



*Hochiminh City, May 26, 2025*

## **MINUTES OF THE 2025 ANNUAL GENERAL MEETING OF SHAREHOLDERS**

### **I. COMPANY NAME, HEAD OFFICE ADDRESS, BUSINESS REGISTRATION CERTIFICATE NUMBER:**

- + Company name: SBS Securities Joint Stock Company
- + Head Office: 40 Pham Ngoc Thach, Ward Vo Thi Sau, District 3, Ho Chi Minh City.
- + Establishment and operation license: No. 109/UBCK-GPHDKD dated January 28, 2010 issued by the State Securities Commission.

### **II. TIME AND VENUE OF THE GENERAL MEETING OF SHAREHOLDERS (“GMS”):**

- + Time: Opening at 8:30 a.m. on May 26, 2025.
- + Venue: 40 Pham Ngoc Thach, Ward Vo Thi Sau, District 3, Ho Chi Minh City.

### **III. PARTICIPANTS:**

1. Shareholders, representatives and authorized persons of shareholders.
2. Board of Directors (“BOD”), Supervisory Board (“BOS”) and Executive Board.

### **IV. CONGRESS PROGRAM, CONTENT AND PROGRESS.**

1. Announcement of reasons and introduction of guests and delegates attending the 2025 Annual General Meeting of Shareholders:

Ms. Nguyen Thi Phu Thanh - Host of the Congress invited Mr. Luu Thanh Hung - Member of the Board of Supervisors - Head of the shareholder qualification examination committee to report on the results of the shareholder qualification examination..

2. Report on verification of shareholder qualifications to attend the General Meeting:

Mr. Luu Thanh Hung - Member of the Board of Supervisors - Head of the Board of Shareholders' Qualifications Examination read the report on checking the list of shareholders attending the General Meeting of Shareholders, as follows:

- Total number of shareholders at the time of closing the list (March 20, 2025) to conduct the General Meeting of Shareholders is: 16,709 shareholders representing 146,607,600 shares.
- As of 09:47 on May 26, 2025, the number of shareholders attending in person or through authorized representatives at this General Meeting is: 28 shareholders, representing 13,225,967 shares, accounting for 9.02% of the total number of voting shares.



- Pursuant to the Law on Enterprises and the Charter of SBS Securities Joint Stock Company on the conditions for holding the General Meeting of Shareholders: The total number of voting shares of shareholders attending the meeting representing less than 33% of the total number of voting shares is not eligible to hold the 2025 Annual General Meeting of Shareholders of SBS Securities Joint Stock Company, according to the provisions of Clause 2, Article 19 of the Charter of SBS Securities Joint Stock Company..

3. The 2025 Annual General Meeting of Shareholders will be convened for the third time in accordance with the provisions of law and the Charter of SBS Securities Joint Stock Company. The Board of Directors of SBS Securities Joint Stock Company will notify the specific time and location later, in accordance with the procedures prescribed by the Company Charter and the law.

**Member of Board of Supervisors**

**Head of Shareholder Qualification  
Examination Board**



**LUU THANH HUNG**

**On Behalf of Board of Directors**

**Chairman**



**PHAN QUOC HUYNH**

