

No.: 06 /BC – BKS

Ha Noi, April 04, 2025

**SELF-ASSESSMENT REPORT ON THE PERFORMANCE OF THE SUPERVISORY
BOARD AND EACH SUPERVISOR IN 2024 - PLANS FOR 2025**

To: General Meeting of Shareholders – PetroVietnam Securities Inc.

The Supervisory Board respectfully submits to the General Meeting of Shareholders in 2025 the Report on self-assessment on the performance of the Supervisory Board and each supervisor in 2024, the plans in 2025 as follows:

1. Organizational structure of the Supervisory Board:

The Supervisory Board consists of 03 supervisors, specifically:

- Ms. Nguyen Thi An - Head of the Supervisory Board (part-time);
- Mr. Bui The Anh - Supervisor (part-time);
- Ms. Nguyen Thi Thu - Supervisor (part-time).

2. Remuneration of the Supervisory Board:

- The remuneration of the Supervisory Board shall be implemented in accordance with the provisions of law and regulations of PetroVietnam Securities Inc. (PSI), in accordance with the operation of PSI. The total remuneration cost of the Supervisory Board, including PIT incurred in 2024, is VND 216,000,000. Specifically, as follows:

No.	Position	Number of Mem.	Remuneration fund (VND)
1	Part-time Head of the Supervisory Board	1	96,000,000
2	Part-time Mem. Of Supervisory Board	2	120,000,000

3. Summary of meetings during the year:

- ✓ First session (23/04/2024): Contents of decisions:
 - Announcement of the results of the 2024 General Meeting of Shareholders of PSI.
 - The Supervisory Board discussed and agreed to approve the implementation of the supervisory plan in 2024.

- ✓ Second session (18/12/2024): Contents of decisions:
 - Summarize and evaluate the activities of the Supervisory Board in 2024.
 - Approve the work plan in 2025.
 - Other tasks related to the activities of the Supervisory Board.

4. Supervisory activities in 2024:

The Supervisory Board regularly exchanges work via email, telephone and other means to ensure that it always provides timely advice and support to the Board of Directors and the Board of Management in order to achieve the highest efficiency in evaluating the supervisory work from time to time, review the progress of implementing the Company's plans, supervise the compliance with the Resolution of the General Meeting of Shareholders as well as the observance of internal regulations and legal provisions of the Board of Directors and the Board of Management in the management and administration of the implementation of tasks in 2024.

In 2024, the Supervisory Board did not receive any requests from shareholders or groups of shareholders holding 05% or more of stakes to review the Company's operations or the management of the Board of Directors and the Board of Management of the Company. In general, in the process of performing its tasks, the Supervisory Board is facilitated by the Board of Directors and the Board of Management to complete its tasks.

✓ Activities of each supervisor

- Ms. Nguyen Thi An - Head of the Supervisory Board (part-time)
 - In 2024, as a part-time Head of the Board of Directors, Ms. Nguyen Thi An has attended meetings of the Board of Directors and the Board of Management, thereby grasping the business situation, management and administration of the Board of Directors and the Board of Management, thereby contributing opinions to implement the goals approved by the General Meeting of Shareholders, as well as the Company's internal management effectively and smoothly.
 - Conducting appraisal of the company's business report, audited financial statements in 2024, management evaluation report of the Board of Directors, report on business results in 2024 of the Board of Management to submit to the Annual General Meeting of Shareholders in 2025.
 - Inciting units and departments to overcome limitations according to the opinions of the supervisory units.
 - Perform other supervision tasks.
- Ms. Nguyen Thi Thu - Supervisor (part-time)

- Supervise the Board of Directors and the Board of Management in the management and administration of the company
- Implement the supervisory of rationality, legality, honesty and prudence in the Company's securities brokerage, securities issuance underwriting, securities investment advisory and securities depository in 2024.
- Appraising the company's business report, audited financial statements in 2024, management evaluation report of the Board of Directors, report on business results in 2024 of the Board of Management to submit to the Annual General Meeting of Shareholders in 2025.
- Perform other tasks upon request.
- Mr. Bui The Anh - Supervisor (part-time)
- Supervise the Board of Directors and the Board of Management in the management and administration of the company.
- Examining the rationality, legality, honesty and prudence in the implementation of proprietary securities trading and financial investment in 2024.
- Appraising the company's business report, audited financial statements in 2024, management evaluation report of the Board of Directors, report on business results in 2024 of the Board of Management to submit to the Annual General Meeting of Shareholders in 2025.
- Perform other tasks upon request.

5. Operation plan of the Supervisory Board in 2025

Implementing the Law on Enterprises in 2020, as well as the company's operation plan in 2025 of the Board of Directors and the Board of Management, the Supervisory Board makes an operation plan in 2025 as follows:

- Supervise the Board of Directors and the Board of Management in the management and administration of the company.
- Examine the rationality, legality and honesty in the management and administration of business activities; systematic, consistent and appropriate of accounting, statistics and financial statements.
- Appraising the completeness, legality and truthfulness of the company's business report, annual and semi-annual financial statement of 2025, management evaluation report of the Board of Directors and submitting the appraisal report at the Annual General Meeting of Shareholders.
- Review contracts and transactions with related persons under the approving competence of the Board of Directors or the General Meeting of Shareholders and

make recommendations on contracts and transactions that require the approval of the Board of Directors or the General Meeting of Shareholders.

- Review, examine and evaluate the validity and effectiveness of the company's internal supervisory, internal audits, risk management and early warning systems.
- Review accounting books, accounting records and other documents of the company, the management and administration of the company's activities according to the resolution of the General Meeting of Shareholders or at the request of shareholders or groups of shareholders specified in Clause 2, Article 115 of the Law on Enterprises 2020.
- Propose the Board of Directors or the General Meeting of Shareholders to amend, supplement and improve the organizational structure of management, supervision and administration of the company's business activities.
- Attend and participate in discussions at the General Meeting of Shareholders, the Board of Directors and other meetings of the company in 2025.
- Examine each specific issue related to the management and administration of the Company's operations at the request of shareholders (if any).
- Supervise the Company's financial situation, legal compliance of members of the Board of Directors, Board of Management, and other managers in the Company's activities in 2025.

The above is a report on self-assessment of the performance of the Supervisory Board and each member of the Supervisory Board in 2024, the plan in 2025 to be submitted to the Annual General Meeting of Shareholders in 2025.

Respectfully!

Recipients:

- 2025 General Meeting of Shareholders
- BOD, BOM (to pub.)
- Archive.

O/B. SUPERVISORY BOARD

HEAD



Nguyen Thi An