

No: 76...../BC-CN

Soc Trang, Date 24.. Month 01.. Year 2025

**REPORT ON CORPORATE GOVERNANCE 2024**  
**(Full version)**

*(According to Circular No. 96/2020/TT-BTC dated November 16, 2020 of the Ministry of Finance)*

To:

- The State Securities Commission of Viet Nam;
- Hanoi Stock Exchange.

- Listed company name: SOC TRANG WATER SUPPLY JOINT STOCK COMPANY
- Address of Head office: No. 16 Nguyen Chi Thanh Street, Ward 6, Soc Trang City, Soc Trang Province
- Telephone: (0299) 3820943 Fax: (0299) 3821278 Email: info@soctrangwaco.vn
- Charter capital: VND 158,631,330,000
- Stock symbol: STW
- Governance model:
  - + General Meeting of Shareholders;
  - + Board of Directors (BOD);
  - + Board of Supervisors;
  - + General Director.
- The implementation of internal audit: Implemented.

**I. Activities of the General Meeting of Shareholders**

In 2024, the Company held the following General Meeting of Shareholders meetings:

1. The 2024 Extraordinary General Meeting of Shareholders was held on May 16, 2024. The Meeting was unanimously approved by 100% of the shareholders/legal representatives of shareholders present, as recorded in Minutes No. 02/2024/BB-ĐHĐCĐ dated May 16, 2024; Resolution No. 01/2024/NQ-ĐHĐCĐ dated May 16, 2024 of the General Meeting of Shareholders, and was posted on the Company's website and approved for public disclosure on the Hanoi Stock Exchange system.

2. The 2024 Annual General Meeting of Shareholders was held on August 16, 2024. The Meeting was unanimously approved by 100% of the shareholders/legal representatives of shareholders present, as recorded in Minutes No. 03/2024/BB-ĐHĐCĐ dated August 16, 2024; Resolution No. 02/2024/NQ-ĐHĐCĐ dated August 16, 2024 of the General Meeting of Shareholders, and was posted on the Company's website and approved for public disclosure on the Hanoi Stock Exchange system.

## 3. Content of the Resolutions of the General Meeting of Shareholders 2024

| No. | Resolution                  | Date      | Content  |
|-----|-----------------------------|-----------|--|
| 1   | No.<br>01/2024/N<br>Q-ĐHĐCĐ | 16/5/2024 | <p>Approving the following matters:</p> <ul style="list-style-type: none"> <li>- Approval of the Agenda and Content of the second Extraordinary General Meeting of Shareholders 2024 of Soc Trang Water Supply Joint Stock Company, held on 16/5/2024;</li> <li>- Approval of the Rules of Procedure for the second Extraordinary General Meeting of Shareholders 2024 of Soc Trang Water Supply Joint Stock Company, held on 16/5/2024;</li> <li>- Approval of the amended and supplemented Charter of Soc Trang Water Supply Joint Stock Company, consisting of 09 Chapters and 86 Articles. This Charter shall take effect immediately after being approved by the second Extraordinary General Meeting of Shareholders 2024 of Soc Trang Water Supply Joint Stock Company, held on 16/5/2024;</li> <li>- Approval of the Company's profit distribution plan for 2022 and the profit distribution plan for 2023;</li> <li>- Dismissal of all Members of the Board of Directors and Members of the Board of Supervisors of Soc Trang Water Supply Joint Stock Company for the term 2017-2022;</li> <li>- Approval of the Election Regulations for the Board of Directors and Board of Supervisors of Soc Trang Water Supply Joint Stock Company for the term 2024-2029;</li> <li>- Election of Members of the Board of Directors of Soc Trang Water Supply Joint Stock Company for the term 2024-2029, including: Mr. Tran Anh Hoa, Mr. Dang Van Ngo, Mr. Ong Hai Phuoc, Mr. Nguyen Trong Kien, Mr. Duong Ngo Hiep;</li> <li>- Election of Members of the Board of Supervisors of Soc Trang Water Supply Joint Stock Company for the term 2024-2029, including: Mr. Nguyen Hoai Bao Khanh, Mr. Tran Thanh Nhan, Mr. Nguyen Minh Phuong;</li> <li>- Authorizing Mr. Tran Thanh Nhan – Chairman to sign the Resolution of the second Extraordinary General Meeting of Shareholders 2024 of Soc Trang Water Supply Joint Stock Company, held on 16/5/2024.</li> </ul> |
| 2   | No.<br>02/2024/N<br>Q-ĐHĐCĐ | 16/8/2024 | <p>1. Approval of the Reports:</p> <ul style="list-style-type: none"> <li>- Report No. 381/BC-CN dated 08/7/2024 of the General Director on business activities in 2023 and direction and tasks for 2024, with the following amendment on page 4: <ul style="list-style-type: none"> <li>+ <i>Original content: "- Total revenue in 2023 increased by 3.65% compared to 2022 and reached 98.83% of the 2023 plan."</i></li> <li>+ <i>Amended content: "- Total revenue in 2023 increased by 5.73% compared to 2022 and reached 101% of the 2023 plan."</i></li> </ul> </li> <li>- Report No. 382/BC-HĐQT dated 08/7/2024 of the Board of Directors on the management and activities of the Board of Directors in 2023, including: <p><i>"In the coming time, the Board of Directors will implement the 2022 dividend distribution according to Resolution No. 01/2024/NQ-ĐHĐCĐ dated 16/5/2024 of the second</i></p> </li> </ul>   |



| No. | Resolution | Date | Content   |
|-----|------------|------|---|
|     |            |      | <p><i>Extraordinary General Meeting of Shareholders 2024 of Soc Trang Water Supply Joint Stock Company."</i></p> <ul style="list-style-type: none"> <li>- Report No. 383/BC-BKS dated 08/7/2024 of the Board of Supervisors on the activities of the Board of Supervisors in 2023 and a summary of the audited financial statements for 2023.</li> </ul> <p>2. Approval of the Proposals:</p> <ul style="list-style-type: none"> <li>- Proposal No. 384/TTr-HĐQT dated 08/7/2024 of the Board of Directors on the audited financial statements for 2023.</li> <li>- Proposal No. 385/TTr-HĐQT dated 08/7/2024 of the Board of Directors on the business plan for 2024.</li> <li>- Report No. 386/TTr-HĐQT dated July 8, 2024, of the Board of Directors on the 2023 remuneration settlement and the 2024 remuneration plan of the Board of Directors and the Board of Supervisors of Soc Trang Water Supply Joint Stock Company.</li> <li>- Report No. 387/TTr-HĐQT dated July 8, 2024, of the Board of Directors on the 2023 profit distribution plan and the 2024 profit distribution plan of Soc Trang Water Supply Joint Stock Company.</li> <li>- Report No. 388/TTr-HĐQT dated July 8, 2024, of the Board of Directors on the 2024 investment plan for water supply projects and works.</li> <li>- Report No. 389/TTr-BKS dated July 8, 2024, of the Board of Supervisors on the selection of an audit unit for the 2024 financial statements, which states: "Select A&amp;C Auditing and Consulting Co., Ltd., an independent audit unit, as the service provider to audit the financial statements of Soc Trang Water Supply Joint Stock Company in the fiscal year 2024."</li> <li>- Report No. 390/TTr-HĐQT dated July 8, 2024, of the Board of Directors on the Regulations on Corporate Governance.</li> <li>- Report No. 391/TTr-HĐQT dated July 8, 2024, of the Board of Directors on the Charter of the Board of Directors.</li> <li>- Report No. 392/TTr-BKS dated July 8, 2024, of the Board of Supervisors on the Charter of the Board of Supervisors.</li> </ul> |

## II. Board of Directors

### 1. Information on Board of Director' members

| No. | Member of the Board of Directors | Position  | Start date/No longer a Member of the Board of Directors |          |
|-----|----------------------------------|---|---|----------|
|     |                                  |   | Start Date  | End Date |
| 1   | Tran Anh Hoa                     | Holding the position of Chairman of the Board of Directors since May 29, 2024 | 16/05/2024  |          |
| 2   | Dang Van Ngo                     | Member of the Board of Directors  | 16/05/2024  |          |

|   |                   |  |            |           |
|---|-------------------|--|------------|-----------|
| 3 | Ong Hai Phuoc     | Member of the Board of Directors   | 16/05/2024 |           |
| 4 | Duong Ngo Hiep    | Member of the Board of Directors   | 16/5/2024  |           |
| 5 | Nguyen Trong Kien | Member of the Board of Directors   | 16/5/2024  |           |
| 6 | Nguyen Quang Mai  | Holding the position of Chairman of the Board of Directors (from August 24, 2023 to May 16, 2024)      | 28/12/2017 | 16/5/2024 |
| 7 | Nguyen Trong Hieu | Holding the position of Chairman of the Board of Directors (from December 28, 2017 to August 24, 2023) | 28/12/2017 | 16/5/2024 |

## 2. Meetings of the Board of Directors:

- In-person meeting: 10 meetings.

- Summary of BOD opinions via BOD mailbox for BOD and General Director Board documents and submissions: 29 summary minutes were compiled.

| No. | Member of the Board of Directors | Number of meetings attended by Board of Directors | Meeting attendance rate | Reasons for absence                            |
|-----|----------------------------------|---|-------------------------|--|
| 1   | Tran Anh Hoa                     | 10/10   | 100%                    |  |
| 2   | Dang Van Ngo                     | 10/10   | 100%                    |  |
| 3   | Ong Hai Phuoc                    | 10/10   | 100%                    |  |
| 4   | Duong Ngo Hiep                   | 0/8   | 0%                      | Absent from the meeting without a clear reason |
| 5   | Nguyen Trong Kien                | 0/8   | 0%                      | Absent from the meeting without a clear reason |
| 6   | Nguyen Quang Mai                 | 2/2   | 100%                    |  |
| 7   | Nguyen Trong Hieu                | 2/2   | 100%                    |  |



### 3. Supervising the Board of Management by the Board of Directors

Pursuant to the Company's Charter, the Board of Directors has supervised the Board of General Directors through monthly reporting and planning of production and business activities, as well as monthly revenue and expenditure reports submitted to the Board of Directors for approval. For tasks beyond their authority, the Board of General Directors submits written requests for approval to the Board of Directors..

### 4. Activities of the Board of Directors' subcommittees:

The Board of Directors did not establish any sub-committees.

### 5. Resolutions/Decisions of the Board of Directors

| No. | Number of Resolutions/Decisions   | Date       | Content   | Approval Rate   |
|-----|-----------------------------------|------------|---|---|
| 1.  | Resolution No. 01/NQ-HĐQT         | 03/01/2024 | The Resolution's content is invalid because Chairman Nguyen Quang Mai unilaterally signed and issued it without the approval of the majority of the Board of Directors members present at the meeting (2/5 approval). | - 40% approval including Mr. Hieu, Mr. Mai.<br>- 60% disapproval including Mr. Hoa, Mr. Ngo, Mr. Phuoc. |
| 2.  | Resolution No. 01/NQ-HĐQT.NK24-29 | 29/5/2024  | Election of the Chairman of the Board of Directors for the term 2024-2029   | 100% of the Board of Directors members present at the meeting approved.                                 |
| 3.  | Resolution No. 02/NQ-HĐQT.NK24-29 | 29/5/2024  | Dismissal of the Company's Executive Board positions for the 2017-2022 term   | 100% of the Board of Directors members present at the meeting approved.                                 |
| 4.  | Resolution No. 03/NQ-HĐQT.NK24-29 | 29/5/2024  | Appointment of the General Director of the Company for the 2024-2029 term   | 100% of the Board of Directors members present at the meeting approved.                                 |
| 5.  | Resolution No. 04/NQ-HĐQT.NK24-29 | 29/5/2024  | Appointment of the Deputy General Director of the Company for the 2024-2029 term  | 100% of the Board of Directors members present at the meeting approved.                                 |
| 6.  | Resolution No. 05/NQ-HĐQT.NK24-29 | 29/5/2024  | Appointment of the Deputy General Director of the Company for the 2024-2029 term  | 100% of the Board of Directors members present at the meeting approved.                                 |
| 7.  | Resolution No. 06/NQ-HĐQT.NK24-29 | 29/5/2024  | Appointment of the Chief Accountant of the Company for the 2024-2029 term   | 100% of the Board of Directors members present at the meeting approved.                                 |
| 8.  | Resolution No. 07/NQ-HĐQT.NK24-29 | 29/5/2024  | Appointment of the person in charge of corporate governance and Company Secretary   | 100% of the Board of Directors members present at the meeting approved.                                 |
| 9.  | Resolution No.                    | 29/5/2024  | Appointing a representative to  | 100% of the Board of  |

| No. | Number of Resolutions/Decisions   | Date      | Content  | Approval Rate  |
|-----|-----------------------------------|-----------|--|--|
|     | 08/NQ-HĐQT.NK24-29                |           | manage Soc Trang Water Supply Joint Stock Company's capital contribution in Soc Trang Water Joint Stock Company  | Directors members present at the meeting approved.   |
| 10. | Resolution No. 09/NQ-HĐQT.NK24-29 | 29/5/2024 | Dissolution of the Branch of Soc Trang Water Supply Joint Stock Company in Hanoi City.   | 100% of the Board of Directors members present at the meeting approved.  |
| 11. | Resolution No. 10/NQ-HĐQT.NK24-29 | 29/5/2024 | Dismissal of the Assistant Cluster and Secretariat positions for the 2024-2029 term  | 100% of the Board of Directors members present at the meeting approved.  |
| 12. | Resolution No. 11/NQ-HĐQT.NK24-29 | 12/6/2024 | Convening the Annual General Meeting of Shareholders 2024  | 60% approval with:<br>- Approval from Mr. Hoa, Mr. Ngo, Mr. Phuoc.<br>- No voting opinion from Mr. Kien, Mr. Hiep. |
| 13. | Resolution No. 12/NQ-HĐQT.NK24-29 | 12/6/2024 | Repeal of several documents of the Board of Directors for the 2017-2022 term   | 60% approval with:<br>- Approval from Mr. Hoa, Mr. Ngo, Mr. Phuoc.<br>- No voting opinion from Mr. Kien, Mr. Hiep. |
| 14. | Resolution No. 13/NQ-HĐQT.NK24-29 | 06/6/2024 | Approval of Submission No. 284/TTr-CN dated 03/6/2024 from the General Director regarding the bonus allocation for the Management Board in 2022.   | 60% approval with:<br>- In favor: Mr. Hoa, Mr. Ngo, Mr. Phuoc.<br>- No voting opinion: Mr. Kien, Mr. Hiep.         |
| 15. | Resolution No. 14/NQ-HĐQT.NK24-29 | 10/6/2024 | Approval of Submission No. 286/TTr-CN dated 03/6/2024 from the General Director regarding financial support for rewarding children of company employees with excellent academic achievements in 2023-2024. | 60% approval with:<br>- In favor: Mr. Hoa, Mr. Ngo, Mr. Phuoc.<br>- No voting opinion: Mr. Kien, Mr. Hiep.         |
| 16. | Resolution No. 15/NQ-HĐQT.NK24-29 | 13/6/2024 | Approval of Submission No. 285/TTr-CN dated 03/6/2024 from the General Director regarding the approval of the profit distribution plan for 2022 of the SOTRAWA Bottled Drink Production Enterprise.        | 60% approval with:<br>- In favor: Mr. Hoa, Mr. Ngo, Mr. Phuoc.<br>- No voting opinion: Mr. Kien, Mr. Hiep.         |
| 17. | Resolution No. 16/NQ-             | 13/6/2024 | Approval of Submission No. 304/TTr-CN dated 10/6/2024 from   | 60% approval with:<br>- In favor: Mr. Hoa,   |



| No. | Number of Resolutions/Decisions   | Date       | Content  | Approval Rate   |
|-----|-----------------------------------|------------|--|---|
|     | HĐQT.NK24-29                      |            | the General Director regarding bonus allocation for groups and individuals with achievements in 2022 and emulation titles in 2022.   | Mr. Ngo, Mr. Phuoc.<br>- No voting opinion:<br>Mr. Kien, Mr. Hiep.  |
| 18. | Resolution No. 17/NQ-HĐQT.NK24-29 | 27/6/2024  | Approval of "Submission No. 358/TTr-CN dated 24/6/2024 from the General Director regarding the organization of employee tours in 2024".  | 60% approval with:<br>- In favor: Mr. Hoa, Mr. Ngo, Mr. Phuoc.<br>- No voting opinion:<br>Mr. Kien, Mr. Hiep. |
| 19. | Resolution No. 18/NQ-HĐQT.NK24-29 | 22/07/2024 | Approval in principle to apply for a permit to increase the exploitation capacity of Nguyen Chi Thanh Industrial Park Wastewater Treatment Plant and Hai Ngu Water Supply Station. | 60% approval with:<br>- In favor: Mr. Hoa, Mr. Ngo, Mr. Phuoc.<br>- No voting opinion:<br>Mr. Kien, Mr. Hiep. |
| 20. | Resolution No. 19/NQ-HĐQT.NK24-29 | 25/07/2024 | Approval of the purchase of a truck for transporting company materials.  | 60% approval with:<br>- In favor: Mr. Hoa, Mr. Ngo, Mr. Phuoc.<br>- No voting opinion:<br>Mr. Kien, Mr. Hiep. |
| 21. | Resolution No. 20/NQ-HĐQT.NK24-29 | 25/07/2024 | Change the time of the 2024 Annual General Meeting.  | 60% approval with:<br>- In favor: Mr. Hoa, Mr. Ngo, Mr. Phuoc.<br>- No voting opinion:<br>Mr. Kien, Mr. Hiep. |
| 22. | Resolution No. 21/NQ-HĐQT.NK24-29 | 26/07/2024 | Approve investment in a wastewater treatment system for subsidiary water plants.   | 60% approval with:<br>- Approved by Mr. Hoa, Ngo, Phuoc.<br>- No voting opinion from Mr.:<br>Kien, Hiep.      |
| 23. | Resolution No. 22/NQ-HĐQT.NK24-29 | 26/07/2024 | Approve investment policy for a treatment system to improve clean water quality for subsidiary water plants.   | 60% approval with:<br>- Approved by Mr. Hoa, Ngo, Phuoc.<br>- No voting opinion from Mr.:<br>Kien, Hiep.      |
| 24. | Resolution No. 23/NQ-HĐQT.NK24-29 | 30/07/2024 | Approve the implementation of sludge dredging and sludge waste transportation at the water reservoir of Nguyen Chi Thanh Enterprise.   | 60% approval with:<br>- Approved by Mr. Hoa, Ngo, Phuoc.<br>- No voting opinion from Mr.:<br>Kien, Hiep.      |
| 25. | Resolution No. 24/NQ-HĐQT.NK24-29 | 30/07/2024 | Approve the sale and disposal of a 7-seater car and a crawler excavator.   | 60% approval with:<br>- Approved by Mr. Hoa, Ngo, Phuoc.<br>- No voting opinion                               |

| No. | Number of Resolutions/Decisions          | Date       | Content  | Approval Rate   |
|-----|--|------------|--|---|
|     |  |            |  | from Mr.:<br>Kien, Hiep.  |
| 26. | Resolution No.<br>25/NQ-<br>HĐQT.NK24-29 | 20/08/2024 | Approve the implementation of the Vinh Chau Town Water Supply System Project; the 30/4 Street extended pipeline section.   | 60% approval with:<br>- Approved by Mr. Hoa, Ngo, Phuoc.<br>- No voting opinion from Mr.:<br>Kien, Hiep.      |
| 27. | Resolution No.<br>26/NQ-<br>HĐQT.NK24-29 | 23/08/2024 | Approve the Proposal No. 472, 473, 474/TTr-CN dated August 19, 2024, from the Board of Management regarding the approval of the 2023 profit distribution of Sotraco Enterprise, the budget for purchasing Mid-Autumn Festival gifts, and the expenditure from the welfare fund for September 2, 2024 | 60% approval with:<br>- Approved by Mr. Hoa, Ngo, Phuoc.<br>- No voting opinion from Mr.:<br>Kien, Hiep.      |
| 28. | Resolution No.<br>27/NQ-<br>HĐQT.NK24-29 | 05/09/2024 | Approve hiring a consulting firm to prepare an environmental impact assessment report for the NCT Water Plant and the Industrial Park Plant.   | 60% approval with:<br>- Approved by Mr. Hoa, Ngo, Phuoc.<br>- No voting opinion from Mr.:<br>Kien, Hiep.      |
| 29. | Resolution No.<br>28/NQ-<br>HĐQT.NK24-29 | 24/09/2024 | Pay 2022 Cash dividends to the company's shareholders.   | 100% of the Board of Directors members attending the meeting approved.  |
| 30. | Resolution No.<br>29/NQ-<br>HĐQT.NK24-29 | 24/09/2024 | Development of the contents approved at the Board of Directors meeting on 23/9/2024.   | 100% of the Board of Directors members attending the meeting approved.  |
| 31. | Resolution No.<br>30/NQ-<br>HĐQT.NK24-29 | 27/09/2024 | Approve the budget for employee uniforms in 2024.  | 60% approval with:<br>- Approved by Mr. Hoa, Ngo, Phuoc.<br>- No voting opinion include Mr.:<br>Kien, Hiep.   |
| 32. | Resolution No.<br>31/NQ-<br>HĐQT.NK24-29 | 16/10/2024 | Approval of financial support for the grassroots Trade Union to organize activities on the occasion of the establishment of the Vietnam Women's Union on 20/10   | 60% passed with:<br>- Approvals from Mr.: Hoa, Ngo, Phuoc.<br>- No voting opinion include Mr.:<br>Kien, Hiep. |
| 33. | Resolution No.<br>32/NQ-                 | 16/10/2024 | Approval of the policy to expand and renovate the network as   | 60% passed with:<br>- Approvals from  |



| No. | Number of Resolutions/Decisions   | Date       | Content  | Approval Rate  |
|-----|-----------------------------------|------------|--|--|
|     | HĐQT.NK24-29                      |            | proposed by Phu Loi, Ke Sach, and Vinh Chau Civil group/Clusters   | Mr.: Hoa, Ngo, Phuoc.<br>- No voting opinion include Mr.: Kien, Hiep.                                      |
| 34. | Resolution No. 33/NQ-HĐQT.NK24-29 | 01/11/2024 | Approval of the 2024 payroll fund  | 60% passed with:<br>- Approvals from Mr.: Hoa, Ngo, Phuoc.<br>- No voting opinion include Mr.: Kien, Hiep. |
| 35. | Resolution No. 34/NQ-HĐQT.NK24-29 | 04/11/2024 | Approval to hire a consulting unit to prepare an environmental impact assessment report for the Hai Ngu Water Supply Station | 60% passed with:<br>- Approvals from Mr.: Hoa, Ngo, Phuoc.<br>- No voting opinion include Mr.: Kien, Hiep. |
| 36. | Resolution No. 35/NQ-HĐQT.NK24-29 | 22/11/2024 | Disbursement for 2023 rewards  | 60% passed with:<br>- Approvals from Mr.: Hoa, Ngo, Phuoc.<br>- No voting opinion include Mr.: Kien, Hiep. |
| 37. | Resolution No. 36/NQ-HĐQT.NK24-29 | 02/12/2024 | Development of the contents approved at the Board of Directors meeting on 02/12/2024   | 100% of the Board of Directors members attending the meeting approved                                      |
| 38. | Resolution No. 37/NQ-HĐQT.NK24-29 | 02/12/2024 | Issuance of the Company's Financial Regulations  | 100% of the Board of Directors members attending the meeting approved                                      |
| 39. | Resolution No. 38/NQ-HĐQT.NK24-29 | 02/12/2024 | Issuance of the Company's Welfare fund Management Regulations  | 100% of the Board of Directors members attending the meeting approved                                      |
| 40. | Resolution No. 39/NQ-HĐQT.NK24-29 | 02/12/2024 | Issuance of the Company's Bonus fund Management Regulations  | 100% of the Board of Directors members attending the meeting approved                                      |
| 41. | Resolution No. 40/NQ-HĐQT.NK24-29 | 03/12/2024 | Issuance of the Regulations on Information Disclosure  | 100% of the Board of Directors members attending the meeting approved                                      |
| 42. | Resolution No.                    | 03/12/2024 | Convening an extraordinary   | 100% of the Board of   |

| No. | Number of Resolutions/Decisions   | Date       | Content  | Approval Rate   |
|-----|-----------------------------------|------------|--|---|
|     | 41/NQ-HDQT.NK24-29                |            | General Meeting of Shareholders  | Directors members attending the meeting approved  |
| 43. | Resolution No. 42/NQ-HDQT.NK24-29 | 03/12/2024 | Cash dividends payment for the year 2023 to the Company's shareholders   | 100% of the members of the Board of Directors attended and approved the meeting.                          |
| 44. | Resolution No. 43/NQ-HDQT.NK24-29 | 31/12/2024 | Approve the policy of supplementing surface water sources for Phong Nam Water Supply Station, with an extraction capacity of 1,500m <sup>3</sup> /day - night. | 60% approval with:<br>- Approved by Mr. Hoa, Ngo, Phuoc.<br>- No voting opinions include Mr.: Kien, Hiep. |

### III. Board of Supervisors

#### 1. Information on Member of the Board of Supervisors

| No. | Member of the Board of Supervisors | Position  | Start Date/No longer a Member of the Board of Supervisors |           | Qualification  |
|-----|------------------------------------|---|---|-----------|--|
|     |                                    |   | Start Date  | End Date  |  |
| 1   | Nguyen Hoai Bao Khanh              | Held the position of Head of the BoS from 03/6/2024               | 16/5/2024   |           | Bachelor of Accounting   |
| 2   | Tran Thanh Nhan                    | Deputy Head of the BoS  | 16/5/2024   |           | Bachelor of Information Technology, Intermediate Business Accounting, Intermediate Water Supply and Drainage |
| 3   | Nguyen Minh Phuong                 | Member  | 16/5/2024   |           | Master of Economic Management  |
| 4   | Vu Tien Bo                         | Held the position of Head of the BoS from 28/12/2017 to 16/5/2024 | 28/12/2017  | 16/5/2024 | Bachelor of Finance and Accounting   |
| 5   | Nguyen Trong Kien                  | Member  | 25/4/2022   | 16/5/2024 | Bachelor of Economics  |



## 2. Meetings of Board of Supervisors

| No. | Member of the Board of Supervisors | Number of BoS meetings attended | Meeting attendance rate | Reasons for absence   |
|-----|------------------------------------|---------------------------------|-------------------------|-----------------------|
| 1   | Nguyen Hoai Bao Khanh              | 3/3                             | 100%                    |                       |
| 2   | Tran Thanh Nhan                    | 7/7                             | 100%                    |                       |
| 3   | Nguyen Minh Phuong                 | 0/3                             | 0%                      | Absent without reason |
| 4   | Vu Tien Bo                         | 4/4                             | 100%                    |                       |
| 5   | Nguyen Trong Kien                  | 4/4                             | 100%                    |                       |

## 3. Supervising Board of Directors, Board of Management and shareholders by Board of Supervisors:

Due to changes in the members of the Board of Supervisors in the first 6 months of 2024, which caused some difficulties in performing tasks, mainly monitoring the content of Board of Directors meetings to keep up with the Company's operating situation; conducted inspections and supervised the financial and business situation in 2024;

During the 2024-2029 term, up to the present time, the Board of Supervisors has received 08 invitations to the Board of Directors meetings (04 invitations for the 1st meeting and 04 invitations for the 2nd meeting). In all 04 first meetings, the quorum was not met because 02 Members of the Board of Directors, Mr. Duong Ngo Hiep and Mr. Nguyen Trong Kien, did not attend the meetings (no reason provided). The second meetings were held with 3/5 Members of the Board of Directors in attendance: Mr. Tran Anh Hoa, Mr. Dang Van Ngo, and Mr. Ong Hai Phuoc (representing 60%, meeting the quorum requirement according to the Company Charter). 02 Members of the Board of Directors, Mr. Duong Ngo Hiep and Mr. Nguyen Trong Kien, were absent for unknown reasons.

## 4. The coordination among the Board of Supervisors, Audit Committee, the Board of Management, Board of Directors and other managers:

The Board of Supervisors has received cooperation and facilitation from the Board of Directors, the General Director Board, and functional departments of the Company in updating the Company's operational status and collecting information and documents for inspection and supervision purposes.

The Board of Supervisors maintains internal communication with the Board of Directors and the General Director Board to ensure that the Company's operations are appropriate and meet the shareholders' interests.

## 5. Other activities of the Board of Supervisors:

Organizing regular and ad-hoc meetings to assign tasks and develop work according to assigned functions, duties, and authorities.

Issuing the Board of Supervisors' operating regulations.

Notifying the Board of Directors and the Board of Supervisors of the company with 02 official documents regarding Members of the Board of Directors and the Board of

Supervisors for the 2024-2029 term not participating in activities for 6 consecutive months.

#### IV. Board of Management

| No. | Member of Board of Management | Position                | Date of birth | Qualification   | Date of appointment/dismissal |
|-----|-------------------------------|-------------------------|---------------|---|-------------------------------|
| 1   | Dang Van Ngo                  | General Director        | 10/6/1965     | Engineer of Water Supply and Drainage                   | 10/01/2018                    |
| 2   | Tran Anh Hoa                  | Deputy General Director | 12/12/1972    | Bachelor of Laws; Engineer of Water Supply and Drainage | 10/01/2018                    |
| 3   | Ong Hai Phuoc                 | Deputy General Director | 05/11/1965    | Engineer of Water Supply and Drainage                   | 10/01/2018                    |

#### V. Chief Accountant

| Full name          | Date of birth | Qualification                            | Date of appointment |
|--------------------|---------------|--|---------------------|
| Vo Thi Phuong Dieu | 08/6/1981     | Bachelor of Laws, Bachelor of Accounting | 10/01/2018          |

#### VI. Training courses on corporate governance: None

#### VII. List of affiliated persons of the public company and transactions of affiliated persons of the Company

1. List of affiliated persons of the company: (Attached in Appendix 1)
2. Transactions between the company and its affiliated persons or between the company and major shareholders and between the company and internal persons: (Attached in Appendix 2)
3. Transactions between internal persons, affiliated persons of internal persons with subsidiaries, companies controlled by the company: None
4. Transactions between the company and other entities
  - 4.1. Transactions between the company and companies where members of the Board of Directors, members of the Board of Supervisors, Directors (General Director) have been and are founding members or members of the Board of Directors, Directors (General Director) within the last three (03) years (calculated at the time of reporting): None.
  - 4.2. Transactions between the company and companies where affiliated persons of members of the Board of Directors, members of the Board of Supervisors, Directors (General Director) are members of the Board of Directors, Directors (General Director): None.
  - 4.3. Other transactions of the company (if any) that may bring material or immaterial benefits to members of the Board of Directors, members of the Board of Supervisors, Directors (General Director): None.



**VIII. Share transactions of internal persons and affiliated persons of internal persons:**

1. List of internal persons and affiliated persons of internal persons: (Attached in Appendix 3)
2. Transactions of internal persons and affiliated persons with the listed shares of the company: (Attached in Appendix 4)

**IX. Other significant issues: None.**

**Recipients:**

- As above;
- BOD, Member of the Board of Supervisors, BOM;
- File: VT, HC.

**CHAIRMAN OF THE BOARD OF DIRECTORS**



**Tran Anh Hoa**



### Appendix 1: List of affiliated persons of the company

(Attached to Report No. 76...../BC-CN dated 24.../...01/2025 of Soc Trang Water Supply Joint Stock Company)

| No. | Name of organization/<br>individual           | Securities<br>trading<br>account | Position<br>at the<br>company  | NSH No.*,<br>date of issue,<br>place of issue | Address  | Time of<br>starting to<br>be<br>affiliated<br>person | Time of<br>ending to<br>be<br>affiliated<br>person   | Reason                                    | Relationshi<br>p with the<br>company |
|-----|---|----------------------------------|--|---|--|--|--|---|--------------------------------------|
| 1   | Soc Trang<br>Provincial People's<br>Committee |                                  | State<br>shareholder   |   | No. 01 Chau Van Tiep, Ward 2,<br>Soc Trang City                                | 28/12/2017   |  |   | State<br>shareholder                 |
| 2   | VBIC Vietnam<br>Joint Stock<br>Company        |                                  | Major<br>shareholder   | 0108307727                                    | No. 85 Nguyen Van Troi Street, Ward<br>Phuong Liet, District Thanh Xuan, Hanoi | 07/7/2020  |  |   | Major<br>shareholder                 |
| 3   | Nghiem Thi Le<br>Hang                         |                                  | Major<br>shareholder   | 01919701004<br>0                              | Thanh Lap Civil group/Cluster, Ba Hang,<br>Pho Yen city, Thai Nguyen           | 23/8/2023  |  |   | Major<br>shareholder                 |
| 4   | Nguyen Quang<br>Mai                           |                                  | Chairman of<br>the Board of<br>Directors<br>(term 2017-<br>2022),<br>Deputy<br>General<br>Director | 02407700032<br>5                              | 848 La Thanh Street, Giang Vo,<br>Ba Dinh, Hanoi                               | 28/12/2017   | - Dismissed<br>as<br>Chairman<br>of the<br>Board of<br>Directors,<br>Member of<br>the Board<br>of Directors<br>from<br>16/5/2024<br>- Dismissed<br>as Deputy<br>General<br>Director<br>from<br>29/5/2024 | Dismissed<br>due to the<br>end of<br>term |                                      |



| No.  | Name of organization/<br>individual | Securities trading account | Position at the company | NSH No.*, date of issue, place of issue | Address  | Time of starting to be affiliated person | Time of ending to be affiliated person | Reason | Relationship with the company |
|------|-------------------------------------|----------------------------|-------------------------|---|--|--|--|--------|-------------------------------|
| 4.1  | Nguyen Quang Uyen                   |                            |                         | Deceased                                | -  |  |  |        | Father                        |
| 4.2  | Duong Thi Cuc                       |                            |                         | 02414700358<br>7                        | Dong Gai, Ngoc Van, Tan Yen, Bac Giang                         |  |  |        | Mother                        |
| 4.3  | Nguyen Xuan Got                     |                            |                         | 142866231                               |  |  |  |        | Father-in-law (wife's side)   |
| 4.4  | Nguyen Thi Ngoan                    |                            |                         | 03015700051<br>3                        |  |  |  |        | Mother-in-law (wife's side)   |
| 4.5  | Nguyen Thi Cuc                      |                            |                         | C6445896                                | 848 La Thanh Street, Giang Vo, Ba Dinh, Hanoi                  |  |  |        | Wife                          |
| 4.6  | Nguyen Linh Phuong                  |                            |                         | N2284892                                | 848 La Thanh Street, Giang Vo, Ba Dinh, Hanoi                  |  |  |        | Daughter                      |
| 4.7  | Nguyen Khanh Van                    |                            |                         | N2284891                                | 848 La Thanh Street, Giang Vo, Ba Dinh, Hanoi                  |  |  |        | Daughter                      |
| 4.8  | Nguyen Bao Anh                      |                            |                         | -                                       | 848 La Thanh Street, Giang Vo, Ba Dinh, Hanoi                  |  |  |        | Daughter                      |
| 4.9  | Nguyen Quang An                     |                            |                         | -                                       | 848 La Thanh Street, Giang Vo, Ba Dinh, Hanoi                  |  |  |        | Son                           |
| 4.10 | Nguyen Thi Yen                      |                            |                         | 02417100289<br>3                        | Ngoc Van Commune, Tan Yen , Bac Giang Province                 |  |  |        | Elder Sister                  |
| 4.11 | Nguyen Thi Uy                       |                            |                         | 02417300007<br>8                        | No. 9, Alley 155/74/20 Truong Chinh Street, Thanh Xuan , Hanoi |  |  |        | Elder Sister                  |
| 4.12 | Nguyen Thi Mai Hoa                  |                            |                         | 02417500258<br>7                        | Cao Thuong Town, Tan Yen , Bac Giang Province                  |  |  |        | Elder Sister                  |
| 4.13 | Nhu Van Khoa                        |                            |                         | 02406800243<br>3                        |  |  |  |        | Elder brother-in-             |

| No.  | Name of organization/<br>individual | Securities trading account | Position at the company | NSH No.*,<br>date of issue,<br>place of issue | Address   | Time of starting to be affiliated person | Time of ending to be affiliated person | Reason | Relationship with the company  |
|------|-------------------------------------|----------------------------|-------------------------|---|---|--|--|--------|--|
|      |                                     |                            |                         |   |   |  |  |        | law  |
| 4.14 | Than Nhan Lam                       |                            |                         | 02406800940<br>3                              |   |  |  |        | Elder brother-in-law   |
| 4.15 | Nguyen Huu Tan                      |                            |                         | 02407201113<br>8                              |   |  |  |        | Elder brother-in-law   |
| 4.16 | VBIC Vietnam Joint Stock Company    |                            |                         | 0108307727                                    | No. 85 Nguyen Van Troi Street, Ward Phuong Liet, District Thanh Xuan, Hanoi |  |  |        | Mr. Nguyen Quang Mai is the representative managing the contributed capital and Chairman of the Board of Directors of VBIC Vietnam Joint Stock Company |
| 4.17 | Dong A International Corporation    |                            |                         | 0101933309                                    | No. 85 Nguyen Van Troi Street, Phuong Liet , Thanh Xuan , Hanoi             |  |  |        | Mr. Nguyen Quang Mai is the Chairman of the Board of Directors of Dong A International   |



| No.  | Name of organization/<br>individual                        | Securities trading account | Position at the company | NSH No.*, date of issue, place of issue | Address  | Time of starting to be affiliated person | Time of ending to be affiliated person | Reason | Relationship with the company  |
|------|--|----------------------------|-------------------------|---|--|--|--|--------|--|
|      |  |                            |                         |   |  |  |  |        | Group Joint Stock Company  |
| 4.18 | Ngo Vietnam Investment and Development Joint Stock Company |                            |                         | 0500468572                              | No. 231 Nguyen Thai Hoc Street, Phung Town, Dan Phuong , Hanoi   |  |  |        | Mr. Nguyen Quang Mai is the Chairman of the Board of Directors of Ngo Vietnam Investment and Development Joint Stock Company |
| 4.19 | Thai Nguyen Water Joint Stock Company                      |                            |                         | 4600100109                              | Cluster 01, Trung Vuong , Thai Nguyen City, Thai Nguyen Province |  |  |        | Mr. Nguyen Quang Mai is the Chairman of the Board of Directors of Thai Nguyen Clean Water Joint Stock Company                |

| No.  | Name of organization/<br>individual | Securities trading account | Position at the company                            | NSH No.*, date of issue, place of issue | Address  | Time of starting to be affiliated person | Time of ending to be affiliated person | Reason | Relationship with the company   |
|------|-------------------------------------|----------------------------|--|---|--|--|--|--------|---|
| 4.20 | DOINCO Vietnam Joint Stock Company  |                            |  | 0108688649                              | No. 15, Alley 155/74/20, Truong Chinh Street, Phuong Liet , Thanh Xuan , Hanoi   |  |  |        | Mr. Nguyen Quang Mai is the Chairman of DOINCO Vietnam Company                                    |
| 4.21 | Soc Trang Water Joint Stock Company |                            |  | 2200680885                              | D4 Street, An Nghiep Industrial Park, Ward 7, Soc Trang City, Soc Trang Province |  |  |        | Mr. Nguyen Quang Mai is a Member of the Board of Directors of Soc Trang Water Joint Stock Company |
| 5    | <b>Dang Van Ngo</b>                 |                            | Member of the Board of Directors, General Director | 09406500748<br>7                        | No. 30, Street 6, 5A Residential Area, Ward 4, Soc Trang City                    | 28/12/2017                               |  |        |   |
| 5.1  | Dang Van Bay                        |                            |  | Deceased                                | -  |  |  |        | Father  |
| 5.2  | Tran Thi Xuan                       |                            |  | Deceased                                | -  |  |  |        | Mother  |
| 5.3  | Le Thi Phuong Dung                  |                            |  | 09416701202<br>2                        | No. 30, Street 6, 5A Residential Area, Ward 4, Soc Trang City                    |  |  |        | Wife  |
| 5.4  | Dang Nhu Y                          |                            | Deputy Director of the Factory                     | 09419100604<br>2                        | No. 30, Street 6, 5A Residential Area, Ward 4, Soc Trang City                    |  |  |        | Daughter  |



| No. | Name of organization/<br>individual | Securities trading account | Position at the company                                     | NSH No.*, date of issue, place of issue | Address   | Time of starting to be affiliated person | Time of ending to be affiliated person | Reason | Relationship with the company |
|-----|-------------------------------------|----------------------------|---|---|---|--|--|--------|-------------------------------|
| 5.5 | Tran Hong Trong                     |                            |   | 09408600367<br>4                        | No. 30, Street 6, 5A Residential Area, Ward 4, Soc Trang City |  |  |        | Child in law                  |
| 5.6 | Dang Nhu Ngoc                       |                            |   | K0144187                                | San Diego, California, USA                                    |  |  |        | Daughter                      |
| 5.7 | Ly Co Minh Quan                     |                            |   | 542991792                               | San Diego, California, USA                                    |  |  |        | Child in law                  |
| 6   | <b>Tran Anh Hoa</b>                 |                            | Chairman of the Board of Directors, Deputy General Director | 08207201183<br>3                        | 101/8 Hung Vuong, Ward 6, Soc Trang City                      | 28/12/2017                               |  |        |                               |
| 6.1 | Tran Kiem Hung                      |                            |   | Deceased                                |   |  |  |        | Father                        |
| 6.2 | Do Thi Quang                        |                            |   | 08614500281<br>3                        | 101/8 Hung Vuong, Ward 6, City.ST                             |  |  |        | Mother                        |
| 6.3 | Nguyen Ngoc My Hong                 |                            | Staff of the Corporate Governance Department                | 09417400812<br>1                        | 101/8 Hung Vuong, Ward 6, City.ST                             |  |  |        | Wife                          |
| 6.4 | Tran Anh Huy                        |                            |   | 09420101339<br>6                        | 101/8 Hung Vuong, Ward 6, City.ST                             |  |  |        | Son                           |
| 6.5 | Tran Minh Huy                       |                            |   | 09420801321<br>4                        | 101/8 Hung Vuong, Ward 6, City.ST                             |  |  |        | Son                           |
| 6.6 | Tran Anh Hong                       |                            |   | 09416301137<br>7                        | 93 Cau Den, Ward 8, Soc Trang City                            |  |  |        | Order Sibling                 |
| 6.7 | Bui Khanh Hung                      |                            |   | 09406301118<br>6                        | 93 Cau Den, Ward 8, Soc Trang City                            |  |  |        | Elder brother-in-law          |

| No.  | Name of organization/<br>individual     | Securities trading account | Position at the company | NSH No.*, date of issue, place of issue | Address   | Time of starting to be affiliated person | Time of ending to be affiliated person | Reason | Relationship with the company   |
|------|---|----------------------------|-------------------------|---|---|--|--|--------|---|
| 6.8  | Tran Thuy Hong                          |                            |                         | PB6449928                               | Settled in Australia  |  |  |        | Order Sibling   |
| 6.9  | Le Hoang Vu                             |                            |                         | PA5723413                               | Settled in Australia  |  |  |        | Elder brother-in-law  |
| 6.10 | Tran Anh Hien                           |                            | Factory Director        | 07906703461<br>5                        | Hamlet 2, Long Phu Town, Long Phu ,<br>Soc Trang                    |  |  |        | Order Sibling   |
| 6.11 | Nguyen Kim Loan                         |                            |                         | 09417100561<br>5                        | Hamlet 2, Long Phu Town, Long Phu ,<br>Soc Trang                    |  |  |        | Elder sister-in-law   |
| 6.12 | Tran Phuong Hong                        |                            |                         | 09417000384<br>3                        | 83/8 Nguyen Thi Minh Khai, Ward 3,<br>City.ST                       |  |  |        | Order Sibling   |
| 6.13 | Nguyen Viet Ba                          |                            |                         | 09406000934<br>0                        | 83/8 Nguyen Thi Minh Khai, Ward 3,<br>City.ST                       |  |  |        | Elder brother-in-law  |
| 6.14 | Nguyen Ngoc Hien                        |                            |                         | Deceased                                |   |  |  |        | Mother-in-law (wife's side)   |
| 6.15 | Nguyen Ngoc Kiem                        |                            |                         | Deceased                                |   |  |  |        | Mother-in-law (wife's side)   |
| 6.16 | Soc Trang Provincial People's Committee |                            |                         | -                                       | No. 1, Chau Van Tiep, Ward 2,<br>Soc Trang City, Soc Trang Province | 26/6/2023                                |  |        | Mr. Tran Anh Hoa is the representative managing the State's capital portion |



| No.  | Name of organization/<br>individual                       | Securities trading account | Position at the company                            | NSH No.*, date of issue, place of issue | Address   | Time of starting to be affiliated person | Time of ending to be affiliated person | Reason                       | Relationship with the company   |
|------|---|----------------------------|--|---|---|--|--|------------------------------|---|
| 6.17 | Trade Union of Soc Trang Water Supply Joint Stock Company |                            |  | -                                       | No. 16 Nguyen Chi Thanh, Ward 6, Soc Trang City                   | 28/12/2017                               |  |                              | Mr. Tran Anh Hoa is the representative managing the capital portion of the grassroots Trade Union |
| 7    | <b>Nguyen Trong Hieu</b>                                  |                            | Member of the Board of Directors (term 2017-2022), | 00107301832<br>2                        | No. 11, Alley 44, Dai La Street, Truong Dinh, Hai Ba Trung, Hanoi | 28/12/2017                               | 16/5/2024                              | Dismissed due to end of term |   |
| 7.1  | Nguyen Duc Hung   |                            |  | 01048001735                             | No. 23, Alley 44, Dai La Street, Truong Dinh, Hai Ba Trung, Hanoi |  |  |                              | Father  |
| 7.2  | Do Thi Thinh  |                            |  | 03515000019<br>1                        | No. 23, Lane 44, Dai La Street, Truong Dinh, Hai Ba Trung, Hanoi  |  |  |                              | Mother  |
| 7.3  | Tran Thanh Ha   |                            |  | 011702757                               | No. 11, Alley 44, Dai La Street, Truong Dinh, Hai Ba Trung, Hanoi |  |  |                              | Wife  |
| 7.4  | Nguyen Hieu Minh  |                            |  | -                                       | No. 11, Alley 44, Dai La Street, Truong Dinh, Hai Ba Trung, Hanoi |  |  |                              | Son   |
| 7.5  | Nguyen Ha Phuong  |                            |  | -                                       | No. 11, Alley 44, Dai La Street, Truong Dinh, Hai Ba Trung, Hanoi |  |  |                              | Daughter  |
| 7.6  | Nguyen Manh Dung  |                            |  | 011764195                               | No. 23, Lane 44, Dai La Street, Truong Dinh, Hai Ba Trung, Hanoi  |  |  |                              | Elder brother-in-law  |
| 7.7  | Nguyen Thanh Tuan   |                            |  | 00108402295                             | No. 23, Lane 44, Dai La Street, Truong                            |  |  |                              | Younger   |

| No. | Name of organization/<br>individual | Securities trading account | Position at the company                                   | NSH No.*, date of issue, place of issue | Address  | Time of starting to be affiliated person | Time of ending to be affiliated person | Reason | Relationship with the company   |
|-----|-------------------------------------|----------------------------|---|---|--|--|--|--------|---|
|     |                                     |                            |   | 9                                       | Dinh , Hai Ba Trung , Hanoi                                      |  |  |        | Sibling   |
| 7.8 | Hawaco Joint Stock Company          |                            |   |   |  |  |  |        | Mr. Nguyen Trong Hieu holds the position of General Director, Section 7.8 |
| 8   | Ong Hai Phuoc                       |                            | Member of the Board of Directors, Deputy General Director | 09406500419<br>7                        | 263/41 Phu Loi, K3, Ward 2, Soc Trang City, Soc Trang Province   | 10/01/2018                               |  |        |   |
| 8.1 | Ong Hung                            |                            |   | Deceased                                |  |  |  |        | Father  |
| 8.2 | Quach Thi Kiem                      |                            |   | Deceased                                |  |  |  |        | Mother  |
| 8.3 | Le Thi Yen Ngoc                     |                            |   | 09416701201<br>3                        | 263/41 Phu Loi, K3, Ward 2, Soc Trang City, Soc Trang Province   |  |  |        | Wife  |
| 8.4 | Ong Hai Nguyen                      |                            |   | 09409101064<br>6                        | 263/41 Phu Loi, K3, Ward 2, Soc Trang City, Soc Trang Province   |  |  |        | Child   |
| 8.5 | Chau Tran Quynh Trang               |                            |   | 09419100082<br>2                        | 263/41 Phu Loi, K3, Ward 2, Soc Trang City, Soc Trang Province   |  |  |        | Child in law  |
| 8.6 | Ong Thi Lang                        |                            |   | 09415600460<br>9                        | 2/26 Le Vinh Hoa, K2, Ward 8, Soc Trang City, Soc Trang Province |  |  |        | Order Sibling   |
| 8.7 | Ong Hai Chau                        |                            |   | 09415800066<br>1                        | 155/1 Nguyen Hue, Ward 1, Soc Trang City, Soc Trang Province     |  |  |        | Order Sibling   |



| No.  | Name of organization/<br>individual      | Securities trading account | Position at the company | NSH No.*, date of issue, place of issue | Address  | Time of starting to be affiliated person | Time of ending to be affiliated person | Reason      | Relationship with the company   |
|------|--|----------------------------|-------------------------|---|--|--|--|-------------|---|
| 8.8  | Ong Van Bau                              |                            |                         | 094049001756                            | 155/1 Nguyen Hue, Ward 1, Soc Trang City, Soc Trang Province                 |  |  |             | Elder brother-in-law  |
| 8.9  | Ong Hai Khen                             |                            |                         | 087062013698                            | No. 007, My Phu Dat Lien Cluster, My Tho Town, Cao Lanh , Dong Thap Province |  |  |             | Order Sibling   |
| 8.10 | Duong Thi Thao                           |                            |                         | 087160012942                            | No. 007, My Phu Dat Lien Cluster, My Tho Town, Cao Lanh , Dong Thap Province |  |  |             | Elder sister-in-law   |
| 8.11 | Ong Ngoc Sen                             |                            |                         | 094164009019                            | 83 Tran Van Hoa, K2, Ward 8, Soc Trang City, Soc Trang Province              |  |  |             | Order Sibling   |
| 8.12 | Ong Phat Tai                             |                            | Factory Director        | 094070013178                            | 83 Tran Van Hoa, K2, Ward 8, Soc Trang City, Soc Trang Province              |  |  |             | Younger Sibling   |
| 8.13 | Mai Tiet Anh                             |                            |                         | 094181004306                            | 83 Tran Van Hoa, K2, Ward 8, Soc Trang City, Soc Trang Province              |  |  |             | Younger sister-in-law   |
| 8.14 | Ong Tai Thuan                            |                            |                         | 094072020914                            | 302 Mac Dinh Chi, K4, Ward 9, Soc Trang City, Soc Trang Province             |  |  |             | Younger Sibling   |
| 8.15 | Tran Ngoc Thuy                           |                            |                         | 094169012988                            | 302 Mac Dinh Chi, K4, Ward 9, Soc Trang City, Soc Trang Province             |  |  |             | Younger sister-in-law   |
| 8.16 | People's Committee of Soc Trang Province |                            |                         | -                                       | No. 1, Chau Van Tiep, Ward 2, Soc Trang City, Soc Trang Province             |  |  |             | Mr. Ong Hai Phuoc acts as the representative managing the State's capital portion |
| 9    | Nguyen Trong Kien                        |                            | Member of the Board of  | 024079004027                            | Cluster 9, Ward Long Bien, District Long Bien , Hanoi City                   | Joined the Board of                      | Dismissed from the                     | End of term |   |

| No. | Name of organization/<br>individual | Securities trading account | Position at the company | NSH No.*, date of issue, place of issue | Address  | Time of starting to be affiliated person    | Time of ending to be affiliated person | Reason   | Relationship with the company   |
|-----|-------------------------------------|----------------------------|-------------------------|---|--|---|--|--|---|
|     |                                     |                            | Directors               |   |  | Supervisors :<br>25/4/2022                  | Board of Supervisors:<br>16/5/2024     |  |   |
|     |                                     |                            |                         |   |  | Joined the Board of Directors:<br>16/5/2024 |  | Elected to the Board of Directors for the term 2024-2029 |   |
| 9.1 | Nguyen Trong Yen                    |                            |                         | 024051000650                            | Lam Cot Commune, Tan Yen, Bac Giang Province                       |   |  |  | Father  |
| 9.2 | Dao Thi Lien                        |                            |                         | 024155001645                            | Lam Cot Commune, Tan Yen, Bac Giang Province                       |   |  |  | Mother  |
| 9.3 | Pham Thi Huong                      |                            |                         | 038181010678                            | Cluster 9, Ward Long Bien, District Long Bien, Hanoi City          |   |  |  | Wife  |
| 9.4 | Nguyen Quang Vinh                   |                            |                         | -                                       | Cluster 9, Ward Long Bien , District Long Bien , Hanoi City        |   |  |  | Child   |
| 9.5 | Nguyen Khanh Vy                     |                            |                         | -                                       | Cluster 9, Ward Long Bien , District Long Bien , Hanoi City        |   |  |  | Child   |
| 9.6 | VBIC Vietnam Joint Stock Company    |                            |                         | 0108307727                              | No. 85 Nguyen Van Troi Street, Ward Phuong Liet, Thanh Xuan, Hanoi |   |  |  | Mr. Nguyen Trong Kien is the General Director of VBIC Vietnam Joint Stock Company |

| No.  | Name of organization/<br>individual  | Securities trading account | Position at the company          | NSH No.*, date of issue, place of issue | Address  | Time of starting to be affiliated person | Time of ending to be affiliated person | Reason   | Relationship with the company     |
|------|--|----------------------------|----------------------------------|---|--|--|--|--|-----------------------------------|
| 10   | Duong Ngo Hiep   |                            | Member of the Board of Directors | 02407701593<br>9                        | Ngoc Van Commune, Tan Yen ,<br>Bac Giang Province    | 16/5/2024                                |  | Elected to the Board of Directors for the term 2024-2029 |                                   |
| 10.1 | <p>The Company sent Official Letter No. 07/CN-HĐQT dated January 7, 2025, from the Company's Board of Directors, requesting the provision of a list of major shareholders and their close relatives.</p> <p>However, Mr. Duong Ngo Hiep still did not provide information on close relatives for the Company to update and report.</p> |                            |                                  |   |  |  |  |  |                                   |
| 11   | Vo Thi Phuong Dieu   |                            | Chief Accountant                 | 09418101098<br>4                        | No. 101/11 Hung Vuong, Ward 6,<br>Soc Trang City     | 10/01/2018                               |  |  |                                   |
| 11.1 | Vo Ba Duong  |                            |                                  | 09405300488<br>8                        | No. 14 Vanh Dai, Ward 6, Soc Trang City              |  |  |  | Father                            |
| 11.2 | Duong Thi Lang   |                            |                                  | 09415600297<br>1                        | No. 14 Vanh Dai, Ward 6, Soc Trang City              |  |  |  | Mother                            |
| 11.3 | Chau Van Sanh  |                            |                                  | 09405100201<br>1                        | 71, Xo Viet Nghe Tinh, K3, Ward 7,<br>Soc Trang City |  |  |  | Father-in-law<br>(husband's side) |
| 11.4 | Tran Toi Ty  |                            |                                  | 09415500798<br>9                        | 71, Xo Viet Nghe Tinh, K3, Ward 7,<br>Soc Trang City |  |  |  | Mother-in-law<br>(husband's side) |
| 11.5 | Chau Nhut Thanh  |                            |                                  | 09407800070<br>3                        | No. 101/11 Hung Vuong, Ward 6,<br>Soc Trang City     |  |  |  | Husband                           |
| 11.6 | Chau Ngoc Mai  |                            |                                  | 09430700347<br>4                        | No. 101/11 Hung Vuong, Ward 6,<br>Soc Trang City     |  |  |  | Daughter                          |



| No.  | Name of organization/<br>individual | Securities trading account | Position at the company                            | NSH No.*, date of issue, place of issue | Address   | Time of starting to be affiliated person | Time of ending to be affiliated person | Reason   | Relationship with the company |
|------|-------------------------------------|----------------------------|--|---|---|--|--|--|-------------------------------|
| 11.7 | Vo Thi Phuong Thao                  |                            |  | 094178006764                            | 256F20, National Highway 1, Ward 7, Soc Trang City              |  |  |  | Order Sibling                 |
| 11.8 | Nguyen Loc Em                       |                            |  | 094075007870                            | 256F20, National Highway 1, Ward 7, Soc Trang City              |  |  |  | Elder brother-in-law          |
| 11.9 | Vo Thi Phuong Ngan                  |                            |  | 094190017162                            | No. 14 Vanh Dai, Ward 6, Soc Trang City                         |  |  |  | Younger Sibling               |
| 12   | Vu Tien Bo                          |                            | Head of the Board of Supervisors (term 2017-2022), | 036081000213                            | 1802 CT7E Duong Noi Residential Area, Duong Noi, Ha Dong, Hanoi | 28/12/2017                               | 16/5/2024                              | Dismissal of Member of the Board of Supervisors due to the end of term |                               |
| 12.1 | Vu Duc Nguyen                       |                            |  | 113119574                               | 2004 CT7K Duong Noi Residential Area, Ha Dong, Hanoi            |  |  |  | Father                        |
| 12.2 | Nguyen Thi Gam                      |                            |  | 113389898                               | 2004 CT7K Duong Noi Residential Area, Ha Dong, Hanoi            |  |  |  | Mother                        |
| 12.3 | Pham Thi Quynh Hoa                  |                            |  | 113096787                               | 1802 CT7E Duong Noi Residential Area, Ha Dong, Hanoi            |  |  |  | Wife                          |
| 12.4 | Vu Dang Quang                       |                            |  | Minor                                   | 1802 CT7E Duong Noi Residential Area, Ha Dong, Hanoi            |  |  |  | Son                           |
| 12.5 | Vu Gia Khanh                        |                            |  | Minor                                   | 1802 CT7E Duong Noi Residential Area, Ha Dong, Hanoi            |  |  |  | Son                           |
| 12.6 | Vu Canh Toan                        |                            |  | 031717619                               | No. 13, Area A3, Lot 6B Le Hong Phong, Ngo Quyen, Hai Phong     |  |  |  | Elder brother                 |

| No.  | Name of organization/<br>individual | Securities trading account | Position at the company | NSH No.*, date of issue, place of issue | Address   | Time of starting to be affiliated person | Time of ending to be affiliated person | Reason  | Relationship with the company |
|------|-------------------------------------|----------------------------|-------------------------|---|---|--|--|---|-------------------------------|
| 13   | Nguyen Hoai Bao Khanh               | 058C654379                 | Head of the BoS         | 094090017155                            | Olk12-07, ds 8 Residential Area 5A, Soc Trang City              | 16/5/2024                                |  | Elected Member of the Board of Supervisors for the term 2024-2029 |                               |
| 13.1 | Le Thi Duy Diep                     |                            |                         | 094166001721                            | Olk12-07, house number 8, Residential Area 5A, Soc Trang City   |  |  |   | Mother                        |
| 13.2 | Doan Van Dong                       |                            |                         | 094058002799                            | An Tap Hamlet, Thien My Commune, Chau Thanh, Soc Trang Province |  |  |   | Father-in-law (wife's side)   |
| 13.3 | Le Thi Phuong                       |                            |                         | 094159005909                            | An Tap Hamlet, Thien My Commune, Chau Thanh, Soc Trang Province |  |  |   | Mother-in-law (wife's side)   |
| 13.4 | Doan Thi Hoai Thu                   |                            |                         | 094190014297                            | Olk12-07, house number 8, Residential Area 5A, Soc Trang City   |  |  |   | Wife                          |
| 13.5 | Nguyen Dang Khoi                    |                            |                         |   | Olk12-07, house number 8, Residential Area 5A, Soc Trang City   |  |  |   | Son                           |
| 13.6 | Nguyen Hoang Anh Thu                |                            |                         | 094188000094                            | 79/9 Phu Tho Hoa Street, Phu Tho Hoa, Tan Phu, Ho Chi Minh      |  |  |   | Order Sibling                 |
| 13.7 | Nguyen Hoang Tung                   |                            |                         | 052082000099                            | 79/9 Phu Tho Hoa, Phu Tho Hoa, Tan Phu, Ho Chi Minh             |  |  |   | Elder brother-in-law          |
| 14   | Tran Thanh Nhan                     |                            | Deputy Head of the BoS  | 094076003699                            |   | 01/9/2023                                |  |   |                               |

| No.   | Name of organization/<br>individual | Securities trading account | Position at the company | NSH No.*, date of issue, place of issue | Address  | Time of starting to be affiliated person | Time of ending to be affiliated person | Reason | Relationship with the company  |
|-------|-------------------------------------|----------------------------|-------------------------|---|--|--|--|--------|--------------------------------|
| 14.1  | Tran Du Chen                        |                            |                         | Deceased                                |  |  |  |        | Father                         |
| 14.2  | Lam Thi Xiu                         |                            |                         | 09415200356<br>8                        | Number 233 Truong Cong Dinh Street,<br>Ward 2,<br>Soc Trang City, Soc Trang Province |  |  |        | Mother                         |
| 14.3  | Tran Kim Phuong                     |                            |                         | 92773596                                | Oxtorgsgatan 8 33134 Värnamo Sweden  |  |  |        | Younger sibling                |
| 14.4  | Nguyen Van Dang                     |                            |                         | 92763542                                | Oxtorgsgatan 8 33134 Värnamo Sweden  |  |  |        | Younger brother-in-law         |
| 14.5  | Tran Kim Phuoc                      |                            |                         | 09418001283<br>0                        | Number 233 Truong Cong Dinh Street,<br>Ward 2,<br>Soc Trang City, Soc Trang Province |  |  |        | Younger sibling                |
| 14.6  | Huynh Van Hien                      |                            |                         | 09408400536<br>8                        | Number 124 Phu Loi Street, Ward 2, Soc<br>Trang City, Soc Trang Province             |  |  |        | Younger brother-in-law         |
| 14.7  | Quach Thi Nguyet<br>Dao             |                            |                         | 09417900386<br>1                        | Number 233 Truong Cong Dinh Street,<br>Ward 2,<br>Soc Trang City, Soc Trang Province |  |  |        | Wife                           |
| 14.8  | Tran Quy Khiem                      |                            |                         | 09420500836<br>9                        | Number 233 Truong Cong Dinh Street,<br>Ward 2,<br>Soc Trang City, Soc Trang Province |  |  |        | Child                          |
| 14.9  | Tran Tuan Kiet                      |                            |                         | 09420900757<br>0                        | Number 233 Truong Cong Dinh Street,<br>Ward 2,<br>Soc Trang City, Soc Trang Province |  |  |        | Child                          |
| 14.10 | Quach Binh So                       |                            |                         | Deceased                                |  |  |  |        | Father-in-law<br>(wife's side) |



| No.   | Name of organization/<br>individual   | Securities trading account | Position at the company                                  | NSH No.*, date of issue, place of issue | Address  | Time of starting to be affiliated person  | Time of ending to be affiliated person | Reason  | Relationship with the company |
|-------|---|----------------------------|--|---|--|---|--|---|-------------------------------|
| 14.11 | Lam Thi Nghiem  |                            |  | Deceased                                |  |   |  |   | Mother-in-law (wife's side)   |
| 15    | Nguyen Minh Phuong  |                            | Major Shareholder, Member of the Board of Supervisors    | 001084010700                            | CT10C, Dai Thanh Urban Area, Ta Thanh Oai Commune, Thanh Tri , Hanoi   | - Major Shareholder from 08/23/2023<br>- Member of the Board of Supervisors from 05/16/2024 |  |   |                               |
| 15.1  | <p>The Company sent Official Letter No. 07/CN-HDQT dated 07/01/2025 from the Company's Board of Directors regarding the request to provide a list of Major Shareholders and their Qualified Close Relatives.</p> <p>However, Mr. Nguyen Minh Phuong still did not provide the Qualified Close Relatives information for the Company to update and report.</p> |                            |  |   |  |   |  |   |                               |
| 16    | Dang Tri Dung   | 058C630617                 | Person in charge of administration and Company Secretary | 094078003002                            | No. 435 National Highway 1, Ward 2, Soc Trang City, Soc Trang Province | 29/5/2024   |  | Appointed by Resolution of the Board of Directors |                               |
| 16.1  | Dang Van Be   |                            |  | Deceased                                |  |   |  |   | Father                        |
| 16.2  | Van Ngoc Tham   |                            |  | 094150006108                            | No. 134 Nam Ky Khoi Nghia, Ward 7, Soc Trang City, Soc Trang Province  |   |  |   | Mother                        |
| 16.3  | Nghiem Thuy Duong   |                            |  | 092180014147                            | No. 435 National Highway 1, Ward 2, Soc Trang City, Soc Trang Province |   |  |   | Wife                          |
| 16.4  | Dang Diem Quynh   |                            |  | 094304000507                            | No. 435 National Highway 1, Ward 2,                                    |   |  |   | Daughter                      |

| No.   | Name of organization/<br>individual | Securities trading account | Position at the company | NSH No.*, date of issue, place of issue | Address   | Time of starting to be affiliated person | Time of ending to be affiliated person | Reason | Relationship with the company |
|-------|-------------------------------------|----------------------------|-------------------------|---|---|--|--|--------|-------------------------------|
|       |                                     |                            |                         |   | Soc Trang City, Soc Trang Province  |  |  |        |                               |
| 16.5  | Dang Huy Hoang                      |                            |                         | -                                       | No. 435 National Highway 1, Ward 2, Soc Trang City, Soc Trang Province                |  |  |        | Son                           |
| 16.6  | Dang Thi Cam Tu                     |                            |                         | 094172013929                            | No. 43 Provincial Road 6, Hoa My Hamlet, My Xuyen Town, My Xuyen , Soc Trang Province |  |  |        | Elder Sister                  |
| 16.7  | Van Quoc Tam                        |                            |                         | -                                       | No. 43 Provincial Road 6, Hoa My Hamlet, My Xuyen Town, My Xuyen , Soc Trang Province |  |  |        | Elder brother-in-law          |
| 16.8  | Dang Thi Xuan Dao                   |                            |                         | 094175000158                            | D13 Residential Area Company 8, Hung Thanh, Cai Rang, Can Tho                         |  |  |        | Elder Sister                  |
| 16.9  | Nguyen Van Sy                       |                            |                         | -                                       | D13 Residential Area Company 8, Hung Thanh, Cai Rang, Can Tho                         |  |  |        | Elder brother-in-law          |
| 16.10 | Dang Thi Thuy Lieu                  |                            |                         | 094181001964                            | No. 136 Nam Ky Khoi Nghia, Ward 7, Soc Trang City, Soc Trang Province                 |  |  |        | Younger Sister                |
| 16.11 | Dang Trung Dung                     |                            | Deputy Factory Director | 094084009491                            | No. 134 Nam Ky Khoi Nghia, Ward 7, Soc Trang City, Soc Trang Province                 |  |  |        | Younger Brother               |
| 16.12 | Nghiem Xuan Thuy                    |                            |                         | -                                       | No. 435 National Highway 1, Ward 2, Soc Trang City, Soc Trang Province                |  |  |        | Father-in-law (wife's side)   |
| 16.13 | Quach Bich Lam                      |                            |                         | 038159002426                            | No. 435 National Highway 1, Ward 2, Soc Trang City, Soc Trang Province                |  |  |        | Mother-in-law (wife's side)   |

| No. | Name of organization/<br>individual       | Securities trading<br>account | Position<br>at the<br>company | NSH No.*,<br>date of issue,<br>place of issue | Address   | Time of<br>starting to<br>be<br>affiliated<br>person | Time of<br>ending to<br>be<br>affiliated<br>person | Reason | Relationship with the<br>company |
|-----|---|-------------------------------|-------------------------------|---|---|--|--|--------|----------------------------------|
| 17  | Soc Trang Water<br>Joint Stock<br>Company |                               |                               | 2200680885                                    | D4 An Nghiep Industrial Park, Ward 7,<br>Soc Trang City | 28/12/2017   |  |        | Affiliated<br>company            |





**Appendix 2: Transactions between the company and its affiliated persons or between the company and major shareholders and between the company and internal persons**

*(Attached to Report No. 76.../BC-CN dated 24.../01.../2025 of Soc Trang Water Supply Joint Stock Company)*

| No. | Name of organization/individual     | Relationship with the Company | NSH No.*, date of issue, place of issue | Address  | Time of transactions with the Company | Resolution No., or Decision No. approved No. approved by General Meeting of Shareholders/Board of Directors (if any, specifying date of issue)                          | Content, quantity, total transaction value   |
|-----|-------------------------------------|-------------------------------|---|--|---------------------------------------|---|--|
| 1   | Soc Trang Water Joint Stock Company | Affiliated company            | 2200680885                              | D4 Road, An Nghiep Industrial Park, Ward 7, Soc Trang City | From 01/01/2024 to 31/12/2024         | Resolution No. 02/NQ-HĐQT dated 10/01/2020 of the Board of Directors on approving the adjustment of clean water purchase price from Soc Trang Water Joint Stock Company | Contract for wholesale purchase and sale of clean water with a total transaction value of 16,153,179,056 VND |



### Appendix 3: List of internal persons and affiliated persons of internal persons

(Attached to Report No. 76.../BC-CN dated 24.../01.../2025 of Soc Trang Water Supply Joint Stock Company)

| No. | Full name                               | Securities trading account | Position at the Company                            | Citizen Identity Card No. /Passport | Number of shares held at the end of the period | Shareholding ratio at the end of the period (%) | Note  |
|-----|---|----------------------------|--|-------------------------------------|--|---|---|
| 1   | Soc Trang Provincial People's Committee |                            | State shareholder                                  |                                     | 7,772,935                                      | 49.00   | - Mr. Tran Anh Hoa is the representative managing 3,886,468 shares<br>- Mr. Ong Hai Phuoc is the representative managing 3,886,467 shares         |
| 2   | VBIC Vietnam Joint Stock Company        |                            | Major shareholder                                  | 0108307727                          | 3,013,213                                      | 18.995  | - Mr. Nguyen Quang Mai is the representative managing 1,586,313 shares<br>- Mr. Nguyen Trong Kien is the representative managing 1,426,900 shares |
| 3   | Nghiem Thi Le Hang                      |                            | Major shareholder                                  | 019197010040                        | 3,807,152                                      | 24.00   |   |
| 4   | Dang Van Ngo                            |                            | Member of the Board of Directors, General Director | 094065007487                        | 7,900  | 0.05  |   |
| 4.1 | Dang Van Bay                            |                            |  | -                                   | 0  | 0   | Father  |
| 4.2 | Tran Thi Xuan                           |                            |  | -                                   | 0  | 0   | Mother  |
| 4.3 | Le Thi Phuong Dung                      |                            |  | 094167012022                        | 0  | 0   | Wife  |
| 4.4 | Dang Nhu Y                              |                            | Deputy Director of NCT Factory                     | 094191006042                        | 218,000  | 1.3743  | Daughter  |
| 4.5 | Tran Hong Trong                         |                            | Technical Department Staff                         | 094086003674                        | 2,300  | 0.015   | Child in law  |

| No.  | Full name           | Securities trading account | Position at the Company                                     | Citizen Identity Card No. /Passport | Number of shares held at the end of the period | Shareholding ratio at the end of the period (%) | Note                        |
|------|---------------------|----------------------------|---|-------------------------------------|--|---|-----------------------------|
|      |                     |                            | Technical Department  |                                     |  |   |                             |
| 4.6  | Dang Nhu Ngoc       |                            |   | K0144187                            | 0  | 0   | Daughter                    |
| 4.7  | Ly Co Minh Quan     |                            |   | 542991792                           | 0  | 0   | Child-in-law                |
| 5    | Tran Anh Hoa        |                            | Chairman of the Board of Directors, Deputy General Director | 082072011833                        | 7,300  | 0.046   |                             |
| 5.1  | Tran Kiem Hung      |                            |   | -                                   | -  | -   | Father                      |
| 5.2  | Do Thi Quang        |                            |   | 086145002813                        | 0  | 0   | Mother                      |
| 5.3  | Nguyen Ngoc My Hong |                            |   | 094174008121                        | 1,600  | 0.01  | Wife                        |
| 5.4  | Tran Anh Huy        |                            |   | 094201013396                        | 0  | 0   | Son                         |
| 5.5  | Tran Minh Huy       |                            |   | 094208013214                        | 0  | 0   | Son                         |
| 5.6  | Tran Anh Hong       |                            |   | 094163011377                        | 0  | 0   | Order Sibling               |
| 5.7  | Bui Khanh Hung      |                            |   | 094063011186                        | 0  | 0   | Elder brother-in-law        |
| 5.8  | Tran Thuy Hong      |                            |   | PB6449928                           | 0  | 0   | Order Sibling               |
| 5.9  | Le Hoang Vu         |                            |   | PA5723413                           | 0  | 0   | Elder brother-in-law        |
| 5.10 | Tran Anh Hien       |                            | Director of Long Phu Enterprise                             | 079067034615                        | 5,000  | 0.032   | Order Sibling               |
| 5.11 | Nguyen Kim Loan     |                            |   | 094171005615                        | 0  | 0   | Elder sister-in-law         |
| 5.12 | Tran Phuong Hong    |                            |   | 094170003843                        | 0  | 0   | Order Sibling               |
| 5.13 | Nguyen Viet Ba      |                            |   | 094060009340                        | 0  | 0   | Elder brother-in-law        |
| 5.14 | Nguyen Ngoc Hien    |                            |   | -                                   | 0  | 0   | Mother-in-law (wife's side) |



| No.      | Full name                               | Securities trading account | Position at the Company                                   | Citizen Identity Card No. /Passport | Number of shares held at the end of the period | Shareholding ratio at the end of the period (%) | Note  |
|----------|---|----------------------------|---|-------------------------------------|--|---|---|
| 5.15     | Nguyen Ngoc Kiem                        |                            |   | 094144002640                        | 0  | 0   | Mother-in-law (wife's side)                                       |
| 5.16     | Soc Trang Provincial People's Committee |                            |   | VSDSTWLD1                           | 7,772,935                                      | 49.00   | Mr. Tran Anh Hoa is the representative managing 3,886,468 shares. |
| <b>6</b> | <b>Ong Hai Phuoc</b>                    |                            | Member of the Board of Directors, Deputy General Director | 094065004197                        | 8,500  | 0.054   |   |
| 6.1      | Ong Hung                                |                            |   | -                                   | -  | -   | Father  |
| 6.2      | Quach Thi Kiem                          |                            |   | -                                   | -  | -   | Mother  |
| 6.3      | Le Thi Yen Ngoc                         |                            |   | 094167012013                        | 0  | 0   | Wife  |
| 6.4      | Ong Hai Nguyen                          |                            |   | 094091010646                        | 2,700  | 0.017   | Child   |
| 6.5      | Chau Tran Quynh Trang                   |                            |   | 094191000822                        | 0  | 0   | Child in law  |
| 6.6      | Ms. Thi Lang                            |                            |   | 094156004609                        | 0  | 0   | Order Sibling   |
| 6.7      | Ong Hai Chau                            |                            |   | 094158000661                        | 0  | 0   | Order Sibling   |
| 6.8      | Ong Van Bau                             |                            |   | 094049001756                        | 0  | 0   | Elder brother-in-law  |
| 6.9      | Ong Hai Khen                            |                            |   | 087062013698                        | 0  | 0   | Order Sibling   |
| 6.10     | Duong Thi Thao                          |                            |   | 087160012942                        | 0  | 0   | Elder sister-in-law   |
| 6.11     | Ms. Ngoc Sen                            |                            |   | 094164009019                        | 0  | 0   | Order Sibling   |
| 6.12     | Ong Phat Tai                            |                            | Factory Director Vinh Chau                                | 094070013178                        | 10,500   | 0.066   | Younger Sibling   |
| 6.13     | Mai Tiet Anh                            |                            |   | 094181004306                        | 0  | 0   | Younger sister-in-law   |
| 6.14     | Ong Tai Thuan                           |                            |   | 094072020914                        | 0  | 0   | Younger Sibling   |

| No.  | Full name  | Securities trading account | Position at the Company          | Citizen Identity Card No. /Passport | Number of shares held at the end of the period | Shareholding ratio at the end of the period (%) | Note   |
|------|--|----------------------------|----------------------------------|-------------------------------------|--|---|--|
| 6.15 | Tran Ngoc Thuy   |                            |                                  | 094169012988                        | 0  | 0   | Younger sister-in-law  |
| 6.16 | Soc Trang Provincial People's Committee  |                            |                                  | VSDSTWLD1                           | 7,772,935                                      | 49.00   | Mr. Ong Hai Phuoc is the representative managing 3,886,467 shares. |
| 7    | <b>Nguyen Trong Kien</b>   |                            | Member of the Board of Directors | 024079004027                        | 0  | 0   |  |
| 7.1  | Nguyen Trong Yen   |                            |                                  | 024051000650                        | 0  | 0   | Father   |
| 7.2  | Dao Thi Lien   |                            |                                  | 024155001645                        | 0  | 0   | Mother   |
| 7.3  | Pham Thi Huong   |                            |                                  | 038181010678                        | 0  | 0   | Wife   |
| 7.4  | Nguyen Quang Vinh  |                            |                                  | -                                   | 0  | 0   | Child  |
| 7.5  | Nguyen Khanh Vy  |                            |                                  | -                                   | 0  | 0   | Child  |
| 8    | <b>Duong Ngo Hiep</b>  |                            | Member of the Board of Directors | 024077015939                        | 0  | 0   |  |
| 8.1  | <p>The company sent Official Letter No. 07/CN-HĐQT dated January 7, 2025, from the Board of Directors, requesting the provision of the list of major shareholders and their related persons.</p> <p>However, Mr. Dương Ngô Hiệp still hasn't provided the related person's information for the company to update and report.</p> |                            |                                  |                                     |  |   |  |
| 9    | <b>Vu Thi Phuong Dieu</b>  |                            | Chief Accountant                 | 094181010984                        | 200  | 0.001   |  |
| 9.1  | Vu Ba Duong  |                            |                                  | 094053004888                        | 0  | 0   | Father   |
| 9.2  | Duong Thi Lang   |                            |                                  | 094156002971                        | 0  | 0   | Mother   |
| 9.3  | Chau Van Sanh  |                            |                                  | 094051002011                        | 0  | 0   | Father-in-law (husband's side)                                     |
| 9.4  | Tran Toi Ty  |                            |                                  | 094155007989                        | 0  | 0   | Mother-in-law (husband's side)                                     |
| 9.5  | Chau Nhut Thanh  |                            |                                  | 094078000703                        | 0  | 0   | Husband  |
| 9.6  | Chau Ngoc Mai  |                            |                                  | 094307003474                        | 0  | 0   | Daughter   |

| No.  | Full name             | Securities trading account | Position at the Company | Citizen Identity Card No. /Passport | Number of shares held at the end of the period | Shareholding ratio at the end of the period (%) | Note                        |
|------|-----------------------|----------------------------|-------------------------|-------------------------------------|--|---|-----------------------------|
| 9.7  | Vu Thi Phuong Thao    |                            |                         | 094178006764                        | 0  | 0   | Order Sibling               |
| 9.8  | Nguyen Loc Em         |                            |                         | 094075007870                        | 0  | 0   | Elder brother-in-law        |
| 9.9  | Vu Thi Phuong Ngan    |                            |                         | 094190017162                        | 0  | 0   | Younger Sibling             |
| 10   | Nguyen Hoài Bao Khanh | 058C<br>654379             | Head of the BoS         | 094090017155                        | 2,000  | 0.0126  |                             |
| 10.1 | Le Thi Duy Diep       |                            |                         | 094166001721                        | 0  | 0   | Mother                      |
| 10.2 | Doan Van Dong         |                            |                         | 094058002799                        | 0  | 0   | Father-in-law (wife's side) |
| 10.3 | Le Thi Phuong         |                            |                         | 094159005909                        | 0  | 0   | Mother-in-law (wife's side) |
| 10.4 | Doan Thi Hoai Thu     |                            |                         | 094190014297                        | 2,000  | 0.0126  | Wife                        |
| 10.5 | Nguyen Dang Khoi      |                            |                         | -                                   | 0  | 0   | Son                         |
| 10.6 | Nguyen Hoang Anh Thu  |                            |                         | 094188000094                        | 0  | 0   | Order Sibling               |
| 10.7 | Nguyen Hoàng Tung     |                            |                         | 052082000099                        | 0  | 0   | Elder brother-in-law        |
| 11   | Tran Thanh Nhan       |                            | Deputy Head of the BoS  | 094076003699                        | 43,900   | 0.277   |                             |
| 11.1 | Tran Du Chen          |                            |                         | 094050005965                        | 0  | 0   | Father                      |
| 11.2 | Lam Thi Xieu          |                            |                         | 094152003568                        | 0  | 0   | Mother                      |
| 11.3 | Tran Kim Phuong       |                            |                         | 92773596                            | 0  | 0   | Younger Sister              |
| 11.4 | Nguyen Van Dang       |                            |                         | 92763542                            | 0  | 0   | Younger brother-in-law      |
| 11.5 | Tran Kim Phuoc        |                            |                         | 094180012830                        | 0  | 0   | Younger Sister              |
| 11.6 | Huynh Van Hien        |                            |                         | 094084005368                        | 0  | 0   | Younger brother-in-law      |
| 11.7 | Quach Thi Nguyet Dao  |                            |                         | 094179003861                        | 0  | 0   | Wife                        |
| 11.8 | Tran Quy Khiem        |                            |                         | 094205008369                        | 0  | 0   | Child                       |



| No.   | Full name  | Securities trading account | Position at the Company                           | Citizen Identity Card No. /Passport | Number of shares held at the end of the period | Shareholding ratio at the end of the period (%) | Note                        |
|-------|--|----------------------------|---|-------------------------------------|--|---|-----------------------------|
| 11.9  | Tran Tuan Kiet   |                            |   | 094209007570                        | 0  | 0   | Child                       |
| 11.10 | Quach Binh So  |                            |   | -                                   | -  | -   | Father-in-law (wife's side) |
| 11.11 | Lam Thi Nghiem   |                            |   | -                                   | -  | -   | Mother-in-law (wife's side) |
| 12    | Nguyen Minh Phuong   |                            | Member of the Board of Supervisors                | 001084010700                        | 801,401  | 5.052   |                             |
| 12.1  | <p>The Company sent Official Letter No. 07/CN-HĐQT dated 07/01/2025 from the Company's Board of Directors regarding the request to provide a list of internal persons and their related persons.</p> <p>However, Mr. Nguyen Minh Phuong still did not provide the related person's information for the Company to update and report.</p> |                            |   |                                     |  |   |                             |
| 13    | Dang Tri Dung  | 058C 630617                | In charge of administration and Company Secretary | 094078003002                        | 4,900  | 0.031   |                             |
| 13.1  | Dang Van Be  |                            |   | -                                   | 0  | 0   | Father                      |
| 13.2  | Van Ngoc Tham  |                            |   | 094150006108                        | 0  | 0   | Mother                      |
| 13.3  | Nghiem Thuy Duong  |                            |   | 092180014147                        | 0  | 0   | Wife                        |
| 13.4  | Dang Diem Quynh  |                            |   | 094304000507                        | 0  | 0   | Daughter                    |
| 13.5  | Dang Huy Hoang   |                            |   | -                                   | 0  | 0   | Son                         |
| 13.6  | Dang Thi Cam Tu  |                            |   | 094172013929                        | 0  | 0   | Elder sister                |
| 13.7  | Van Quoc Tam   |                            |   | -                                   | 0  | 0   | Elder brother-in-law        |
| 13.8  | Dang Thi Xuan Dao  |                            |   | 094175000158                        | 0  | 0   | Elder sister                |
| 13.9  | Nguyen Van Sy  |                            |   | -                                   | 0  | 0   | Elder brother-in-law        |
| 13.10 | Dang Thi Thuy Lieu   |                            |   | 094181001964                        | 1,200  | 0.008   | Younger sister              |

| No.   | Full name                           | Securities trading account | Position at the Company        | Citizen Identity Card No. /Passport | Number of shares held at the end of the period | Shareholding ratio at the end of the period (%) | Note                                 |
|-------|-------------------------------------|----------------------------|--------------------------------|-------------------------------------|--|---|--------------------------------------|
| 13.11 | Dang Trung Dung                     |                            | Deputy Director of the Factory | 094084009491                        | 0  | 0   | Younger brother                      |
| 13.12 | Nghiem Xuan Thuy                    |                            |                                | -                                   | 0  | 0   | Mother-in-law (wife's side)'s Father |
| 13.13 | Quach Bich Lam                      |                            |                                | 038159002426                        | 0  | 0   | Mother-in-law (wife's side)          |
| 14    | Soc Trang Water Joint Stock Company |                            | Affiliated company             | 2200680885                          | 0  | 0   | Affiliated company                   |



**Appendix 4: Transactions of internal persons and affiliated persons with respect to shares of the listed company**

*(Attached to Report No. 76../BC-CN dated 24../01../2025 of Soc Trang Water Supply Joint Stock Company)*

| No. | Transaction Executor | Relationship with internal persons                    | Number of shares held at the beginning of the period |                   | Number of shares held at the end of the period |                   | Reason for increase/decrease |
|-----|----------------------|---|--|-------------------|--|-------------------|------------------------------|
|     |                      |   | <i>Number of shares</i>                              | <i>Percentage</i> | <i>Number of shares</i>                        | <i>Percentage</i> |                              |
| 1   | Nguyen Minh Phuong   | Member of the Board of Supervisors; Major shareholder | 809,101  | 5.1%              | 801,401  | 5.052%            | Sell                         |