

No.: 012 -2025/PTX-BC-HDQT

Vinh, January , 2025



REPORT ON CORPORATE GOVERNANCE FOR THE YEAR 2024

*To: - The State Securities Commission;
- Hanoi Stock Exchange.*

- Name of company: **Petrolimex Nghe Tinh Transport And Services Joint Stock Company**
- Address of headoffice: Hamlet 13, Nghi Kim Commune, Vinh City, Nghe An Province
- Telephone: 038 3851530 Fax: 038 3851886
- Charter capital: VND 64,324,530,000
- Stock code: PTX
- Governance model: The General meeting of Shareholders, Board of Directors, Board of Supervisors, Director.
- The implementation of internal audit: Not yet implemented

I. Activities of the General Meeting of Shareholders:

Information on meetings, resolutions of the General Meeting of Shareholders (including the resolutions of the General Meeting of Shareholders approved in the form of written comments):

| No. | Resolution No. | Date | Content |
|-----|-----------------------|-----------|---|
| | 105-2024/PTX-NQ-DHDCD | 19/4/2024 | <ul style="list-style-type: none">- Approving on the Report on the activities of the Board of Directors for 2023 and the orientation for 2024;- Approving on the Report on 2023 Business and production results and the Plan for 2024; |

| | | | |
|--|--|--|---|
| | | | <ul style="list-style-type: none"> - Approving on the Report on the Activities of the Board of Supervisors for 2023; - Approving on the Proposal about the Selection of an auditing firm for the Company's 2024 Financial Statements; - Approving on the 2023 audited Financial Statements and the Proposal about the 2023 profits after tax distribution; - Approving on the 2023 Final settlement report about Salary fund and Remuneration for the members of the Board of Directors and the Board of Supervisors; - Approving on the 2024 Salary and Remuneration plan for the members of the Board of Directors and the Board of Supervisors; - Approving on the Proposal about Execution of contracts under authority; - Approving on the Proposal about continuing the implementing the Transfer of the company's Stock Exchange Listing to the Official Listed Market. |
|--|--|--|---|

II. Board of Directors:

1. Information about the members of the Board of Directors ("BODs")

| No. | Board of Directors' members | Position (Independent members of the Board of Directors, Non-executive members of the Board of Directors) | The date becoming/ceasing to be the member of the Board of Directors | |
|-----|-----------------------------|---|--|-------------------|
| | | | Date of appointment | Date of dismissal |
| 1 | Mr Hoang Cong Thanh | Chairman of the BODs | 01/03/2018 | |
| 2 | Mr Manh Xuan Hung | Member of the BODs, | Member of the | |

| | | | | |
|---|-------------------------|--|---|--|
| | | Director | BODs since: 01/03/2011 Director since: 01/10/2020 | |
| 3 | Mr Dao Ngoc Tien | Member of the BODs, Deputy Director | Member of the BODs since: 12/04/2017 Deputy Director since: 14/08/2023 | |
| 4 | Ms Nguyen Thi Thanh Tam | Non-executive member of the BODs | 19/04/2023 | |
| 5 | Mr Vo Anh Tuan | Independent member of the BODs | 11/04/2018 | |

2. Meetings of the Board of Directors

| No. | Board of Director' members | Number of meetings attended by Board of Directors | Attendance rate | Reasons for absence |
|-----|-------------------------------|--|--------------------|------------------------|
| 1 | Mr Hoang Cong Thanh | 04/04 | 100% | |
| 2 | Mr Manh Xuan Hung | 04/04 | 100% | |
| 3 | Mr Dao Ngoc Tien | 04/04 | 100% | |
| 4 | Ms Nguyen Thi Thanh Tam | 04/04 | 100% | |
| 5 | Mr Vo Anh Tuan | 04/04 | 100% | |

3. Supervising the Board of Management by the Board of Directors

Pursuant to the Company's Charter and Corporate Governance Regulations, the Board of Directors (BODs) has supervised the activities of the Director and the Board of Management in implementing the Resolutions of the General Meeting of Shareholders (GMS) and the BODS's Resolutions in 2024 as follows:

- Monitoring Methods:
 - The BODs has effectively and rigorously performed its supervisory and directive duties over the Board of Management, promptly addressing issues within the BODS's

authority. It has also engaged in discussions and questioning regarding the activities of the Director and/or members of the Board of Management in strategic planning, business operation execution, and achieving objectives approved by the GMS. The BODs and the Director held discussions during BODs meetings to identify and resolve challenges to fulfill the goals set by the GMS.

- Through close coordination between the BODs and the Board of Supervisors, the BODs provided feedback to the Director and the Board of Management, aiming to continuously improve the efficiency of the company's management and control systems.
- The BODs deliberated and issued resolutions after each meeting on matters within its authority to provide a foundation for the Director and the Board of Management to implement effectively.
- **Monitoring Results:**
 - The Board of Management has successfully fulfilled its role in managing the Company's business activities in alignment with the objectives, orientations, and directives of the BODs.
 - The Director and management staff have effectively carried out the Company's business operations.
 - During their management activities, the Director and the Board of Management adhered strictly to the governance hierarchy outlined in the Company's Charter, Corporate Governance Regulations, and the Resolutions and directives of the BODs.

4. Activities of the Board of Directors' subcommittees (If any): None

5. Resolutions of the Board of Directors

| No. | Resolution/Decision No. | Date | Content | Approval rate |
|-----|-------------------------|------------|---|---------------|
| 1 | 36-2024/PTX-NQ-HDQT | 20/02/2024 | Resolution on Organizing the 2024 Annual General Meeting of Shareholders | 100% |
| 2 | 154/PTX-NQ-HDQT | 03/06/2024 | Resolution on 2024 Dividend distribution | 100% |
| 3 | 162-2024/PTX-NQ-HDQT | 07/06/2024 | Resolution approving on the implementation of the Company's Stock Listing at the Hanoi Stock Exchange | 100% |
| 4 | 161-2024/PTX-QD-HDQT | 29/05/2024 | Decision on Retirement policy for the Company's Deputy Director | 100% |

| | | | | |
|---|----------------------|------------|---|------|
| 5 | 226/PTSNT-QD-HDQT | 24/07/2024 | Decision on Appointment of the Company's Chief Accountant | 100% |
| 6 | 142-2024/PTX-NQ-HDQT | 19/04/2024 | Resolution on the Board of Directors Meeting – Q1 2024 | 100% |
| 7 | 225-2024/PTX-NQ-HDQT | 24/07/2024 | Resolution on the Board of Directors Meeting – Q2 2024 | 100% |
| 8 | 304-2024/PTX-NQ-HDQT | 06/11/2024 | Resolution on the Board of Directors Meeting – Q3 2024 | 100% |
| 9 | 432-2025/PTX-NQ-HDQT | 10/01/2025 | Resolution on the Board of Directors Meeting – Q4 2024 | 100% |

III. Board of Supervisors:

1. Information about members of Board of Supervisors ("BOS")

| No. | Members of Board of Supervisors | Position | The date becoming/ceasing to be the member of the BOS | Qualification |
|-----|---------------------------------|--------------------------------|---|---|
| 1 | Mr Tran Anh Tuan | Head of Board of Supervisors | 10/04/2016 | Bachelor's Degree in Economics and Information Technology |
| 2 | Mr Thai Van Linh | Member of Board of Supervisors | 19/04/2021 | Civil Engineer |
| 3 | Mr Nguyen Tri Dung | Member of Board of Supervisors | 11/04/2017 | Bachelor's Degree in Accounting |

2. Meetings of Board of Supervisors

| No. | Members of Board of Supervisors | Number of meetings attended | Attendance rate | Voting rate | Reasons for absence |
|-----|---------------------------------|-----------------------------|-----------------|-------------|---------------------|
| 1 | Mr Tran Anh Tuan | 04/04 | 100% | 100% | |
| 2 | Mr Thai Van Linh | 04/04 | 100% | 100% | |
| 3 | Mr Nguyen Tri Dung | 04/04 | 100% | 100% | |

3. Supervising Board of Directors, Board of Management and shareholders by Board of Supervisors

- Supervision of the issuance of resolutions by the General Meeting of Shareholders (GMS) and the Board of Directors (BODs). Resolutions issued by the GMS and BODs were developed in compliance with the Company Charter, GMS resolutions, and the Company's Internal Governance Regulations.
- Monitoring the implementation of key tasks of the BODs includes executing the 2024 business and production plan, improving capital efficiency, organizing, and overseeing the implementation of policies and regimes for employees, among other tasks.
- In general, the activities of the BODs align with legal regulations, GMS resolutions, and the Company Charter. The BODs has largely exercised its rights and responsibilities in accordance with statutory requirements.
- The Director has fulfilled the functions, duties, and delegated authority of the BODs, successfully implementing the resolutions of the GMS and BODs.

4. The coordination among the Board of Supervisors, the Board of Management, Board of Directors and other managers

- Collaboration between the Board of Supervisors (BOS) and the Board of Directors (BODs), BOS with the Board of Management is maintained regularly through direct exchanges, sending documents, and emails.
- The BOS consistently focuses on collaborating with the BODs, Director, relevant departments, and shareholders in the process of carrying out rights and responsibilities as regulated.
- The BODS, Director, and other managers have provided necessary materials and information, facilitating the BOS in performing its functions, rights, and obligations as required by regulations.

5. Other activities of the Board of Supervisors: None

IV. Board of Management ("BOM")

| No. | Members of Board of Management | Date of birth | Qualification | Date of appointment/dismissal |
|-----|--------------------------------|---------------|------------------------------|---------------------------------|
| 1 | Mr Manh Xuan Hung –Director | 1972 | Master's degree in Economics | Appointment date: 01/10/2020 |

| | | | | |
|---|--------------------------------------|------|-------------------------------|---------------------------------|
| 2 | Mr Truong Hong Toan | 1963 | Bachelor's degree in Finance | Termination date: 01/06/2024 |
| 3 | Mr Tran Thanh Son – Deputy Director | 1965 | Bachelor of Engineering | Appointment date: 27/12/2021 |
| 4 | Mr Nguyen Hong Lam - Deputy Director | 1983 | Bachelor's degree in Commerce | Appointment date: 19/12/2022 |
| 5 | Mr Dao Ngoc Tien | 1982 | Master's degree in Finance | Appointment date: 14/08/2023 |

V. Chief Accountant:

| Name | Date of birth | Qualification | Date of appointment/ dismissal |
|---------------------|---------------|---------------------|-----------------------------------|
| Mr Nguyen Duy Khanh | 1991 | Master of Economics | Appointed on 24/07/2024 |

VI. Training courses on corporate governance:

Training programs on corporate governance attended by members of the Board of Directors, Board of Supervisors, members, Director, other management staff, and the Company Secretary, as per corporate governance regulations: The Company has conducted corporate governance training courses for the Board of Directors, Board of Supervisors, members, the Board of Management, and other managerial staff in accordance with the Company's programs and plans.

VII. The list of affiliated persons of the public company and transactions of affiliated persons of the Company:

I. The list of affiliated persons of the Company

| No. | Name of organization/individual | Securities trading account (if any) | Position at the Company (if any) | Owner's registered ID.*, date of issue, place of issue | Address | Time of starting to be affiliated person | Time of ending to be affiliated person | Reasons | Relationship with the Company |
|-----------------------------|---------------------------------|-------------------------------------|----------------------------------|--|--|--|--|---------|---|
| 1. Board of Director | | | | | | | | | |
| 1.1 | Mr Hoang Cong Thanh | | Chairman of the BODs | 181584844 | Hamlet 13, Nghi Kim Commune, Vinh City, Nghe An Province | 15/05/2013 | | | Chairman of the BODs, Legal Representative of the Company |
| 1.2 | Mr Manh Xuan Hung | | Member of the BODs, Director | 181959115 | Hamlet 13, Nghi Kim Commune, Vinh City, Nghe An Province | 01/3/2011 | | | Member of the BODs, Director, Legal Representative of the Company |
| 1.3 | Mr Dao Ngoc Tien | | Member of the BODs, Deputy | 182436137 | Hamlet 13, Nghi Kim | 14/6/2014 | | | Member of the BODs, Deputy Director, Information |

| | | | | | | | | | |
|--------------------------------|----------------------------|--|--|--------------|--|-----------|--|--|--------------------------------------|
| | | | Director | | Commune, Vinh City, Nghe An Province | | | | disclosure authorized person |
| 1.4 | Ms Nguyen Thi Thanh Tam | | Non- executive member of the BODs | 025178000882 | 229 Tay Son, Dong Da, Hanoi | 19/4/2023 | | | Member of the BODs |
| 1.5 | Mr Vo Anh Tuan | | Independent member of the BODs | 181949967 | | 11/4/2018 | | | Independent Member of the BODs |
| 2. Board of Supervisors | | | | | | | | | |
| 2.1 | Mr Tran Anh Tuan | | Head of Board of Supervisors | 250483634 | Hamlet 13, Nghi Kim Commune, Vinh City, Nghe An Province | 10/4/2016 | | | Head of Board of Supervisors |
| 2.2 | Mr Thai Van Linh | | Member of Board of Supervisors | 186945027 | Hamlet 13, Nghi Kim Commune, Vinh City, Nghe An | 19/4/2021 | | | Member of Board of Supervisors |

| | | | | | | | | | |
|-------------------------------|---------------------|--|--------------------------------|--------------------------|--|------------|------------|--|--------------------------------|
| | | | | | Province | | | | |
| 2.3 | Mr Nguyen Tri Dung | | Member of Board of Supervisors | 182413635 | Hamlet 13, Nghi Kim Commune, Vinh City, Nghe An Province | 11/04/2017 | | | Member of Board of Supervisors |
| 3. Board of Management | | | | | | | | | |
| 3.1 | Mr Manh Xuan Hung | | Director | As stated in section 1.2 | | | | | |
| 3.2 | Mr Truong Hong Toan | | Deputy Director | 186519804 | Hamlet 13, Nghi Kim Commune, Vinh City, Nghe An Province | 01/01/2000 | 01/06/2024 | | Deputy Director |
| 3.3 | Mr Tran Thanh Son | | Deputy Director | 181443433 | Hamlet 13, Nghi Kim Commune, Vinh City, | 10/4/2000 | | | Deputy Director |

| | | | | | | | | | |
|--|---------------------|--|------------------|--------------|--|------------|--|--|---|
| | | | | | Nghe An Province | | | | |
| 3.4 | Mr Nguyen Hong Lam | | Deputy Director | 186185428 | Hamlet 13, Nghi Kim Commune, Vinh City, Nghe An Province | 01/01/2023 | | | Deputy Director |
| 3.5 | Mr Dao Ngoc Tien | | Deputy Director | 182436137 | Hamlet 13, Nghi Kim Commune, Vinh City, Nghe An Province | 14/6/2014 | | | Member of the BODs, Deputy Director, Information disclosure authorized person |
| 4. Chief Accountant | | | | | | | | | |
| 4.1 | Mr Nguyen Duy Khanh | | Chief Accountant | 040091012034 | Hamlet 13, Nghi Kim Commune, Vinh City, Nghe An Province | 24/07/2024 | | | Chief Accountant |
| 5. Company Secretary/Person in charge of Corporate Governance | | | | | | | | | |

| | | | | | | | | | |
|---|---|--|--|------------|--|------------|--|--|---|
| 5.1 | Mr Nguyen Anh Tuan | | Company Secretary | 186051201 | Hamlet 13, Nghi Kim Commune, Vinh City, Nghe An Province | 01/3/2008 | | | Company Secretary |
| 5.2 | Mr Nguyen Anh Tuan | | Person in charge of Corporate Governance | 186051201 | Hamlet 13, Nghi Kim Commune, Vinh City, Nghe An Province | 10/10/2023 | | | Person in charge of Corporate Governance |
| 6. Parent company, Major shareholder | | | | | | | | | |
| 6.1 | Petrolimex Petroleum Services Corporation | | | 0108005532 | 229 Tay Son, Nga Tu So, Dong Da, Hanoi | 01/10/2017 | | | The parent company of PTX, holding 51% of PTX's voting shares |

Note: owner's registered ID number: ID card/Passport number (for individuals) or the Business Registration Certificate number, Operating License, or equivalent legal documents (for organizations)/NSH.*

2. Transactions between the Company and its affiliated persons or between the Company and its major shareholders, internal persons and affiliated persons: None

3. Transaction between internal persons of the Company, affiliated persons of internal persons and the Company's subsidiaries in which the Company takes controlling power: None

4. Transactions between the Company and other objects

- 4.1. Transactions between the Company and the company that its members of Board of Management, the Board of Supervisors, Director have been founding members or members of Board of Directors, or CEOs in three (03) latest years (calculated at the time of reporting): None
- 4.2. Transactions between the Company and the company that its affiliated persons with members of Board of Directors, Board of Supervisors, Director and other managers as a member of Board of Directors, Director: None
- 4.3. Other transactions of the Company (if any) may bring material or non- material benefits for members of Board of Directors, members of the Board of Supervisors, Director and other managers: None

VIII. Share transactions of internal persons and their affiliated persons

1. The list of internal persons and their affiliated persons

| No. | Name | Securities trading account (if any) | Position at the company (if any) | NSH No., Date of issue, Place of issue | Date of issue | Date of issue | Date of issue | Date of issue |
|-----|----------------------|-------------------------------------|----------------------------------|--|--------------------------------------|---------------|---------------|---------------|
| 1 | Mr Hoang Cong Thanh | | Chairman of the BODs | | | | | |
| 1.1 | Thai Thi Xuan | | | 181402992 | Quang Trung Ward, Vinh City, Nghe An | 0 | 0 | Wife |
| 1.2 | Hoang Thai Khanh Con | | | 187260431 | Quang Trung Ward, Vinh City, Nghe An | 152,550 | 2.6% | Son |
| 1.3 | Hoang Thai Cam Linh | | | 187697199 | Quang Trung Ward, Vinh City, Nghe An | 0 | 0 | Daughter |

| | | | | | | | | |
|-----|--------------------------|--|-------------------------------------|-----------|--|---------|------|-----------------|
| 1.4 | Hoang Van Cuong | | | 186019701 | Quang Trung, Vinh City, Nghe An | 0 | 0 | Older brother |
| 1.5 | Hoang Van Kien | | | 181561904 | Quang Trung, Vinh City, Nghe An | 152,550 | 2.6% | Older brother |
| 1.6 | Hoang Van Phien | | | 181314987 | Vinh Tan, Vinh City, Nghe An | 0 | 0 | Older brother |
| 1.7 | Hoang Van Nguyen | | | 181561968 | Cua Nam, Vinh City, Nghe An | 0 | 0 | Older brother |
| 1.8 | Hoang Thi Huong | | | 181584920 | Vinh Tan, Vinh City, Nghe An | 0 | 0 | Younger sister |
| 1.9 | Hoang Van Hai | | | 181834178 | Cua Nam, Vinh City, Nghe An | 0 | 0 | Younger brother |
| 2 | Mr Manh Xuan Hung | | Member of the BODs, Director | | | | | |
| 2.1 | Manh Xuan Thu | | | 180061135 | Block 3, Ha Huy Tap Ward, Vinh City, Nghe An | 0 | 0 | Father |
| 2.2 | Nguyen Thi Hai | | | 182018044 | Block 3, Ha Huy Tap Ward, Vinh City, Nghe | 0 | 0 | Mother |

| | | | | | | | | |
|-----|--------------------|--|---------------------|------------|--|--------|-------|---------------|
| | | | | | An | | | |
| 2.3 | Cao Thi Thao | | | 182372169 | Block 3, Ha Huy Tap Ward, Vinh City, Nghe An | 0 | 0 | Wife |
| 2.4 | Manh Xuan Kien | | | Not issued | Block 3, Ha Huy Tap Ward, Vinh City, Nghe An | 0 | 0 | Son |
| 2.5 | Manh Xuan Khang | | | Not issued | Block 3, Ha Huy Tap Ward, Vinh City, Nghe An | 0 | 0 | Son |
| 2.6 | Manh Thi Bich Thuy | | | 181388182 | No. 26, Alley 19, Hai Thuong Lan Ong, Vinh City, Nghe An | 0 | 0 | Older sister |
| 2.7 | Manh Xuan Truong | | | 181770297 | Quan Bau Ward, Vinh City, Nghe An | 10,423 | 0.18% | Older brother |
| 2.8 | Manh Xuan Ky | | | 182018751 | Block 3, Truong Thi Ward, Vinh City, Nghe An | 0 | 0 | Older brother |
| 3 | Mr Dao Ngoc Tien | | Member of the BODs, | | | | | |

| | | | | | | | | |
|-----|-------------------|--|--|------------|--|---|---|--------------|
| | | | Deputy Director, Information disclosure authorized person | | | | | |
| 3.1 | Dao Quang Tuan | | | 181471537 | K15, Ben Thuy Ward, Vinh City, Nghe An | 0 | 0 | Father |
| 3.2 | Bui Thi Thuy Linh | | | 187070501 | K15, Ben Thuy Ward, Vinh City, Nghe An | 0 | 0 | Wife |
| 3.3 | Dao Thi Thu Ha | | | 182136998 | Yen Phuc Block, Hung Phuc Ward, Vinh City, Nghe An | 0 | 0 | Older sister |
| 3.4 | Dao Quang Anh | | | Not issued | K15, Ben Thuy Ward, Vinh City, Nghe An | 0 | 0 | Son |
| 3.5 | Dao Quang Duc | | | Not issued | K15, Ben Thuy Ward, Vinh City, Nghe An | 0 | 0 | Son |
| 3.6 | Dao Quang Minh | | | Not issued | K15, Ben Thuy Ward, Vinh City, Nghe An | 0 | 0 | Son |
| 4 | Ms Nguyen Thi | | Member of | | | | | |

| | | | | | | | | |
|-----|------------------|--|----------|-----------------------------|--|---|---|---------|
| | Thanh Tam | | the BODs | | | | | |
| 4.1 | Nguyen Vu Thang | | | 025075000589; 03/08/2020 | Apartment No. 2810 R6A Royal City, 72A Nguyen Trai, Thuong Dinh, Thanh Xuan, Hanoi | 0 | 0 | Husband |
| 4.2 | Nguyen Tan Khiet | | | 130750834; 22/10/2014 | No. 09, Alley 508, Chi Lang Street, Phong Chau, Phu Ninh, Phu Tho | 0 | 0 | Father |
| 4.3 | Tran Thi Thiep | | | 130291643; 06/09/2014 | No. 09, Alley 508, Chi Lang Street, Phong Chau, Phu Ninh, Phu Tho | 0 | 0 | Mother |
| 4.4 | Nguyen Gia Bao | | | 025204000241; 18/12/2021 | Apartment No. 2810 R6A Royal City, 72A Nguyen Trai, Thuong Dinh, Thanh Xuan, Hanoi | 0 | 0 | Son |
| 4.5 | Nguyen Cao Liem | | | 025080016158; 22/12/2021 | Ngo Gia Tu, Dang Hai Ward, Hai An District, | 0 | 0 | Son |

| | | | | | | | | |
|-----|------------------------|--|-----------------------|------------|--|---|---|-------------------|
| | | | | | Hai Phong City | | | |
| 5 | Mr Vo Anh Tuan | | Member of the BODs | | | | | |
| 5.1 | Cao Thi Lan Thanh | | | 182000120 | House No. 08, Alley 08, Duy Tan Street, Trung Hop Block, Vinh City, Nghe An | 0 | 0 | Wife |
| 5.2 | Nguyen Thi Thu Hien | | | 1800521211 | House No. 08, Alley 08, Duy Tan Street, Trung Hop Block, Vinh City, Nghe An | 0 | 0 | Mother |
| 5.3 | Vo Thi Kieu Anh | | | 181739032 | Block 15, Truong Thi Ward, Vinh City, Nghe An | 0 | 0 | Older sister |
| 5.4 | Vo Thi Minh Hoa | | | 182049787 | Block 11, Le Loi Ward, Vinh City, Nghe An | 0 | 0 | Younger sister |
| 5.5 | Vo Giang Chi | | | 186541864 | House No. 08, Alley 08, Duy Tan Street, Trung Hop Block, Vinh City, Nghe An | 0 | 0 | Daughter |

| | | | | | | | | |
|-----|-------------------------|--|-------------------------------------|--------------|---|---|---|----------|
| 5.6 | Vo Quynh Chi | | | 187896568 | House No. 08, Alley 08, Duy Tan Street, Trung Hop Block, Vinh City, Nghe An | 0 | 0 | Daughter |
| 6 | Mr Tran Anh Tuan | | Head of Board of Supervisors | | | | | |
| 6.1 | Tran Manh Viet | | | 250205757 | 35 Nha Chung Street, Ward 3, Da Lat City, Lam Dong Province | 0 | 0 | Father |
| 6.2 | Nguyen Thi Minh Chau | | | 250222598 | 35 Nha Chung Street, Ward 3, Da Lat City, Lam Dong Province | 0 | 0 | Mother |
| 6.3 | Nguyen Thi Thu | | | 040193020317 | Xom 13, Nghi Kim Commune, Vinh City, Nghe An Province | 0 | 0 | Wife |
| 6.4 | Tran Phuong Linh | | | Not issued | Xom 13, Nghi Kim Commune, Vinh City, Nghe An Province | 0 | 0 | Daughter |
| 6.5 | Tran Cam Linh | | | Not issued | Xom 13, Nghi Kim Commune, Vinh City, | 0 | 0 | Daughter |

| | | | | | | | | |
|-----|------------------------------|--|----------------------------|------------|---|---|---|--------------------|
| | | | | | Nghe An Province | | | |
| 6.6 | Tran Dam Thuong | | | 250518070 | 35 Nha Chung Street, Ward 3, Da Lat City, Lam Dong Province | 0 | 0 | Younger brother |
| 7 | Mr Tran Thanh Son | | Deputy Director | | | | | |
| 7.1 | Nguyen Thi Dieu | | | 180700054 | Block 17, Ha Huy Tap Ward, Vinh City, Nghe An Province | 0 | 0 | Mother |
| 7.2 | Tran Thi Anh Van | | | 181770739 | Block 17, Ha Huy Tap Ward, Vinh City, Nghe An Province | 0 | 0 | Wife |
| 7.3 | Tran Xuan phuc | | | 187758865 | Block 17, Ha Huy Tap Ward, Vinh City, Nghe An Province | 0 | 0 | Son |
| 7.4 | Tran Trung Hieu | | | Not issued | Block 17, Ha Huy Tap Ward, Vinh City, Nghe An Province | 0 | 0 | Son |
| 7.5 | Tran Xuan Lam | | | 181810971 | Block 17, Ha Huy Tap | 0 | 0 | Younger |

| | | | | | | | | |
|-----|---------------------------|--|------------------------|-----------|--|---|---|----------------|
| | | | | | Ward, Vinh City, Nghe An Province | | | brother |
| 7.6 | Tran Thi Thanh Thuy | | | 183133821 | Duc Tho Town, Duc Tho District, Ha Tinh Province | 0 | 0 | Younger sister |
| 8 | Mr Nguyen Hong Lam | | Deputy Director | | | | | |
| 8.1 | Nguyen Phung Xuan | | | | Dien Thap, Dien Chau, Nghe An | 0 | 0 | Father |
| 8.2 | Ngo Thi Tam | | | | Dien Thap, Dien Chau, Nghe An | 0 | 0 | Mother |
| 8.3 | Vo Thi Thanh Binh | | | | Xom 5, Nghi Phu, Vinh, Nghe An | 0 | 0 | Wife |
| 8.4 | Nguyen Vuong Khang | | | | Xom 5, Nghi Phu, Vinh, Nghe An | 0 | 0 | Son |
| 8.5 | Nguyen Viet Phuong | | | | Hung Loc, Vinh, Nghe An | 0 | 0 | Older brother |
| 8.6 | Nguyen Thi Hong Chau | | | | Kien An, Hai Phong | 0 | 0 | Younger sister |

| | | | | | | | | |
|-----|------------------|--|--------------------------------|------|---|--------|-------|---------------|
| 9 | Mr Thai Van Linh | | Member of Board of Supervisors | | | | | |
| 9.1 | Thai Van Ngo | | | 1957 | Hung Long Commune, Hung Nguyên, Nghe An | 0 | 0 | Father |
| 9.2 | Le Thi Loi | | | 1960 | Hung Long Commune, Hung Nguyên, Nghe An | 0 | 0 | Mother |
| 9.3 | Thai Van Vinh | | | 1984 | Hung Long Commune, Hung Nguyên, Nghe An | 51,053 | 0.79% | Older brother |
| 9.4 | Thai Thi Thanh | | | 1982 | Cửa Nam Ward, Vinh City, Nghe An | 0 | 0 | Older sister |
| 9.5 | Tran Thi Huong | | | 1990 | Hung Long Commune, Hung Nguyên, Nghe An | 0 | 0 | Wife |
| 9.6 | Thai Minh Tuan | | | 2015 | Hung Long Commune, Hung Nguyên, Nghe An | 0 | 0 | Son |

| | | | | | | | | |
|------|-------------------------------|---|---|-----------|--|---|---|-------------------|
| 9.7 | Thai Xuan Mai | | | 2019 | Hung Long Commune, Hung Nguyên, Nghe An | 0 | 0 | Daughter |
| 10 | Mr Nguyen Tri Dung | | Member of Board of Supervisors | | | | | |
| 10.1 | Vo Thi Van Hai | 0 | | 187230588 | Hamlet 2, Nghi Phú Commune, Vinh City, Nghe An | 0 | 0 | Wife |
| 10.2 | Nguyen Thi Tuyet | 0 | | 182046192 | Block 9, Ha Huy Tap Ward, Vinh City, Nghe An | 0 | 0 | Older sister |
| 10.3 | Nguyen Thi Minh Nguyet | 0 | | 182413369 | Block 2, Quan Bau Ward, Vinh City, Nghe An | 0 | 0 | Younger sister |
| 10.4 | Nguyen Hong Huong | 0 | | 186181262 | Hamlet 2, Nghi Phú Commune, Vinh City, Nghe An | 0 | 0 | Father |
| 10.5 | Nguyen Thi Lieu | 0 | | 182046191 | Hamlet 2, Nghi Phú Commune, Vinh City, Nghe An | 0 | 0 | Mother |

| | | | | | | | | |
|------|----------------------------|---|------------------------|------------|---|---|---|------------------|
| 10.6 | Nguyen Thi Van Trang | 0 | | | Block 9, Ha Huy Tap Ward, Vinh City, Nghe An | 0 | 0 | Daughter (minor) |
| 10.7 | Nguyen Huu Tri | 0 | | | Block 9, Ha Huy Tap Ward, Vinh City, Nghe An | | | Son (minor) |
| 11 | Mr Truong Hong Toan | | Deputy Director | | | | | |
| 11.1 | Hoang Thi Hai Yen | | | 181871295 | House number 21, Phan Chu Trinh Street, Vinh City | 0 | 0 | Wife |
| 11.2 | Truong Tuan Anh | | | 186968429 | House number 21, Phan Chu Trinh Street, Vinh City | 0 | 0 | Son |
| 11.3 | Truong Yen Linh | | | Not issued | House number 21, Phan Chu Trinh Street, Vinh City | 0 | 0 | Daughter |
| 11.4 | Truong Hong Duc | | | 181937467 | Quynh Luu, Nghe An | 0 | 0 | Older brother |
| 11.5 | Truong Thi Luu | | | 182012785 | Quynh Luu, Nghe An | 0 | 0 | Older sister |

| | | | | | | | | |
|------|----------------------------|--|-------------------------|--|--|---|---|---------------|
| 11.6 | Truong Hong Quang | | | 181579581 | Hanoi | 0 | 0 | Older brother |
| 11.7 | Truong Thi Vinh | | | 181770029 | Quynh Luu, Nghe An | 0 | 0 | Older sister |
| 12 | Mr Nguyen Duy Khanh | | Chief Accountant | | | | | |
| 12.1 | Nguyen Dinh Vinh | | | 040057013731 issued on 10/8/2021 | Block 10, Quang Trung Ward, Vinh City, Nghe An | 0 | 0 | Father |
| 12.2 | Nguyen Thi Kim Dung | | | 040161001182 issued on 2/4/2021 | Block 10, Quang Trung Ward, Vinh City, Nghe An | 0 | 0 | Mother |
| 12.3 | Dinh Huyen Trang | | | 040192025872 issued on 28/6/2021 | Block 9, Le Loi Ward, Vinh City, Nghe An | 0 | 0 | Wife |
| 12.4 | Nguyen Thuy Tien | | | Not issued | Block 9, Le Loi Ward, Vinh City, Nghe An | 0 | 0 | Daughter |
| 12.5 | Nguyen Anh Thu | | | Not issued | Block 9, Le Loi Ward, Vinh City, Nghe An | 0 | 0 | Daughter |
| 12.6 | Nguyen Thi Khanh | | | 040193030299 issued on | Hamlet 23, Nghi Kim Commune, Vinh City, | 0 | 0 | Younger |

| | | | | | | | | |
|------|--------------------|---|--|-----------|---|---|---|--------------|
| | Huyen | | | 2/7/2021 | Nghe An | | | sister |
| 13 | Mr Nguyen Anh Tuan | | Company Secretary, Person in charge of Corporate Governance | | | | | |
| 13.1 | Phan Thi Van Khanh | 0 | | 186340554 | Hamlet 3, Nghi An Commune, Vinh City, Nghe An | 0 | 0 | Wife |
| 13.2 | Nguyen Thi Ha | 0 | | 180286402 | Hamlet 3, Nghi An Commune, Vinh City, Nghe An | 0 | 0 | Mother |
| 13.3 | Nguyen Thi Quyen | 0 | | 182190398 | Hamlet 5, Nghi An Commune, Vinh City, Nghe An | 0 | 0 | Older sister |
| 13.4 | Nguyen Thi Chien | 0 | | 182039710 | Block 14, Truong Thi Ward, Vinh City, Nghe An | 0 | 0 | Older sister |
| 13.5 | Nguyen Thi Nhan | 0 | | 182173472 | Block 10, Dung Town, Thanh Chuong, Nghe | 0 | 0 | Older sister |

| | | | | | | | | |
|------|-----------------|---|--|--|---|---|---|----------|
| | | | | | An | | | |
| 13.6 | Nguyen Minh Huy | 0 | | | Hamlet 3, Nghi An Commune, Vinh City, Nghe An | 0 | 0 | Son |
| 13.7 | Nguyen Linh Nhu | 0 | | | Hamlet 3, Nghi An Commune, Vinh City, Nghe An | 0 | 0 | Daughter |
| 13.8 | Nguyen Tue An | 0 | | | Hamlet 3, Nghi An Commune, Vinh City, Nghe An | 0 | 0 | Daughter |

2. Transactions of internal persons and affiliated persons with shares of the company:

| No. | Transaction executor | Relationship with internal persons | Number of shares owned at the beginning of the period | | Number of shares owned at the end of the period | | Reasons for increasing, decreasing (buying, selling, converting, rewarding, etc.) |
|-----|----------------------|------------------------------------|---|------------|---|------------|---|
| | | | Number of shares | Percentage | Number of shares | Percentage | |
| 1 | Mr Nguyen Hong Lam | Deputy Director | 5,967 | 0.09% | 8,467 | 0.13% | |

IX. Other significant issues: None

ON BEHALF OF THE BOARD OF DIRECTORS
CHAIRMAN OF THE BOARD



Hoang Cong Thanh

