   | Dismis<br>ed on<br>June 27<br>2025   |  |
| 4.1               | Le<br>Thanh<br>Long           |  |  | Father   |  |  |                  |                |  | 0  | 0%   | 29/5/20<br>24                                       |   |  | Died   |
| 4.2               | Bui Thi<br>An                 |  |  | Mother   | ID card  |  |                  |                |  | 0  | 0%   | 29/5/20<br>24                                       |   |  |  |
| 4.3               | Nguyen<br>Van<br>Toi          |  |  | Husban<br>d                                    | ID card  |  |                  |                |  | 0  | 0%   | 29/5/20<br>24                                       |   |  |  |
| 4.4               | Nguyen<br>Van<br>Huynh        |  |  | father in<br>law                               |  |  |                  |                |  | 0  | 0%   | 29/5/20<br>24                                       |   |  | Died   |



| Stat<br>us<br>No. | Name                          | Securi<br>ties<br>tradin<br>g<br>accou<br>nt (if<br>any) | Positi<br>on at<br>the<br>comp<br>any<br>(if<br>any) | Relatio<br>nship<br>with<br>internal<br>person | Type of<br>NSH<br>Certificate<br>(*) (ID<br>card/Pass<br>port/Busines<br>s<br>registration<br>certificate) | NSH<br>Certificate<br>Numbe<br>r (*)<br>NSH<br>No. | Date of<br>issue | Place of issue | Head office address/<br>Contact address<br>Address | shares<br>owned<br>at the<br>end of<br>the<br>period | share<br>owners<br>hip at<br>the end<br>of the<br>period | of<br>starting<br>to be<br>affiliate<br>d<br>person | Time<br>of<br>ending<br>to be<br>affiliat<br>ed<br>person | Reasons<br>(when<br>changes<br>arise<br>relating<br>to items<br>13 and<br>14)<br>Reasons | Note<br>(regarding<br>the<br>absence of<br>NSH<br>Certificate<br>number<br>and other<br>notes. Note<br>(**)) |
|-------------------|-------------------------------|--|--|--|--|--|------------------|----------------|--|--|--|---|---|--|--|
| 4.5               | Vu Thi<br>Dong                |  |  | Mother<br>in law                               | ID card  |  |                  |                |  | 0  | 0%   | 29/5/20<br>24                                       |   |  |  |
| 4.6               | Nguyen<br>Duy<br>Bach         |  |  | Son  | ID card  |  |                  |                |  | 0  | 0%   | 29/5/20<br>24                                       |   |  |  |
| 4.7               | Nguyen<br>Vinh<br>Quy         |  |  | Son  | ID card  |  |                  |                |  | 0  | 0%   | 29/5/20<br>24                                       |   |  |  |
| 4.8               | Le<br>Thanh<br>Anh            |  |  | younger<br>brother                             | ID card  |  |                  |                |  | 0  | 0%   | 29/5/20<br>24                                       |   |  |  |
| 4.9               | Le<br>Manh<br>Hung            |  |  | younger<br>brother                             | ID card  |  |                  |                |  | 0  | 0%   | 29/5/20<br>24                                       |   |  |  |
| 4.10              | Nguyen<br>Thi<br>Minh<br>Ngoc |  |  | sister in<br>law                               | ID card  |  |                  |                |  | 0  | 0%   | 29/5/20<br>24                                       |   |  |  |

| Stat<br>us<br>No.           | Name                       | Securi<br>ties<br>tradin<br>g<br>accou<br>nt (if<br>any) | Positi<br>on at<br>the<br>comp<br>any<br>(if<br>any) | Relatio<br>nship<br>with<br>internal<br>person | Type of<br>NSH<br>Certificate<br>(*) (ID<br>card/Passp<br>ort/Busines<br>s<br>registration<br>certificate) | NSH<br>Certific<br>ate<br>Numbe<br>r (*)<br>NSH<br>No. | Date of<br>issue | Place of issue | Head office address/<br>Contact address<br>Address | shares<br>owned<br>at the<br>end of<br>the<br>period | share<br>owners<br>hip at<br>the end<br>of the<br>period | of<br>starting<br>to be<br>affiliate<br>d<br>person | Time<br>of<br>ending<br>to be<br>affiliat<br>ed<br>person | Reasons<br>(when<br>changes<br>arise<br>relating<br>to items<br>13 and<br>14)<br>Reasons | Note<br>(regarding<br>the<br>absence of<br>NSH<br>Certificate<br>number<br>and other<br>notes. Note<br>(**)) |
|-----------------------------|----------------------------|--|--|--|--|--|------------------|----------------|--|--|--|---|---|--|--|
| 4.11                        | Bui Thi<br>Phuong          |  |  | sister in<br>law                               | ID card  |  |                  |                |  | 0  | 0%   | 29/5/20<br>24                                       |   |  |  |
| III<br>BOARD OF MANagements |                            |  |  |  |  |  |                  |                |  |  |  |   |   |  |  |
| 1.                          | Le<br>Thanh<br>Son         |  | Direct<br>or   |  |  |  |                  |                |  |  |  |   |   |  | Declared<br>at Board<br>of<br>Directors  |
| 2.                          | Bui<br>Huu<br>Co           |  | Deputy<br>Direct<br>or                               |  | ID Card  |  |                  |                |  | 0  | 0%   | 07/07/2<br>020                                      |   |  |  |
| 2.1                         | Bui<br>Huu Ky              |  |  | Father   |  |  |                  |                |  |  |  |   |   |  | Died   |
| 2.2                         | Pham<br>Thi<br>Hong<br>Nga |  |  | Mother   |  |  |                  |                |  | 0  | 0%   |   |   |  | Died   |
| 2.3                         | Trinh<br>Thi Le<br>Huyen   |  |  | Wife   | ID card  |  |                  |                |  | 0  | 0%   |   |   |  | Divorced   |
| 2.4                         | Bui<br>Huu An              |  |  | Boy  | ID Card  |  |                  |                |  | 0  | 0%   | 07/07/2<br>020                                      |   |  |  |

| Stat<br>us<br>No. | Name                  | Securi<br>ties<br>tradin<br>g<br>accou<br>nt (if<br>any) | Positi<br>on at<br>the<br>comp<br>any<br>(if<br>any) | Relatio<br>nship<br>with<br>internal<br>person | Type of<br>NSH<br>Certificate<br>(*) (ID<br>card/Pass<br>port/Busines<br>s<br>registration<br>certificate) | NSH<br>Certific<br>ate<br>Numbe<br>r (*)<br>NSH<br>No. | Date of<br>issue | Place of issue | Head office address/<br>Contact address<br>Address | shares<br>owned<br>at the<br>end of<br>the<br>period | share<br>owners<br>hip at<br>the end<br>of the<br>period | of<br>starting<br>to be<br>affiliat<br>ed person | Time<br>of<br>ending<br>to be<br>affiliat<br>ed person | Reasons<br>(when<br>changes<br>arise<br>relating<br>to items<br>13 and<br>14)<br>Reasons | Note<br>(regarding<br>the<br>absence of<br>NSH<br>Certificate<br>number<br>and other<br>notes. Note<br>(**)) |
|-------------------|-----------------------|--|--|--|--|--|------------------|----------------|--|--|--|--|--|--|--|
| 2.5               | Bui<br>Huu<br>Khanh   |  |  | Boy  | Passport   |  |                  |                |  | 0  | 0%   | 07/07/2<br>020                                   |  |  |  |
| 2.6               | Bui Thi<br>Ly         |  |  | younger<br>brother                             | ID card  |  |                  |                |  | 0  | 0%   | 07/07/2<br>020                                   |  |  |  |
| 2.7               | Nguyen<br>Van<br>Thao |  |  | brother<br>in law                              | ID card  |  |                  |                |  | 0  | 0%   | 07/07/2<br>020                                   |  |  |  |
| 3.                | Vu<br>Hoai<br>Nam     |  | Deput<br>y<br>Direct<br>or                           |  | ID Card  |  |                  |                |  | 0  | 0%   | 09/01/2<br>019                                   |  |  |  |
| 3.1               | Vu<br>Bang<br>Doan    |  |  | Father   |  |  |                  |                |  |  |  |  |  |  | Died   |
| 3.2               | Nguyen<br>Thi<br>Bich |  |  | Mother   |  |  |                  |                |  | 0  | 0%   | 09/01/2<br>019                                   |  |  |  |
| 3.3               | Vu<br>Nhat<br>Tung    |  |  | Boy  |  |  |                  |                |  | 0  | 0%   | 09/01/2<br>019                                   |  |  |  |
| IV                |                       |  |  |  |  |  |                  |                |  |  |  |  |  |  |  |



| Stat<br>us<br>No. | Name                           | Securi<br>ties<br>tradin<br>g<br>accou<br>nt (if<br>any) | Positi<br>on at<br>the<br>comp<br>any<br>(if<br>any) | Relatio<br>nship<br>with<br>internal<br>person | Type of<br>NSH<br>Certificate<br>(*) (ID<br>card/Pass<br>port/Busines<br>s<br>registration<br>certificate) | NSH<br>Certific<br>ate<br>Numbe<br>r (*)<br>NSH<br>No. | Date of<br>issue | Place of issue | Head office address/<br>Contact address<br>Address | shares<br>owned<br>at the<br>end of<br>the<br>period | share<br>owners<br>hip at<br>the end<br>of the<br>period | of<br>starting<br>to be<br>affiliate<br>d<br>person | Time<br>of<br>ending<br>to be<br>affiliat<br>ed<br>person | Reasons<br>(when<br>changes<br>arise<br>relating<br>to items<br>13 and<br>14)<br>Reasons | Note<br>(regarding<br>the<br>absence of<br>NSH<br>Certificate<br>number<br>and other<br>notes. Note<br>(**)) |
|-------------------|--------------------------------|--|--|--|--|--|------------------|----------------|--|--|--|---|---|--|--|
| 1                 | Mr.<br>Nguyen<br>Dinh<br>Chinh |  | Chief<br>of<br>Accou<br>ntant                        |  | ID Card  |  |                  |                |  | 0  | 0%   | May 22,<br>2019                                     |   |  |  |
| 1.2               | Mrs.<br>Nguyen<br>Thi<br>Hoan  |  |  | Mother   | ID card  |  |                  |                |  | 0  | 0%   | 5/22/20<br>19<br>5/22/20<br>24                      |   |  |  |
| 1.3               | Trinh<br>Thi<br>Minh<br>Nhung  |  |  | Wife   | ID card  |  |                  |                |  | 0  | 0%   | May 22,<br>2019                                     |   |  |  |
| 1.4               | Trinh<br>Trong<br>The          |  |  | father in<br>law                               | ID card  |  |                  |                |  | 0  | 0%   | May 22,<br>2019                                     |   |  |  |
| 1.5               | Tran<br>Thi<br>Tam             |  |  | Mother<br>in law                               | ID card  |  |                  |                |  | 0  | 0%   | May 22,<br>2019                                     |   |  |  |
| 1.6               | Nguyen<br>Ngoc<br>Minh<br>Thu  |  |  | offspring                                      |  |  |                  |                |  | 0  | 0%   | May 22,<br>2019                                     |   |  |  |

| Stat<br>us<br>No. | Name                         | Securi<br>ties<br>tradin<br>g<br>accou<br>nt (if<br>any) | Positi<br>on at<br>the<br>comp<br>any<br>(if<br>any) | Relatio<br>nship<br>with<br>internal<br>person | Type of<br>NSH<br>Certificate<br>(*) (ID<br>card/Pass<br>port/Busines<br>s<br>registration<br>certificate) | NSH<br>Certificate<br>Number<br>(*)<br>NSH<br>No. | Date of<br>issue | Place of issue | Head office address/<br>Contact address<br>Address | shares<br>owned<br>at the<br>end of<br>the<br>period | share<br>owners<br>hip at<br>the end<br>of the<br>period | of<br>starting<br>to be<br>affiliate<br>d<br>person | Time<br>of<br>ending<br>to be<br>affiliat<br>ed<br>person | Reasons<br>(when<br>changes<br>arise<br>relating<br>to items<br>13 and<br>14)<br>Reasons | Note<br>(regarding<br>the<br>absence of<br>NSH<br>Certificate<br>number<br>and other<br>notes. Note<br>(**)) |
|-------------------|------------------------------|--|--|--|--|---|------------------|----------------|--|--|--|---|---|--|--|
| 1.7               | Nguyen<br>Ngoc<br>Ha My      |  |  | offspring                                      |  |   |                  |                |  | 0  | 0%   | May 22,<br>2019                                     |   |  |  |
| 1.8               | Nguyen<br>Dinh<br>Tu         |  |  | brother  | ID card  |   |                  |                |  | 0  | 0%   | May 22,<br>2019                                     |   |  |  |
| 1.9               | Nguyen<br>Thi<br>Tuoi        |  |  | Sister   | ID card  |   |                  |                |  | 0  | 0%   | May 22,<br>2019                                     |   |  |  |
| 1.10              | Nguyen<br>Van<br>Tong        |  |  | brother<br>in law                              | ID card  |   |                  |                |  | 0  | 0%   | May 22,<br>2019                                     |   |  |  |
| 1.11              | Duong<br>Thi<br>Kim<br>Giang |  |  | Sister in<br>law                               | ID card  |   |                  |                |  | 0  | 0%   | May 22,<br>2019                                     |   |  |  |
| V                 | CORPORATE ADMINISTRATOR      |  |  |  |  |   |                  |                |  |  |  |   |   |  |  |
| 1                 | Do Lan<br>Phuong             |  | NPT<br>QTC<br>T                                      |  | ID Card  |   |                  |                |  | 0  | 0%   | Septem<br>ber 7,<br>2018                            |   |  |  |
| 1.1               | Do Bao<br>Khe                |  |  | Father   |  |   |                  |                |  | 0  | 0%   | Septem<br>ber 7,<br>2018                            |   |  |  |

| Stat<br>us<br>No. | Name                    | Securi<br>ties<br>tradin<br>g<br>accou<br>nt (if<br>any) | Positi<br>on at<br>the<br>comp<br>any<br>(if<br>any) | Relatio<br>nship<br>with<br>internal<br>person | Type of<br>NSH<br>Certificate<br>(*) (ID<br>card/Passp<br>ort/Busines<br>s<br>registration<br>certificate) | NSH<br>Certific<br>ate<br>Numbe<br>r (*)<br>NSH<br>No. | Date of<br>issue | Place of issue | Head office address/<br>Contact address<br>Address | shares<br>owned<br>at the<br>end of<br>the<br>period | share<br>owners<br>hip at<br>the end<br>of the<br>period | of<br>starting<br>to be<br>affiliate<br>d<br>person | Time<br>of<br>ending<br>to be<br>affiliat<br>ed<br>person | Reasons<br>(when<br>changes<br>arise<br>relating<br>to items<br>13 and<br>14)<br>Reasons | Note<br>(regarding<br>the<br>absence of<br>NSH<br>Certificate<br>number<br>and other<br>notes, Note<br>(**)) |
|-------------------|-------------------------|--|--|--|--|--|------------------|----------------|--|--|--|---|---|--|--|
| 1.2               | Bui Thi<br>Suu          |  |  | Mother   |  |  |                  |                |  | 0  | 0%   | Septem<br>ber 7,<br>2018                            |   |  |  |
| 1.3               | Duong<br>The<br>Khanh   |  |  | Husban<br>d                                    | ID Card  |  |                  |                |  | 0  | 0%   | Septem<br>ber 7,<br>2018                            |   |  |  |
| 1.4               | Duong<br>Ha My          |  |  | Daughte<br>r                                   | ID Card  |  |                  |                |  | 0  | 0%   | Septem<br>ber 7,<br>2018                            |   |  |  |
| 1.5               | Duong<br>Dinh<br>Nguyen |  |  | Boy  |  |  |                  |                |  | 0  | 0%   | Septem<br>ber 7,<br>2018                            |   |  |  |
| 1.6               | Duong<br>Anh<br>Tuan    |  |  | father in<br>law                               |  |  |                  |                |  | 0  | 0%   | Septem<br>ber 7,<br>2018                            |   |  |  |
| 1.7               | Nguyen<br>Thi<br>Huong  |  |  | Mother<br>in law                               |  |  |                  |                |  | 0  | 0%   | Septem<br>ber 7,<br>2018                            |   |  |  |
| 1.8               | Do Lan<br>Huong         |  |  | Older<br>sister                                | ID Card  |  |                  |                |  | 0  | 0%   | Septem<br>ber 7,<br>2018                            |   |  |  |



| Stat<br>us<br>No. | Name                  | Securi<br>ties<br>tradin<br>g<br>accou<br>nt (if<br>any) | Positi<br>on at<br>the<br>comp<br>any<br>(if<br>any) | Relatio<br>nship<br>with<br>internal<br>person | Type of<br>NSH<br>Certificate<br>(*) (ID<br>card/Passp<br>ort/Business<br>registration<br>certificate) | NSH<br>Certificate<br>Number<br>(*)<br>NSH<br>No. | Date of<br>issue | Place of issue | Head office address/<br>Contact address<br>Address | shares<br>owned<br>at the<br>end of<br>the<br>period | share<br>owners<br>hip at<br>the end<br>of the<br>period | of<br>starting<br>to be<br>affiliate<br>d<br>person | Time<br>of<br>ending<br>to be<br>affiliat<br>ed<br>person | Reasons<br>(when<br>changes<br>arise<br>relating<br>to items<br>13 and<br>14)<br>Reasons | Note<br>(regarding<br>the<br>absence of<br>NSH<br>Certificate<br>number<br>and other<br>notes. Note<br>(**)) |
|-------------------|-----------------------|--|--|--|--|---|------------------|----------------|--|--|--|---|---|--|--|
| 1.9               | Do<br>Tuan<br>Minh    |  |  | Older<br>brother                               | ID Card  |   |                  |                |  | 0  | 0%   | Septem<br>ber 7,<br>2018                            |   |  |  |
| 1.10              | Dang<br>Manh<br>Duong |  |  | brother<br>in law                              | ID card  |   |                  |                |  | 0  | 0%   | Septem<br>ber 7,<br>2018                            |   |  |  |
| 1.11              | Pham<br>Thu<br>Huong  |  |  | Sister in<br>law                               | ID Card  |   |                  |                |  | 0  | 0%   | Septem<br>ber 7,<br>2018                            |   |  |  |

2. **Transactions between the Company and related persons of the Company or between the Company and major shareholders, insiders, and related persons of insiders:** No transactions

| ST<br>T | Organization<br>name/<br>individual | Relationship<br>with the<br>company | Certificate<br>Number *,<br>date of<br>issue, place<br>of issue | Head office<br>address/Contact<br>address | Time of<br>transaction<br>with the<br>company | Resolution No.<br>Resolution/Decision<br>of the General<br>Meeting of<br>Shareholders/Board<br>of Directors...<br>approved (if any,<br>specify the date of<br>issue) | Content ,<br>quantity, total<br>transaction<br>value | Note |
|---------|-------------------------------------|-------------------------------------|---|---|---|--|--|------|
|         |                                     |                                     |   |   |   |  |  |      |

3. **Transactions between insiders of public companies, registered for trading on UPCoM, related persons of insiders with subsidiaries, companies controlled by public companies, registered for trading on UPCoM: No transactions.**

| Status | The<br>person<br>who<br>makes the<br>transaction | Insider<br>Relations | Position at<br>CTNY | ID<br>card/Passport<br>number, date<br>of issue, place<br>of issue | Address<br>Address | Name of<br>subsidiary ,<br>company<br>owned by<br>CTNY takes<br>control | Time of<br>transaction | Content ,<br>quantity, total<br>transaction<br>value/ | Note |
|--------|--|----------------------|---------------------|--|--------------------|---|------------------------|---|------|
|        |  |                      |                     |  |                    |   |                        |   |      |

4. **Transactions between the Company and other entities:**

- 4.1. Transactions between the company and companies in which members of the Board of Directors, members of the Board of Supervisors, Directors (General Directors) and other managers have been and are founding members or members of the Board of Directors, Directors (General Directors) operating within the past three (03) years (as of the time of reporting): No transactions.
- 4.2. Transactions between the company and companies in which related persons of members of the Board of Directors, members of the Board of Supervisors, Directors (General Directors) and other managers are members of the Board of Directors, Directors (General Directors): No transactions .
- 4.3. Other transactions of the company (if any) that may bring material or immaterial benefits to members of the Board of Directors, members of the Board of Supervisors, Director (General Director) and other managers: No transactions .

5. **Transactions of insiders and related persons on company shares: None.**

| Status | The person who<br>makes the<br>transaction | Insider Relations | Number of shares owned at<br>the beginning of the period |                          | Number of shares owned at<br>the end of the period |                     | Reason for<br>increase,<br>decrease (buy,<br>sell, convert,<br>reward... |
|--------|--|-------------------|--|--------------------------|--|---------------------|--|
|        |  |                   | Number of<br>shares<br>Number of<br>shares               | Proportion<br>Percentage | Number of<br>shares<br>Number of<br>shares         | Percentage<br>Ratio |  |
|        |  |                   |  |                          |  |                     |  |

IX. **Other issues to note :** None.

**CHAIRMAN OF THE BOARD OF DIRECTORS**

(Signed)

**Le Manh Tuan**