

**CÔNG TY CỔ PHẦN CHỨNG
KHOÁN PHÚ HƯNG
PHU HUNG SECURITIES
CORPORATION**

**CỘNG HÒA XÃ HỘI CHỦ NGHĨA VIỆT NAM
Độc lập - Tự do - Hạnh phúc
THE SOCIALIST REPUBLIC OF VIETNAM
Independence - Freedom - Happiness**

Số/No.: 193/2025/PHS-PL

Thành phố Hồ Chí Minh, ngày 09 tháng 07 năm 2025
Ho Chi Minh City, 09 July 2025

**CÔNG BỐ THÔNG TIN ĐỊNH KỲ
PERIODIC INFORMATION DISCLOSURE**

Kính gửi/ To: • Ủy ban Chứng khoán Nhà nước/ *State Securities Commission of Vietnam*;
• Sở Giao dịch Chứng khoán Việt Nam/ *Vietnam Exchange*;
• Sở Giao dịch Chứng khoán Hà Nội/ *Hanoi Stock Exchange*;
• Sở Giao dịch Chứng khoán Thành phố Hồ Chí Minh/ *Ho Chi Minh Stock Exchange*.

1. Tên tổ chức: **CÔNG TY CỔ PHẦN CHỨNG KHOÁN PHÚ HƯNG (“PHS”)**
Name of organization: PHU HUNG SECURITIES CORPORATION (“PHS”)

– Mã chứng khoán/Mã thành viên/Stock code/Broker code: PHS/022

– Địa chỉ mới: Tầng 21, Phú Mỹ Hưng Tower, 08 Hoàng Văn Thái, Phường Tân Mỹ, Thành phố Hồ Chí Minh (Địa chỉ cũ: Tầng 21, Phú Mỹ Hưng Tower, 08 Hoàng Văn Thái, Phường Tân Phú, Quận 7, Thành phố Hồ Chí Minh)
New Address: 21st Floor, Phu My Hung Tower, 08 Hoang Van Thai, Tan My Ward, Ho Chi Minh City (Old Address: 21st Floor, Phu My Hung Tower, 08 Hoang Van Thai, Tan Phu Ward, District 7, Ho Chi Minh City)

– Điện thoại liên hệ/Tel.: (+84 28) 5413 5479

Fax: (+84 28) 5413 5472

– E-mail: cbtt_phs@phs.vn

2. Nội dung thông tin công bố/ *Contents of disclosure*:

Căn cứ quy định tại Thông tư 96/2020/TT-BTC hướng dẫn công bố thông tin trên thị trường chứng khoán, PHS trân trọng công bố Báo cáo tình hình quản trị công ty 6 tháng đầu năm 2025 (chi tiết tham khảo tài liệu đính kèm).

According to Circular 96/2020/TT-BTC on guidance for information disclosure in the stock market, PHS sincerely discloses Corporate Governance Report for the first 6 months of 2025 (further information, please find the attachment).

3. Thông tin này đã được công bố trên trang thông tin điện tử của PHS vào ngày 09 tháng 07 năm 2025 tại đường dẫn: <https://www.phs.vn>, phần Về PHS, mục Quan hệ cổ đông.

This information was published on PHS's website on 09 July 2025 in the link at <https://www.phs.vn>, tab About PHS, and Investor relation.

Chúng tôi xin cam kết các thông tin công bố trên đây là đúng sự thật và hoàn toàn chịu trách nhiệm trước pháp luật về nội dung các thông tin đã công bố.

We hereby certify that the information provided is true and correct and we bear the full responsibility to the law.

Tài liệu đính kèm:

Attached Document:

- Báo cáo tình hình quản trị công ty 6 tháng đầu năm năm 2025.

Corporate Governance Report for the first 6 months of 2025.

ĐẠI DIỆN TỔ CHỨC
ORGANIZATION REPRESENTATIVE

(Ký, ghi rõ họ tên, chức vụ, đóng dấu)
(Signature, full name, position, and seal)



Ông/ Mr. CHEN CHIA KEN

Tổng Giám đốc

General Director

No.: 01/2025/BC-PHS

Ho Chi Minh City, July 09, 2025

REPORT ON CORPORATE GOVERNANCE

In the first 6 months of Year 2025

To:

- STATE SECURITIES COMMISSION;
- VIETNAM EXCHANGE;
- HANOI STOCK EXCHANGE;
- HO CHI MINH STOCK EXCHANGE.

- Name of company: **PHU HUNG SECURITIES CORPORATION (PHS)**
- Address of head office: 21st Floor, Phu My Hung Tower, 08 Hoang Van Thai, Tan Phu Ward, District 7, Ho Chi Minh City
- Telephone: (84-28) 54135479 Fax: (84-28) 54135472
- Charter capital: VND 2,000,098,190,000
- Stock symbol: PHS
- Governance model: General Meeting of Shareholders, Board of Directors, Inspection Committee and General Director
- The implementation of internal audit (Implemented/ Not yet implemented): Implemented.

I. Activities of the General Meeting of Shareholders

Information on meetings and Resolutions of the General Meeting of Shareholders (including the Resolutions of the General Meeting of Shareholders approved in the form of written comments):

No.	Resolution No.	Date	Content
1.	01/2025/NQ-ĐHĐCĐ	17/04/2025	<ol style="list-style-type: none"> 1. Pass the Audited Financial Statement in 2024; 2. Pass the Report of the Board of Directors on the Business performance in 2024; 3. Pass the Report of the Inspection Committee on the Business performance in 2024; 4. Pass the Report on Corporate governance of PHS in 2024; 5. Pass the Report on Utilisation of Capital for the capital raised from the private placement of shares on 29 November 2024; 6. Pass the Report on updating the Charter recording the adjustment of new charter capital; 7. Pass the Profit Distribution Plan in 2024 and the authorization for the Board of Directors to choose the suitable time and proceed the procedure to pay dividend for shareholders; 8. Pass the Development and Business plan of PHS in 2025; 9. Pass the Financial budget in 2025; 10. Pass the Selection of Auditor in 2025; 11. Pass the Election members of Board of Directors of the Company during the period from 2025 – 2028; 12. Pass the Election members of Inspection Committee of the Company during the period from 2025 – 2028; 13. Pass the Report of Board of Directors on the re-appointment of Mr. Chen Chia Ken to be General Director of the Company during the period from 2025 – 2028; 14. Pass the Procedure on inspecting, controlling of Inspection Committee.

II. Board of Directors (In the first 6 months of Year 2025):

1. Information about the members of the Board of Directors (BOD):

No.	Board of Directors' members	Position (Independent members of the BOD, Non-executive members of BOD)	The date becoming/ceasing to be the member of the BOD	
			Date of appointment	Date of dismissal
1.	Mr. Albert Kwang-Chin Ting	Chairman of the Board of Directors	06/04/2016	-
2.	Mr. Nguyen Doan Hung	Member	06/04/2016	-
3.	Mr. Wu, Jin-Jeng	Member	06/04/2016	-
4.	Mr. Chen Chia Ken	Member	06/04/2016	-
5.	Ms. Liu, Hsiu-Mei	Independent member	17/04/2019	17/04/2025
6.	Ms. Lin, Hsiu Chu	Independent member	17/04/2025	-

2. Meetings of the Board of Directors:

No.	Board of Director' member	Number of meetings attended by Board of Directors	Attendance rate	Reasons for absence
1.	Mr. Albert Kwang-Chin Ting	06	100%	-
2.	Mr. Nguyen Doan Hung	06	100%	-
3.	Mr. Wu, Jin-Jeng	06	100%	-
4.	Mr. Chen Chia Ken	06	100%	-
5.	Ms. Liu, Hsiu-Mei	03	100%	The date ceasing to be the Independent BOD member: 17/4/2025

6.	Ms. Lin, Hsiu Chu	03	100%	The date becoming to be the Independent BOD member: 17/4/2025
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3. Supervising the Board of Management by the Board of Directors:

In the first 6 months of Year 2025, Board of Directors promptly conducted the assignment from General Meeting of Shareholders in the spirit of in accordance to laws and internal regulations of PHS. The supervision activities of Board of Directors over Board of Management is prescribed in the monthly report of Board of Management and the Meeting Minutes of Board of Directors.

4. Activities of the Board of Directors' subcommittees (If any):

PHS does not establish the Board of Directors' subcommittees so there is not any activities to report.

5. Resolutions of the Board of Directors (the first 6 months of Year 2025):

No.	Resolution No.	Date	Content	Approval rate
1.	01/91_0125/NQ-HDQT	23/01/2025	<ol style="list-style-type: none"> To pass the provision for margin debt on December 31st, 2024 To pass the provision for advances to customers for securities trading activities on December 31st, 2024 To pass the conditions for offering covered warrants for the issuance in 2025 To pass the renewing and increasing credit line with Yuanta Commercial Bank Co., Ltd., – Offshore Banking Branch To pass the registration for change of charter capital at DPI 	100%
2.	01/92_0225/NQ-HDQT	27/02/2025	<ol style="list-style-type: none"> To pass the record date, meeting date of the Annual General Meeting of Shareholders To pass the renewing credit line with Taishin International Bank Co., Ltd 	100%
3.	01/93_0325/NQ-HDQT	24/03/2025	<ol style="list-style-type: none"> To pass the agenda and documents using in the 2025 Annual General Meeting Of Shareholders (AGMS) dated April 17th, 2025 	100%

			<ol style="list-style-type: none"> 2. To pass the audited financial statement of 2024 3. To pass the report of the Board of Directors on the business performance in 2024 4. To pass the report on Corporate Governance of PHS in 2024 5. To pass the report on utilisation of capital for the capital raised from the private placement of shares on November 29th, 2024 6. To pass the report on updating the Charter recording the adjustment of new charter capital 7. To pass the profit distribution plan in 2024 and the authorization for the Board of Directors to choose the suitable time and proceed the procedure to pay dividend for shareholders 8. To pass the development and business plan of PHS in 2025 9. To pass the financial budget in 2025 10. To pass the introduction of nomination on members of BOD and members of Inspection Committee of company during period from 2025 – 2028 11. To pass the re-appointment of Mr. Chen Chia Ken to be General Director of the company during the period from 2025 – 2028 12. To pass the power of attorney for Mr. Chen Chia Ken – Board of Directors member cum General Director to be the Meeting Chairman and sign the documents of the AGMS dated April 17th, 2025 13. To pass the audited financial safety ratio report on December 31st, 2024 14. To pass the trading privately issued corporate bonds with customers 	
4.	01/94_0425/NQ-HĐQT	22/04/2025	<ol style="list-style-type: none"> 1. To pass the election on Mr. Albert Kwang – Chin Ting to be Chairman of Board of Directors of company (Term 2025-2028) 2. To pass the re-appointment of Ms. Pham Thi Thu Nhan to be Deputy General Director of company (Term 2025-2028) 3. To pass the first offering condition of Covered Warrant 4. To pass the renewing and increasing credit line with Joint Stock Commercial Bank for Investment and Development of Vietnam, Nam Ky Khoi Nghia branch 	100%

			<ol style="list-style-type: none"> To pass the new pre-settlement risk line with First Commercial Bank, Ho Chi Minh City branch To pass the renewing credit line and granting a new settlement risk/ pre-settlement risk line with Vietnam Prosperity Joint Stock Commercial Bank To pass the closing Phu My Hung trading office 	
5.	01/95_0525/NQ-HĐQT	30/05/2025	<ol style="list-style-type: none"> To pass the remuneration of each member of Board of Directors and Inspection Committee in year 2025 To pass the date to finalize the list of shareholders to pay cash dividend in 2024 To pass the renewing credit line and pre-settlement risk line with Cathay United bank – HCMC branch To pass the renewing credit line with Cathay United bank – OBU To pass the renewing credit line with Chang Hwa bank – HSI-Sung branch To pass the renewing credit line and pre-settlement risk line with E.Sun Commercial bank – Dong Nai branch To pass the renewing credit line with E.Sun Commercial bank – OBU To pass the renewing credit line and pre-settlement risk line with Taipei Fubon Commercial Bank Co., Ltd., – HCMC branch To pass the renewing credit line with Taipei Fubon Commercial Bank Co., Ltd., – Offshore Banking branch To pass the renewing credit line with Vietnam Joint Stock Commercial Bank For Industry And Trade – branch 4 HCMC 	100%
6.	01/96_0625/NQ-HĐQT	26/06/2025	<ol style="list-style-type: none"> To pass the renewing credit line and pre-settlement risk line with CTBC Bank Co., Ltd – HCMC branch To pass the renewing credit line with CTBC Bank Co., Ltd To pass the renewing credit line with First Commercial Bank – HCMC branch To pass the renewing credit line with First Commercial Bank To pass the renewing credit line with Huanan Commercial Bank Ltd – HCMC branch 	100%

			6. To pass the increasing and renewing credit line with KGI Bank 7. To pass the renewing credit line with Mega International Commercial Bank Co., Ltd – HCMC branch 8. To pass the renewing credit line with Mega International Commercial Bank – offshore banking branch 9. To pass the renewing credit line with Shanghai Bank – Dong Nai branch 10. To pass the renewing credit line with Shanghai Commercial and Savings Bank – Hong Kong branch 11. To pass the new credit line with Tien Phong Commercial Joint Stock Bank	
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III. Inspection Committee (In the first 6 months of Year 2025):

1. Information about Members of Inspection Committee:

No.	Members of Inspection Committee	Position	The date becoming/ceasing to be the member of the Inspection Committee	Qualification
1.	Mr. Liew Sep Siang	Head of Inspection Committee	The date becoming to be the member of the Inspection Committee: 17/04/2019	Master of Business Administration
2.	Mr. Chiu Hsien Chih	Member	The date becoming to be the member of the Inspection Committee: 06/04/2016	Master of Business Administration
3.	Ms. Wang, Gwan-Fang	Member	The date becoming to be the member of the Inspection Committee: 17/04/2024	Bachelor of Business Administration

2. Meetings of Inspection Committee:

11/1/2025
 10:00 AM
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No.	Members of Inspection Committee	Number of meetings attended	Attendance rate	Voting rate	Reasons for absence
1.	Mr. Liew Sep Siang	01	100%	100%	-
2.	Mr. Chiu Hsien Chih	01	100%	100%	-
3.	Ms. Wang, Gwan-Fang	01	100%	100%	-

3. Supervising Board of Directors, Board of Management and shareholders by Inspection Committee:

In the first 6 months of Year 2025, Inspection Committee operated in the spirit of objection, independence, in accordance with the laws and internal regulations of PHS. The operation of Inspection Committee includes the inspection, observation and assessment on the administration and governance of Board of Directors, Board of Management, as well as the operation of PHS' division.

4. The coordination among the Inspection Committee, the Board of Directors, Board of Management and other managers:

During operation, the Inspection Committee cooperated with Board of Directors, Board of Management and other divisions, department to maintain the management and the business activities smoothly, effectively and in accordance to regulations of laws and the internal regulation of PHS.

5. Other activities of the Inspection Committee (if any):

There is not any other activities of the Inspection Committee to report.

IV. Board of Management

No.	Members of Board of Management	Date of birth	Qualification	Date of appointment/ dismissal of members of the Board of Management
1.	Mr. Chen Chia Ken	14/10/1971	Master of Business Administration	Date of appointment: 06/04/2016
2.	Ms. Pham Thi Thu Nhan	13/06/1984	Master of Business Administration	Date of appointment: 06/04/2016

V. Chief Accountant

Name	Date of birth	Qualification	Date of appointment/ dismissal
Ms. Do Thi Ai Vy	16/09/1986	Bachelor	Date of appointment: 07/12/2018

VI. Training courses on corporate governance:

Members of the Board of Directors, Inspection Committee, Board of Management, other managers and the Company Secretary cum Person in charge of Corporate Governance are always fully updated on training issues on corporate governance.

VII. The list of affiliated persons of the public company (year 2024) and transactions of affiliated persons of the Company.**1. The list of affiliated persons of the Company:**

No	Name of Organization /individual	Securities trading account (if any)	Position at the Company (if any)	NSH No.*, date of issue, place of issue	Address	Time of starting to be affiliated person	Time of ending to be affiliated person	Reasons	Relationship with the Company
1.	Mr. Albert Kwang-Chin Ting		Chairman of Board of Directors			06/04/2016	-	-	Internal persons
2.	Mr. Nguyen Doan Hung	-	Member of Board of Directors			06/04/2016	-	-	Internal persons

No	Name of Organization /individual	Securities trading account (if any)	Position at the Company (if any)	NSH No.*, date of issue, place of issue	Address	Time of starting to be affiliated person	Time of ending to be affiliated person	Reasons	Relationship with the Company
3.	Mr. Wu, Jin-Jeng		Member of Board of Directors			06/04/2016	-	-	Internal persons
4.	Mr. Chen Chia Ken		Member of Board of Directors cum General Director cum the second legal representative			06/04/2016	-	-	Internal persons
5.	Ms. Liu, Hsiu-Mei	-	Independent member of Board of Directors			17/04/2019	17/4/2025	-	Internal persons
6.	Ms. Lin, Hsiu Chu	-	Independent member of Board of Directors			17/4/2025	-	-	Internal persons
7.	Mr. Liew Sep Siang		Head of Inspection Committee			17/04/2019	-	-	Internal persons

No	Name of Organization /individual	Securities trading account (if any)	Position at the Company (if any)	NSH No.*, date of issue, place of issue	Address	Time of starting to be affiliated person	Time of ending to be affiliated person	Reasons	Relationship with the Company
8.	Mr. Chiu Hsien Chih		Member of Inspection Committee			06/04/2016	-	-	Internal persons
9.	Ms. Wang, Gwan-Fang		Member of Inspection Committee			17/04/2024	-	-	Internal persons
10.	Ms. Pham Thi Thu Nhan		Deputy General Director			06/04/2016	-	-	Internal persons
11.	Ms. Do Thi Ai Vy		Chief Accountant			07/12/2018	-	-	Internal persons

No	Name of Organization /individual	Securities trading account (if any)	Position at the Company (if any)	NSH No.*, date of issue, place of issue	Address	Time of starting to be affiliated person	Time of ending to be affiliated person	Reasons	Relationship with the Company
12.	Ms. Chieu Nha Thy		Person in charge of Corporate Governance cum Company Secretary			07/05/2021	-	-	Internal persons
13.	Ms. Nguyen Thi Thu Anh		Deputy Manager of Risk Management Division			21/05/2022	-	-	Internal persons
14.	Ms. Bach Thi Phuong Thao		Chief of Internal Audit			15/07/2022			Internal persons

No	Name of Organization /individual	Securities trading account (if any)	Position at the Company (if any)	NSH No.*, date of issue, place of issue	Address	Time of starting to be affiliated person	Time of ending to be affiliated person	Reasons	Relationship with the Company
15.	Mr. Huang Kai Sheng		Deputy Director of Finance Division			15/07/2022	-	-	Internal persons
16.	Phu Hung Far East Holding Corporation		-	1705717 – 04/04/2012 – British Virgin Islands	Portcullis Trustnet (BVI) Limited, Portcullis TrustNet Chambers P.O.Box 3444, Road Town, Tortola, British Virgin Islands	-	-	-	Major shareholder
17.	An Thinh Development Limited		-	1873621 – 12/05/2015 – British Virgin Islands	Coastal Building, Wickhams Cay II, Road Town, Tortola, British Virgin Islands	-	-	-	Major shareholder

No	Name of Organization /individual	Securities trading account (if any)	Position at the Company (if any)	NSH No.*, date of issue, place of issue	Address	Time of starting to be affiliated person	Time of ending to be affiliated person	Reasons	Relationship with the Company
18.	New Beam International INC		-	1909904 – 24/03/2016 – British Virgin Islands	Ground Floor, Coastal Building Wickhams Cay II, P O Box 3169, Road Town, Tortola, British Virgin Islands	-	-	-	Major shareholder

Note: NSH No.: ID card No./Passport No. (As for individuals) or Business Registration Certificate No., License on Operations or equivalent legal documents (As for organizations).

2. Transactions between the Company and its affiliated persons or between the Company and its major shareholders, internal persons and affiliated persons.

No	Name of organization/individual	Relationship with the Company	NSH No.* date of issue, place of issue	Address	Time of transactions with the Company	Resolution No. approved by GMS/BOD (if any, specifying date of issue)	Content, quantity, total value of transaction	Note
-	-	-	-	-	-	-	-	-

Note: NSH No.: ID card No./Passport No. (As for individuals) or Business Registration Certificate No., License on operations or equivalent legal documents (As for organizations).

3. Transaction between internal persons of the Company, affiliated persons of internal persons and the Company's subsidiaries in which the Company takes controlling power.

No.	Transaction executor	Relationship with internal persons	Position at the listed Company	ID card No. /Passport No., date of issue, place of Issue	Address	Name of subsidiaries or companies which the Company control	Time of transaction	Content, quantity, total value of transaction	Note
-	-	-	-	-	-	-	-	-	-

4. Transactions between the Company and other objects:

4.1. Transactions between the Company and the company that its members of Board of Director, the Inspection Committee, General Director have been founding members or members of Board of Directors. or CEOs in three (03) latest years (calculated at the time of reporting): None

4.2. Transactions between the Company and the company that its affiliated persons with members of Board of Directors, Inspection Committee, General Director and other managers as a member of Board of Directors, Board of Directors, or CEOs: None

4.3. Other transactions of the Company (if any) may bring material or non- material benefits for members of Board of Directors, members of the Inspection Committee, General Director and other managers: None

VIII. Share transactions of internal persons and their affiliated persons (In the first 6 months of Year 2025).

1. The list of internal persons and their affiliated persons of the Company.

No.	Name	Securities trading account (if any)	Position at the company (if any)	ID card No./Passport No., date of issue, place of issue	Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
1.	Mr. Albert Kwang-Chin Ting		Chairman of Board of Directors			-	-	-
1.1	Father: Lawrence S. Ting	-	Passed away	-	-	-	-	-
1.2	Mother: Ting Fei Tsong-Ching	-	-			-	-	-
1.3	Wife: Wong, I-Ting	-	-			-	-	-
1.4	Sister: Ting, Kwang I	-	-			-	-	-

1.5	Sister: Ting, Kwang-Yu	-	-			-	-	-
1.6	Brother: Ting, Kwang Hung		-			-	-	-
1.7	Phu Hung Far East Holding Corporation		Member of Board of Directors	1705717 – 04/04/2012 – British Virgin Islands	Portcullis Trustnet (BVI) Limited, Portcullis TrustNet Chambers P.O.Box 3444, Road Town, Tortola, British Virgin Islands	£2,004,600	46.00%	-
1.8	New Beam International Inc	-	Member of Board of Directors	1909904 - 24/3/2016 - British Virgin Islands	Craigmuir Chambers, Road Town, Tortola, VG 1110, British Virgin Islands	41,676,745	20.84%	
1.9	Freshfields Capital Corporation		Chairman of Board of Directors	80690793- 31/03/2004- Taiwan	18F., No.4, Sec. 1, Zhongxiao W. Rd., Zhongzheng Dist., Taipei City 100, Taiwan (R.O.C.)	1,290,486	0.65%	-

1.10	Phu Hung Fund Management Joint Stock Company		Chairman of Board of Directors	24/UBCK-GP 28/12/2007 - State Securities Commission	Room No. 4, Floor 21, Phu My Hung Tower, No. 08 Hoang Van Thai Street, Tan Phu Ward, District 7, HCMC.	-	-	-
2.	Mr. Nguyen Doan Hung	-	Member of Board of Directors			-	-	-
2.1	Father: Nguyen Van Tran	-	Passed away	-	-	-	-	-
2.2	Mother: Pham Thi Bac	-	Retired			-	-	
2.3	Daughter: Nguyen Phuong Anh	-	-			-	-	-
2.4	Son: Nguyen Hung Nam	-	-			-	-	-

2.5	Daughter: Nguyen Huyen Linh	-	-	-		-	-	Child
2.6	Sister: Nguyen Nhi Ha	-	-			-	-	-
2.7	Sister: Nguyen Thai Binh	-	-			-	-	-
2.8	Sister: Nguyen Hong Nga	-	-			-	-	-
2.9	Sister: Nguyen Hong Viet	-	-			-	-	-
2.10	Brother: Nguyen Hung Manh		-			258,177	0.13%	-
2.11	Masan Group Corporation	-	Member of Board of Directors	0303576603- 18/11/2004 - Department of Planning and Investment	23 Le Duan, Ben Nghe Ward, District 1, HCMC	-	-	-
3.	Mr. Wu, Jin- Jeng		Member of Board of Directors			-	-	-

3.1	Vu Thai Investment Consultant Limited		Member of Board of Directors	0102340904 – 13/09/2017 - Department of Planning and Investment	Floor 14, Cornerstone Building, 16 Phan Chu Trinh, Hoan Kiem District, Hanoi City	7,473,415	3.74%	-
3.2	Phu Hung Fund Management Joint Stock Company		Member of Board of Directors	24/UBCK-GP 28/12/2007 - State Securities Commission	Room No. 4, Floor 21, Phu My Hung Tower, No. 08 Hoang Van Thai Street, Tan Phu Ward, District 7, HCMC.	-	-	-
4.	Mr. Chen Chia Ken		Member of Board of Directors cum General Director cum the second legal representative			-	-	-
4.1	Father: Chen Yi Fan	-	Passed away	-	-	-	-	-
4.2	Mother: Tsai Pi Chin	-	-			-	-	-

4.3	Wife: Wang Tzu	-	-			-	-	-
4.4	Son: Chen Hsiu Te	-	-			-	-	-
4.5	Daughter: Chen Hsiu Yi	-	-			-	-	-
5.	Ms. Lin, Hsiu-Chu	-	Thành viên độc lập Hội đồng Quản trị			-	-	The date becoming to be the Independent BOD member: 17/4/2025
5.1	Mother: Lin Guo Laifu	-	-			-	-	
5.2	Father: Lin Min Gjie	-	-			-	-	-
5.3	Son: Chen Yu Fu	-	-			-	-	-

6.	Ms. Liu Hsiu-Mei	-	Independent member of Board of Directors			-	-	The date ceasing to be the Independent BOD member: 17/4/2025
6.1	Father: Liu, Guo - Cheng	-	-			-	-	-
6.2	Mother: Chen, Yue-E	-	-			-	-	-
6.3	Husband: Chiu, Yi-Hung	-	-			-	-	-
6.4	Daughter: Chiu, Chen-Yu	-	-	-		-	-	Child
6.5	Son: Chiu, Chen-Kai	-	-	-		-	-	Child
6.6	Son: Chiu, Chen-En	-	-	-		-	-	Child
7.	Mr. Liew Sep Siang		Head of Inspection Committee			-	-	-

7.1	Father: Liew Ee Khong	-	-	-	-	-	-	Passed away
7.2	Mother: Lee Yeak Leak	-	-			-	-	-
7.3	Brother: Liew Sep Leng	-	-			-	-	-
7.4	Sister: Liew Chin Hui	-	-			-	-	-
7.5	Brother: Liew Sep Yong	-	-			-	-	-
7.6	Wife: Chu Zi Yan	-	-			-	-	-
7.7	Phu Hung Assurance Corporation		Deputy General Director	41/GP-KDBH 12/12/2006 - Ministry of Finance	Floor 22, Phu My Hung Tower, No. 08 Hoang Van Thai Street, Tan Phu Ward, District 7, HCMC.	3,136,847	1.57%	-
7.8	Phu Hung Fund Management		Head of Inspection Committee	24/UBCK-GP 28/12/2007 -	Room No. 4, Floor 21, Phu My Hung Tower, No. 08 Hoang Van Thai Street, Tan Phu			

	Joint Stock Company			State Securities Commission	Ward, District 7, HCMC.			
8.	Mr. Chiu Hsien Chih		Member of Inspection Committee			-	-	-
8.1	Father: Chiu Chuei Chun	-	Passed away	-	-	-	-	-
8.2	Mother: Syu Yue Ye	-	Passed away	-	-	-	-	-
8.3	Wife: Lin Ching Yi	-	-			-	-	-
8.4	Sister: Chiu Li Chiu	-	-			-	-	-
8.5	Sister: Chiu Li Ying	-	-			-	-	-
8.6	Sister: Chiu Hsueh O	-	-			-	-	-

9.	Ms. Wang, Gwan-Fang		Member of Inspection Committee			-	-	-
9.1	Father: Wang, Pai-Fu	-	-			-	-	-
9.2	Mother: Yeh, Mei-Yu	-	-			-	-	-
9.3	The Sang Media Company Limited		Director	0311068000 - 15/08/2011 – Department of Planning and Investment of HCMC	Floor 22, Phu My Hung Tower, No. 08 Hoang Van Thai, Tan Phu Ward, District 7, HCMC	-	-	-
9.4	Hung Truyen Development Company Limited	-	Director	0312894874 – 15/08/2014 – Department of Planning and Investment of HCMC	Floor 3, 4, Crescent Residence 3, 109 Ton Dat Tien, Tan Phu Ward, District 7, HCMC	-	-	-
10.	Ms. Pham Thi Thu Nhan		Deputy General Director			-	-	-

10.1	Father: Pham Ngoc Ho	-	-			-	-	-
10.2	Mother: Pham Thi Hon	-	-			-	-	-
10.3	Daughter: Nguyen Pham An Nhien	-	-			-	-	-
10.4	Brother: Pham Ngoc Tinh		-			-	-	-
10.5	Sister: Pham Thi Cam Xuyen		-			-	-	-
11.	Ms. Do Thi Ai Vy		Chief Accountant			-	-	-

11.1	Father: Do Gia Van	-	-			-	-	-
11.2	Mother: Nguyen Thi Tuyet	-	-			-	-	-
11.3	Husband: Pham Duc Vu	-	-			-	-	-
11.4	Son: Pham Gia Khiem	-	-	-		-	-	Child
11.5	Daughter: Pham Bao Chau	-	-	-		-	-	Child

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11.6	Sister: Do Thi Ly Ly	-	-			-	-	-
11.7	Sister: Do Thi Uyen My	-	-			-	-	-
11.8	Brother: Do Gia Bao	-	-			-	-	-
12.	Ms. Chieu Nha Thy		Person in charge of Corporate Governance cum Company Secretary			-	-	-
12.1	Father: Chieu Duc Nghia	-	-			-	-	-

12.2	Mother: Luong Ngoc Mai	-	-			-	-	-
12.3	Brother: Chieu Duc Tam	-	-			-	-	-
12.4	Sister: Chieu Boi Thy	-	-			-	-	-
13.	Ms. Nguyen Thi Thu Anh		Deputy Manager of Risk Management Division			107	0.000053%	
13.1	Father: Nguyen Ngoc Dung		-			-	-	-

13.2	Mother: Ho Thi Thu Van		-			-	-	-
13.3	Brother: Nguyen Ngoc Anh		-			-	-	-
13.4	Brother: Nguyen Tuan Anh		-			-	-	-
14.	Ms. Bach Thi Phuong Thao		Chief of Internal Audit			-	-	-

14.1	Father: Bach Quang Vinh	-	-			-	-	-
14.2	Mother: Dinh Thi Ngoc Hanh	-	-			-	-	-
14.3	Brother: Bach Chi Cuong	-	-			-	-	-
14.4	Son: Nguyen Phuc Hoang	-	-	-		-	-	Child
15.	Mr. Huang Kai Sheng		Deputy Director of Finance Division			-	-	-

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15.1	Father: Huang, Jeng-Her	-	-			-	-	-
15.2	Mother: Wu, Chen-Chen	-	-			-	-	-
15.3	Wife: Chiang, Chu-Yun	-	-			-	-	-
15.4	Son: Huang, Ping-Chieh	-	-			-	-	-

2. Transactions of internal persons and affiliated persons with shares of the company.

No.	Transaction executor	Relationship with internal persons	Number of shares owned at the beginning of the period		Number of shares owned at the end of the period		Reasons for increasing, decreasing (buying, selling, converting, rewarding, etc.)
			Number of shares	Percentage	Number of shares	Percentage	

-	-	-	-	-	-	-	-
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IX. Other significant issues: None.

Recipients:

- As above;
- Archived: Company Secretariat.

ON BEHALF OF THE BOARD OF DIRECTORS
CHAIRMAN

(Sign, full name and seal)



ALBERT KWANG – CHIN TING

